# Draft Outline of summary of submissions on potential response options for continued work for consideration by the United Nations Environment Assembly

### Section 1. Draft Outline of summary of submissions on potential response options

In the letter by the Chair dated 11 December 2019, member States and stakeholders were invited to provide submissions of potential response options, pursuant to paragraph 10(d) of UNEA resolution 3/7, through the web portal. The Secretariat has received 11 submissions from member States and Specialized Agencies, and 4 submissions from Major Stakeholder Groups before the deadline of 15 August 2020, all the submissions are available online.

The Secretariat has gone through the submissions, and identified some key words, Table 1 below provides an initial outline of those key words. Please be reminded this document will not serve as a basis for further discussion or negotiation on the topic but is a mere attempt to capture the submissions received.

Table 2 further explains the key words identified in Table 1.

Table 1. Tentative summary of key words in the submissions

|                                    |   |                  |               |                   | ľ      | Member | States an | d Specialized Ag | gencies |         |          |             | Major Groups and Stakeholders |                                   |     |                                |
|------------------------------------|---|------------------|---------------|-------------------|--------|--------|-----------|------------------|---------|---------|----------|-------------|-------------------------------|-----------------------------------|-----|--------------------------------|
| Actions/a                          | approaches  | African<br>Group | EU<br>+<br>MS | Nordic<br>Council | Norway | Iran   | Japan     | Switzerland      | US      | Vietnam | Malaysia | Philippines | CIEL,<br>EIA,<br>Gaia         | Indian<br>Water<br>Founda<br>tion | WWF | Associ<br>ation<br>Welfa<br>re |
|                                    | Life-cycle<br>Approach  | ٧                | ٧             | ٧                 | ٧      |        | ٧         | ٧                | ٧       | ٧       |          | ٧           | ٧                             |                                   |     |                                |
|                                    | Producer responsibility                                       |                  |               |                   | ٧      |        |           | ٧                |         | ٧       | ٧        | ٧           | ٧                             |                                   | ٧   |                                |
| Management<br>across life<br>cycle | Sustainable production and consumption (upstream)             | ٧                | ٧             |                   | ٧      | ٧      |           | ٧                |         | ٧       | ٧        | V           | ٧                             | ٧                                 | ٧   |                                |
|                                    | Environmentall<br>y sound waste<br>management<br>(downstream) | V                | ٧             |                   | ٧      | ٧      | V         | ٧                | ٧       | ٧       | ٧        | ٧           | ٧                             |                                   | ٧   |                                |
| Coordination and                   | International coordination and cooperation                    |                  | ٧             |                   | ٧      |        | ٧         | ٧                |         | ٧       | ٧        | ٧           | ٧                             | ٧                                 | ٧   |                                |
| cooperation                        | Building on<br>existing efforts<br>(synergy)                  | ٧                | ٧             |                   |        |        | ٧         | ٧                | ٧       |         | ٧        | ٧           | ٧                             |                                   | ٧   | ٧                              |

|                            | Multi-<br>stakeholder<br>engagement,<br>including the<br>private sector<br>and industry | ٧ | ٧ |   | ٧ |   | ٧ | <b>v</b> | ٧ | ٧ | ٧ | ٧ |   | ٧ |   | ٧ |
|----------------------------|---|---|---|---|---|---|---|----------|---|---|---|---|---|---|---|---|
| Scientific and             | Science-based action  | ٧ | ٧ |   | ٧ |   | ٧ | ٧        |   | V | ٧ | ٧ | ٧ |   | ٧ | ٧ |
| technological<br>knowledge | Strengthening<br>scientific and<br>technological<br>knowledge                           | ٧ | ٧ |   | ٧ |   | ٧ |          |   | V | ٧ | ٧ | ٧ | ٧ | ٧ |   |
| Means of implementati      | Resource<br>mobilisation<br>(technical and<br>financial),<br>capacity<br>building       | ٧ | ٧ |   |   | ٧ | ٧ |          | ٧ | ٧ | ٧ | ٧ | ٧ | ٧ | ٧ | ٧ |
| on                         | Development/i<br>mplementation<br>of national<br>action plans                           | ٧ | ٧ |   |   | ٧ | ٧ |          | ٧ | ٧ |   | ٧ | ٧ |   | ٧ |   |
| Nature of actions          | global<br>framework/agr<br>eement   | ٧ | ٧ | ٧ | ٧ |   |   | ٧        |   | ٧ | ٧ | ٧ | ٧ |   | ٧ |   |
| 2.53.6716                  | Voluntary<br>measures   |   |   |   |   |   |   | ٧        |   | ٧ |   |   |   |   |   |   |

