National Reporting under Article 15 of the Stockholm Convention on Persistent organic pollutants

Secretariat of the Basel, Rotterdam and Stockholm Conventions

www.brsmeas.org
Why is reporting important?

When preparing your report, you are collecting data/information about your country and how it is evolving

→ Do it for yourselves first

Reporting data (collectively) allow all Parties to assess different aspects of the convention

→ Several assessments under the convention are dependent on reporting data/information, and all Parties have agreed to those (convention text and decisions that followed)
Examples of questions that reporting can help with

Parties that have implemented measures to control POPs

[Numbers on the bars are the number of parties. n/a = information not available.]

- Initial POPs
- POPs listed in 2009 and 2011

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Examples of questions that reporting can help with

Parties producing POPs

(Numbers on the bars are the number of parties. n/a=information not available.)

Percentage of parties responded

- Aldrin
- Chlordecone
- Dieldrin
- Endrin
- Hepachlor
- Hexachlorobenzene
- Mirex
- PCB
- Toxaphene
- DDT
- AlphaHCH
- BetaHCH
- Chlordane
- Hexabromobiphenyl
- Hexa and heptaBDE
- Lindane
- Penta-chlorobenzene
- Technical endosulfan
- Tetra and pentaBDE
- POPs, its salts and PFOS

Initial POPs

POP listed in 2009 and 2011
Examples of questions that reporting can help with

Historical trend in the use of DDT
Mandate and Frequency

Mandate: Article 15 – Reporting

1. Each Party shall report to the Conference of the Parties on the measures it has taken to implement the provisions of this Convention and on the effectiveness of such measures in meeting the objectives of the Convention.

2. Each Party shall provide to the Secretariat:
   - Statistical data on its total quantities of production, import and export of each of the chemicals listed in Annex A and Annex B or a reasonable estimate of such data; and
   - To the extent practicable, a list of the States from which it has imported each such substance and the States to which it has exported each such substance.

3. Such reporting shall be at periodic intervals and in a format to be decided by the Conference of the Parties at its first meeting.

Frequency:

- the periodicity of the national reporting is every four years and in accordance with a format as established by the COP at its first meeting (decision SC-1/22)
Fourth reporting cycle:

• COP requested the Secretariat to:

  • Update the format to include: HBCD, PCP, PCN and HCBD (Annex A) - as per Stockholm Convention COP decisions SC-6/13, SC-7/12, SC-7/13 and SC-7/14.

    • Guidance documents on inventories related to these four chemicals are available at the convention website:

  • Further improve the electronic reporting system

  • Deadline for submission: 31 August 2018
Reporting cycles

- 50% of Parties

Bar chart showing:
- On time
- Late
- Total submitted

Cycles:
1. 1st Cycle
2. 2nd Cycle
3. 3rd Cycle
4. 4th Cycle

Legend:
- On time
- Late
- Total submitted
Reporting and evaluation cycles under the Convention

Meetings of the Conference of the Parties

- COP 4
- COP 5
- COP 6
- COP 7
- COP 8
- COP 9
- COP 10
- COP 11

Global Monitoring Plan

- Amendment of GMP Guidance
- GMP Reports
- Global report
- GCG
- ROG

Reporting under Article 15

- 1st reports
- Global report
- 2nd reports
- GMP Reports
- 3rd reports

Reporting and Evaluation of progress towards BDEs elimination

Reporting and Evaluation of the continued need for PFOS

Reporting and Evaluation of the continued need for DDT

Effectiveness Evaluation

- EEWG
- EEC
- Meetings
Lessons Learned from the 1st Effectiveness Evaluation

Data availability and QA/QC

- Evaluation has been **conditional to the clarity of responses** from Parties
- **National reporting** - **major limitation** - low percentage of responses, responses that contain missing, partial, or incoherent data or differences in interpretation of similar situations
- **Inventory data and/or other information readily available** in the NIPs are **not always included** in the national reports
- **Absence of compliance** mechanism has also resulted in large gaps in information on whether Parties are meeting their obligations
- **Information gaps** hampered the evaluation
COP- 8 Follow-up

• SC-8/17 urges Parties to submit national reports, complete and on time, in order to facilitate the evaluation of the effectiveness of the Convention pursuant to Article 16 and other processes that rely on national reporting data.