## Table 2. Key words explained

| Actions/approaches         |                         | Expansion on the actions/approaches <sup>1</sup>   |  |  |  |
|----------------------------|-------------------------|--|--|--|--|
| Management across          |                         | The life-cycle of plastics covers from sustainable production, (including design of materials and products) and consumption              |  |  |  |
| life cycle                 | Life and Assessed       | (including distribution and use of products), to environmentally sound waste and wastewater management (including waste                  |  |  |  |
|                            | Life-cycle Approach     | collection)[EU], response options should also ensure that a life-cycle approach is considered in any path forward, so we to              |  |  |  |
|                            |                         | understand the environmental impacts of alternative technologies or materials [US]   |  |  |  |
|                            |                         | Producers of plastic products need to share the responsibility for a more sustainable plastic economy with governments and               |  |  |  |
|                            | Producer responsibility | consumers. That could for instance imply that plastic products are required to meet some basic sustainability criteria both pre-         |  |  |  |
|                            | Producer responsibility | and post-consumption in domestic markets, in order to fit for example national collection and recycling systems and thereby              |  |  |  |
|                            | `                       | ease the burden for domestic waste management regimes [Norway]   |  |  |  |
| Sustainable production and |                         | Sustainable <b>production</b> includes design of materials and products and <b>consumption</b> includes distribution and use of products |  |  |  |
|                            | consumption (upstream)  | [EU]   |  |  |  |

<sup>&</sup>lt;sup>1</sup> Some of the submitters did not further expand on the terms, the most generic explanations are given here, however, it is unknown if they would be acceptable to all.

|   | Environmentally sound waste management (downstream)                     | Environmentally sound waste management includes sustainable practices for sorting, collection and treatment of waste and wastewater [EU], recycling is also considered under this category.   |  |  |  |  |
|---|---|---|--|--|--|--|
| Coordination and cooperation                                | International coordination and cooperation                              | Efficient coordination to exchange on progress and best practices in order to streamline efforts to avoid duplication of effort and to provide a basis for informed decision-making by the <b>governments and the international community at the global level</b> .  [EU]   |  |  |  |  |
|   | Building on existing efforts (synergy)                                  | A coordinated and ambitious response that has a clear vision and objectives, sets priorities and targets, gives cohesion and context to <b>numerous existing initiatives</b> , while avoiding duplication of efforts, and most importantly fills identified gaps in a coordinated and structured manner <b>[EU]</b> , examples of such existing initiatives: Basel Convention, the Global Partnership on Marine Litter, SAICM, regional seas programs, regional fisheries bodies, and river basin committees. There are existing regional and/or multilateral frameworks and initiatives that are currently functioning to combat marine plastic litters and microplastics. In order to move forward effectively and efficiently, it would be strongly recommended with the viewpoint of efficiency to learn from such existing frameworks and initiatives as a crucial first step <b>[Japan]</b> . |  |  |  |  |
|   | Multi-stakeholder engagement, including the private sector and industry | Cooperation for industry, academia, civil society, governments and other <b>stakeholders</b> together with governments with a view "to take immediate action towards the long-term elimination, through a life-cycle approach, of discharges of litter and microplastics into the oceans. <b>[EU]</b>   |  |  |  |  |
| Scientific and technological knowledge Science-based action |   | Guidelines and standards, develop/improve global industry guidelines (e.g. for the management of polymers and additives; adoption of global labelling schemes); Establish global standards for industry plastic producers (e.g. encourages the use of extended producer responsibility schemes or the polluter pays principle as well as providing information on adverse impacts caused by their products); Global monitoring, establish a monitoring system that includes review and accountability and speaks to the Precautionary Principle (enables a holistic land-to-sea approach view); Reporting, Standardize global, regional and national reporting on production, consumption and final treatment of plastics, address the whole life cycle [Switzerland]; actions similar to Guidelines for Harmonizing Ocean Surface Microplastic Monitoring Methods [Japan]                          |  |  |  |  |
|   | Strengthening scientific and technological knowledge                    | Strengthening scientific and technological knowledge either through a Global Science and Knowledge Base [Norway], or through promoting the work of the scientific advisory committee [Japan]  |  |  |  |  |
| Means of implementation                                     | Resource mobilisation (technical and financial), capacity building      | Facilitate availability of financial and technical resources necessary [EU] and/or identify innovative approaches to mobilize non-governmental resources and financing [US]   |  |  |  |  |
|   | Development/implementation of national action plans                     | Establish national action plans in which countries would set themselves targets and identify measures for tackling plastic pollution thereby committing to taking action best suited to their individual context, [EU] that may also facilitate action at the subnational or local level [US]   |  |  |  |  |
| Nature of actions   | Legally binding approach  | Development of a new global agreement taking into account the full life-cycle of plastics [EU] [Nordic Council] [Norway]  |  |  |  |  |
|   | Voluntary measures  | As opposed to the legally binding approach, voluntary measures encourage and coordinate industry-led solutions and commitments; introduce voluntary national reduction targets [Switzerland] and member states make its best efforts to combating marine litter and microplastics, according to their circumstances [Japan]   |  |  |  |  |

## Section 2. Draft compilation of submissions on potential response options

This section offers a compilation of submissions on response options, information in the submissions are grouped into 4 categories, namely, status quo, actions needed to address the gaps, expected AHEG actions and propositions on response options. Exact words in the submissions are reflected here in the table without change, to avoid misinterpretation of the submissions.