• Secretariat requested to implement the revised strategy to increase the rate of submission of national reports, based on the findings, and the conclusions and recommendations of the effectiveness evaluation:
  • Feedback on the third national reports submitted by Parties has been provided to help improve future submissions → sent out to all OCPs
  • Secretariat assists with QA/QC of reports in the fourth cycle
• Small intersessional working group (SIWG) to develop a manual for completing the updated format for national reporting
Most recent activities

• Mandates from SC-8/17 and from the revised strategy UNEP/POPS/COP.8/INF/37

• Provision of feedback on the submitted third reports aiming at improving the 4th reporting cycle

• Establishment of the small intersessional working group to develop the manual to the questionnaire → draft will be available for the COP

• Series of webinars from June to August 2018 on SC reporting and related topics (in English, French and Spanish)

• Improvements of the Electronic Reporting System (e.g. new POPs, new quality check errors, first set of queries on the data submitted)

• Survey to learn about Parties’ difficulties – Nov 2018
Lessons Learned from the Feedback on the 3rd reporting cycle

1. **Avoid leaving any question/table without an answer/empty.**
2. Pay **special attention to numeric fields** in each question and table. No characters such as “N/A”, “no information available”, “>”, etc, should be introduced in any numeric field. Decimals separators should be indicated by the use of a dot (e.g. 12.5, 100200.3, 0.54).
3. In several questions/tables, countries will have the possibility to provide data on multiple years. For assessing the effectiveness of the convention, time-series data is required. Therefore, **when there is a possibility, please include information for more years than just the last 4 years (2014-2018).**
4. Use **data obtained from your NIP to fill in your report**, when appropriate.
Electronic Reporting System (SC-ERS)

At its second meeting, the COP requested the Secretariat to develop an online electronic reporting system (SC-ERS). The Secretariat established the SC-ERS and it was made available to Parties for use during the first reporting period. At its sixth meeting, in decision SC-6/21, the COP requested the Secretariat to further improve the SC-ERS, taking into account possible synergies with the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal, and in time for it to be used by parties for the submission of their third national reports due in 2014.

In response to the request from the COP, the Secretariat has updated and enhanced the SC-ERS in order to accommodate the updated format for the national report as adopted by the Conference of the Parties (COP) at its sixth meeting. In addition, the SC-ERS has been improved in order to make it more user-friendly and resourceful.

The updated SC-ERS is available and may be used by parties since 2014.

In 2018 the SC-ERS was updated with the changes required in the questionnaire for the 4th reporting cycle, with a deadline on 31 August 2018.

Please click here to access the SC-ERS, 4th reporting cycle questionnaire.

Parties are encouraged to use the SC-ERS to submit their reports as it offers a simpler and more user-friendly system and facilitates the collation and analysis of data by the Secretariat for the provision of the report on the national reports to the COP.
Access to the system

Selection of language:
Arabic, Chinese, English, French, Spanish, Russian

User accounts are provided for
Official Contact Points (OCPs)
Technical Officers (TOs)
Overall interface

Top tool bar
- Save changes
- Check errors

Main working area

Side tool bar
- Navigation to the contents
Error checking feature

<table>
<thead>
<tr>
<th>Section I. Article 6: Measures to reduce or eliminate releases from stockpiles and wastes</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Has your country developed strategies for identifying stockpiles consisting of or containing greater than 0.005% (60 ppm) PCB, in accordance with paragraph 1(a)(ii) of Article 6 of the Convention?</td>
</tr>
<tr>
<td>- Yes</td>
</tr>
<tr>
<td>- Currently being developed</td>
</tr>
<tr>
<td>- No</td>
</tr>
<tr>
<td>Please select an entry for Years:</td>
</tr>
<tr>
<td>1.1 Please select the year(s) in which the strategies were developed.</td>
</tr>
<tr>
<td>- Before 2009</td>
</tr>
<tr>
<td>- Before 2009</td>
</tr>
<tr>
<td>- Before 2010</td>
</tr>
<tr>
<td>- Before 2011</td>
</tr>
<tr>
<td>- Before 2012</td>
</tr>
<tr>
<td>- Before 2013</td>
</tr>
<tr>
<td>- Before 2014</td>
</tr>
<tr>
<td>1.2 Please select all elements that are included in the strategies.</td>
</tr>
<tr>
<td>- Media campaign.</td>
</tr>
<tr>
<td>- Regulatory and enforcement policies.</td>
</tr>
<tr>
<td>- Incentives.</td>
</tr>
<tr>
<td>- Partnerships with stakeholders.</td>
</tr>
<tr>
<td>- Identification of relevant sectors.</td>
</tr>
</tbody>
</table>
Submission of report

Deadline for submission: 31 of August, 2018
Recent examples from queries on national reporting data
### Status of submission of national reports