| Organization  | Status quo              | Actions needed to address the gaps | AHEG actions              | Proposed response options   |
|---------------|-------------------------|------------------------------------|---------------------------|---|
| Member States | and Regional Groups     |                                    |                           |   |
| African Group | -Africa is a leader in  |                                    | -UNEA-5 delivers the      | -A stronger global response is needed to enable the success of national             |
|               | taking action on        |                                    | solution to move this     | initiatives. The voluntary initiatives that have been put in place over the past    |
|               | management of           |                                    | forward by providing the  | decades have fallen short, and the Regional Seas Conventions and Action Plans       |
|               | plastics, and nearly    |                                    | negotiation mandate for   | are not set up to deal with global supply chains, design standards or recycling     |
|               | half of all States in   |                                    | a new legally binding     | requirements. Partly as a result of this, a growing number of States, including     |
|               | Africa have introduced  |                                    | instrument to combat      | the African Group, have, over the past two years, signalled an interest in          |
|               | legislation aimed at    |                                    | plastic pollution. The    | exploring the option of a new legally binding agreement                             |
|               | tackling plastic        |                                    | African Group is          | -Possible elements in a new global governance architecture or agreement             |
|               | pollution, including by |                                    | committed to engaging     | 1. Shared vision: Building on the zero-vision agreed to in UNEA resolution 3/7,     |
|               | prohibiting certain     |                                    | constructively in the     | the international community should articulate a clear goal of eliminating all       |
|               | leakage-prone           |                                    | discussions on this issue | discharge of plastic into the ocean, directly or indirectly, based on the principle |
|               | products like plastic   |                                    | going forward, and we     | of precaution and in recognition of the devastating impact plastic pollution has    |
|               | bags.                   |                                    | welcome the recent        | on ecosystems and livelihoods. 2. <b>Reduction targets</b> : Based on an agreed     |
|               | -However, this has      |                                    | establishment of a Group  | calculation method, the international community should set a clear and              |
|               | come with some          |                                    | of Friends on marine      | measurable reduction target, to be reached by a certain year The common             |
|               | challenges such as      |                                    | plastic pollution in New  | reduction target should also be translated into national reduction targets, in an   |
|               | influx of plastics from |                                    | York. We also have high   | equitable manner, based on the principle of common but differentiated               |
|               | other regions through   |                                    | expectations for an       | responsibilities. It will be important to ensure, however, that the sum of national |
|               | porous borders,         |                                    | ambitious outcome from    | commitments are sufficient to achieve the common objective, something that          |
|               | inadequate              |                                    | 5th session of United     | other environmental issues have struggled with. Moreover, we believe that           |
|               | enforcement and loss    |                                    | Nations Environment       | urgency is needed in the near-term in line with SDG 14.1 ("by 2025, prevent and     |
|               | of jobs. The effects of |                                    | Assembly, which should    | significantly reduce marine pollution of all kinds, particularly from land-based    |
|               | these efforts have      |                                    | pave the way for          | activities, including marine debris and nutrient pollution").                       |
|               | been further limited by |                                    | strengthened global       | 3. National action plans: In order to improve long-term planning, predictability    |
|               | the lack of a dedicated |                                    | action to address the     | for business, and promote transparency, the new global governance                   |
|               | and coherent            |                                    | problem of plastic        | architecture should facilitate the development of national action plans, which      |
|               | international           |                                    | pollution.                | would serve as planning tools in efforts to achieve the national reduction          |
|               | regulatory framework.   |                                    | ,                         | targets. National action plans are also useful in terms of adapting policy          |
|               | Tackling plastic        |                                    |                           | measures and regulatory interventions to local and national context.                |
|               | pollution requires a    |                                    |                           | 4. Monitoring and reporting: A new global governance architecture should            |
|               | comprehensive and       |                                    |                           | provide for an agreed measurement, reporting (covering plastic production, use      |
|               |                         |                                    |                           | and management at the national and international level in order to measure          |

multilayered approach. -There is a limit to how much we can achieve on national level alone, and that is not only the case for African States. Plastic pollution is a transboundary issue, the entire value-chain of plastic is transboundary, with global trade in raw materials, global trade in manufactured products and global trade in collected plastic waste

progress toward a safe circular economy for plastics and the elimination of leakage.) and verification scheme for tracking marine litter and microplastics discharge and the progress made to eliminate them at a national and international level.

- 5. **Scientific body**: Monitoring of national discharge should be supplemented by the establishment of a dedicated international scientific body with a mandate to assess and track the extent of the problem, and collect state-of-the-art knowledge to provide inputs for decision-making and implementation.
- 6. **Implementation support**: A new global governance structure or agreement must include a system for supporting States in their efforts to achieve their reduction targets. This should include a financial mechanism and a scheme for transfer of technology and expertise.
- 7. Common rules and regulations: The international community should strive to develop common calculation methods, definitions, standards and regulations for an efficient and coordinated global effort to combat plastic pollution. To the extent that certain policy measures, such as banning primary microplastics in cosmetics or phasing out of certain single-use plastic items, are considered meaningful by a majority of States, the new global governance architecture should provide a platform for adopting uniform regulatory measures applicable to all States. Particular attention should be given to those categories of plastic products that are most prone to leakage and that pose a particular risk to the environment, including single-use plastics, fishing gear and primary microplastics.

#### Suggestions for national or local response options

- 1. Regulatory or governance measures: ➤ Subscribe to the provisions of the National Coastal Plans and add to them a section related to marine litter in the context of the protection and preservation of the coastal ecosystems. ➤ Introduce taxes on the disposal of waste in the natural environment. ➤ Pooling efforts and creating synergies between various partners, and establishing national agencies dedicated to the coast with an entity dedicated to the issue of marine and microplastic waste if necessary.
- 2. **Operational measures:** ➤ Carry out collection campaigns at the beaches throughout the year and raise awareness among municipalities to introduce this waste as part of the National Household Waste Collection Programs, taking into account the collection time which must be before high tides to prevent litter from ending up at sea. ➤ Promote improved waste management systems (upstream sorting, recycling and recovery). ➤ Encourage managers in the private sector to set up companies dedicated to the recycling and recovery of plastic products through subsidies, and / or public / private partnerships. ➤ Strengthen reception facilities in ports and involve fishermen in the collection of waste at sea. ➤ Encourage coastal communities to obtain the "Blue Flag" label

|       |                           |                     |                            | by improving waste management in their beaches. ➤ Make sure to cover all the             |
|-------|---------------------------|---------------------|----------------------------|--|
|       |                           |                     |                            | beaches with the waste collection service (ideally selective),                           |
|       |                           |                     |                            | 3. Awareness raising measures: ➤ Strengthen the capacities of developing                 |
|       |                           |                     |                            | countries in general and of African countries in particular in terms of fundraising      |
|       |                           |                     |                            | for pilot and development projects aimed at implementing the aforementioned              |
|       |                           |                     |                            | operational measures in their countries, while creating job opportunities and            |
|       |                           |                     |                            | improving the standard of living of the population. ➤ Continue and strengthen            |
|       |                           |                     |                            | efforts to raise awareness among citizens of the impacts of marine litter in order       |
|       |                           |                     |                            | to reduce their production upstream. ➤ Strengthen and perpetuate efforts to              |
|       |                           |                     |                            | raise awareness and educate the environment on the issue of marine litter at             |
|       |                           |                     |                            | beach level, for the benefit of all the public and schools. ➤ Encourage the              |
|       |                           |                     |                            | development of ICT tools and information and awareness-raising materials for             |
|       |                           |                     |                            | the general public, especially for young people. ➤ Support managers of marinas           |
|       |                           |                     |                            | to obtain the "Blue Flag" label  |
| EU+MS | -At its first meeting in  | -An effective       | -Should be the focus of    | -Establishing a common vision and objectives   |
|       | May 2018, the             | response should     | work of the next two       | -Action at local, regional, national and global level. Any new global framework          |
|       | AHOEEG agreed that        | consist in          | AHOEEG meetings, where     | should be flexible enough to take into account national circumstances as well as         |
|       | the status quo was not    | supporting a full   | breakout sessions should   | region-specific challenges   |
|       | an option.                | implementation      | be organised over several  | -Building on existing instruments  |
|       | -The EU has already       | of existing efforts | days to allow for fruitful | -Closing the gap-addressing the full lifecycle of plastics with a focus on               |
|       | taken decisive policy     | and addressing      | and dynamic exchanges.     | prevention of plastic pollution. Elements to be considered: <b>Sustainable</b>           |
|       | and legislative steps in  | remaining           |                            | <b>production</b> of the plastic value chain presents a major challenge, necessitating a |
|       | addressing plastic        | governance and      |                            | special emphasis on how primary materials and actual products (including                 |
|       | pollution within its      | policy gaps, the    |                            | packaging) are designed and produced; Sustainable consumption plays a role in            |
|       | jurisdiction. The         | remaining gaps      |                            | reducing the use of unnecessary and environmentally harmful plastics and it will         |
|       | European Green Deal -     | requiring action    |                            | be crucial for reducing plastic pollution leaking into the environment; There will       |
|       | the EU's growth           | at a global level   |                            | however always be residual waste that needs to be properly managed.                      |
|       | strategy – is based on    | exist along the     |                            | <b>Environmentally sound waste management</b> , including sustainable practices for      |
|       | a circular economy        | full life-cycle of  |                            | sorting, collection and treatment of waste and wastewater, therefore needs to            |
|       | model that allows the     | plastics but are    |                            | be a part of the solution; any global response must be based on <b>sound science</b>     |
|       | economy to grow           | most prominent      |                            | and a compilation of the knowledge regarding plastic pollution.                          |
|       | within the planetary      | in the upstream     |                            | -Structures. Holistic approach will require structures that provide venues for           |
|       | boundaries,               | part of it          |                            | interaction and policy development, with clear roles and efficient coordination          |
|       | complemented by the       | -A global           |                            | mechanisms in order to fulfil such tasks. Such structures will need to be able to        |
|       | precautionary             | response based      |                            | accommodate both the need for a full participation and contribution of                   |
|       | principle of              | on a resource       |                            | economic and civil society stakeholders as well as the need for                          |
|       | environmental policy.     | efficient and       |                            | intergovernmental and multilateral interaction. Coordination to exchange on              |
|       | Even if we now have       | circular approach   |                            | progress and best practice of a number of instruments and various actors; multi-         |
|       | ambitious policies and    | to plastics would   |                            | stakeholder platform, a cooperation platform for industry, academia, civil               |
|       | legislation in this area, | give the            |                            | society, governments and other stakeholders together with governments;                   |
|       | we recognize that         | necessary           |                            | government action, dedicated single venue for governments at the global level            |