<table>
<thead>
<tr>
<th>ISO Code</th>
<th>Country name</th>
<th>Date of report submission for the first cycle (due on 30/12/2008)</th>
<th>Date of report submission for the second cycle (due on 31/10/2010)</th>
<th>Date of report submission for the third cycle (due on 31/08/2014)</th>
<th>Date of report submission for the fourth cycle (due on 31/08/2016)</th>
<th>Total Number of reports submitted</th>
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<tbody>
<tr>
<td>KH</td>
<td>Cambodia</td>
<td>30/01/2007</td>
<td>01/10/2012</td>
<td>09/02/2017</td>
<td></td>
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<td>HN</td>
<td>Honduras</td>
<td></td>
<td>27/01/2012</td>
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<td></td>
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<tr>
<td>KE</td>
<td>Kenya</td>
<td></td>
<td>31/10/2010</td>
<td></td>
<td></td>
<td>1</td>
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<tr>
<td>MG</td>
<td>Madagascar</td>
<td>31/07/2007</td>
<td>22/10/2010</td>
<td>28/04/2016</td>
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<td>PG</td>
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<tr>
<td>MD</td>
<td>Republic of Moldova</td>
<td>28/02/2007</td>
<td>31/10/2010</td>
<td></td>
<td></td>
<td>2</td>
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<tr>
<td>LC</td>
<td>Saint Lucia</td>
<td></td>
<td></td>
<td></td>
<td>06/05/2015</td>
<td>1</td>
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<tr>
<td>UA</td>
<td>Ukraine</td>
<td></td>
<td>29/10/2010</td>
<td>16/09/2014</td>
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<td>2</td>
</tr>
</tbody>
</table>
## Totals on Production, Export, Import and Disposal

### Fourth reporting cycle (2014-2018)

#### Totals on Production, Export, Import and Disposal

<table>
<thead>
<tr>
<th>Country</th>
<th>Total Production (Kg)</th>
<th>Total Export (Kg)</th>
<th>Total Import (Kg)</th>
<th>Total Disposal (Ton)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Region: Africa</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cameroon</td>
<td></td>
<td></td>
<td></td>
<td>128.25</td>
</tr>
<tr>
<td>Morocco</td>
<td></td>
<td></td>
<td></td>
<td>1,030.00</td>
</tr>
<tr>
<td>Rwanda</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td>595,000.00</td>
<td></td>
<td>1,158.25</td>
</tr>
<tr>
<td><strong>Region: Asia and Pacific</strong></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>China</td>
<td>146,137.850.00</td>
<td></td>
<td></td>
<td>5,611.03</td>
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<tr>
<td>Indonesia</td>
<td></td>
<td></td>
<td></td>
<td>675.00</td>
</tr>
<tr>
<td>Kazakhstan</td>
<td>428,650.00</td>
<td></td>
<td></td>
<td>428.45</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>428,650.00</td>
<td></td>
<td>675.00</td>
<td>6,039.48</td>
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<tr>
<td><strong>Region: Central and Eastern Europe</strong></td>
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<td>Belarus</td>
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<td></td>
<td>407,000.00</td>
</tr>
<tr>
<td>Bosnia and Herzegovina</td>
<td></td>
<td></td>
<td></td>
<td>104,548.00</td>
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<tr>
<td>Croatia</td>
<td></td>
<td></td>
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<td>608.89</td>
</tr>
</tbody>
</table>
Recalling: Article 15 - Quantitative Data

Part B reporting
Part B: Section VI Chemicals production, export, import (Article 15)
  Intentional POPs: Annex A and B
Part B: Section IV Unintentional production (Article 5): Annex C
Part B: Section V Stockpiles and wastes (Article 6)

Reporting on PCB and PFOS
Part C: Section I PCB Stockpiles and wastes
Part C: Section III PCB destructions
Part D: PFOS
Guidance for inventories: Intentional POPs
(Annex A / B)

NIP development, review and update:
- Guidance for Developing a National Implementation Plan (NIP)
  - Guidance for the review and updating of NIPs
  - Elaborated process of reviewing and updating NIPs
- Draft guidance on calculation of action plan costs for specific POPs
- Draft guidance on Socio-Economic Assessment for NIP Development and Implementation

Inventories:
- Draft guidance for the inventory of perfluorooctane sulfonic acid (PFOS) and related chemicals
- Draft guidance for the inventory of polybrominated diphenyl ethers (PBDEs)
- Guidance for the inventory, identification and substitution of Hexabromocyclododecane (HBCD)
- Draft guidance on sampling, screening and analysis of POPs in products and articles
- Draft guidance on preparing inventories of hexachlorobutadiene (HCBD)
- Draft guidance on preparing inventories of pentachlorophenol (PCP) and its salts
- Draft guidance on preparing inventories of polychlorinated naphthalenes (PCN)

NIP Implementation:
- Draft guidance on BAT and BEP for the use of perfluorooctane sulfonic acid (PFOS) and related chemicals
- Draft guidance on BAT and BEP for the recycling and waste disposal of articles containing PBDEs
- Draft guidance for the control of the import and export of POPs
- Labelling of products or articles that contain POPs-initial considerations

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Guidance for inventories: UnIntentional POPs (Annex c)
Conclusions for the fourth reporting cycle

Issues to be mindful of:

• Ensure QA/QC: opportunity to update and revise data including the correction of errors

• Ensure completeness: opportunity to provide missing information by answering all questions

• Before submitting the national report of your country, please revise it to be sure that all applicable questions/tables/comments fields were answered properly.

• Assistance provided by the Secretariat
Thank you for your attention

For questions and assistance with reporting, please visit http://chm.pops.int/Countries/Reporting/Guidance/tabid/3670/Default.aspx and/or contact the Secretariat at:

Email: carla.valle@brsmeas.org