| action at national or   | leverage to         | to meet and discuss, across all these clusters on the basis of the stakeholders' |
|-------------------------|---------------------|--|
| regional level will not | national            | input and scientific advice, medium and long-term goals and targets, monitor     |
| be sufficient to deal   | authorities         | progress in implementation and agree on joint action and commitments along       |
| with a problem, which   | wishing to          | the life-cycle of plastics.  |
| is transboundary in     | effectively         | -Resource mobilization.  |
| scale and nature and,   | address the         |  |
| as such, demands        | challenges they     |  |
| global action           | face, in particular |  |
|                         | countries that are  |  |
|                         | primarily           |  |
|                         | consumers of        |  |
|                         | plastic products    |  |
|                         | - The global        |  |
|                         | response needs      |  |
|                         | to be truly         |  |
|                         | holistic in several |  |
|                         | ways. It should     |  |
|                         | build on existing   |  |
|                         | efforts, be multi-  |  |
|                         | layered (action at  |  |
|                         | all levels) and     |  |
|                         | address all stages  |  |
|                         | of the life-cycle   |  |
|                         | of plastic- from    |  |
|                         | sustainable         |  |
|                         | production          |  |
|                         | (including design   |  |
|                         | of materials and    |  |
|                         | products) and       |  |
|                         | consumption         |  |
|                         | (including          |  |
|                         | distribution and    |  |
|                         | use of products) -  |  |
|                         | to                  |  |
|                         | environmentally     |  |
|                         | sound waste and     |  |
|                         | wastewater          |  |
|                         | management          |  |
|                         | (including waste    |  |
|                         | collection).        |  |
|                         | -All stakeholders   |  |
|                         | should be fully     |  |

|             |   | associated with    |                             |   |
|-------------|---|--------------------|-----------------------------|---|
|             |   | the process        |                             |   |
| Nordic      | -The Nordic Ministers                     | the process        | -It is the intention that   |   |
| Council     | called for a report to                    |                    | the drafting of the report  |   |
| Council     | look into the possible                    |                    | will inform and contribute  |   |
|             | elements that could be                    |                    | to the discussions under    |   |
|             | included in a new                         |                    | AHEG. Thus it is our        |   |
|             |   |                    |                             |   |
|             | global agreement on                       |                    | request that this work will |   |
|             | marine litter and                         |                    | be considered as a          |   |
|             | microplastics. The                        |                    | submission to AHEG-4,       |   |
|             | report aims to inform                     |                    | under proposed agenda       |   |
|             | future decision-                          |                    | item 5 "Consideration of    |   |
|             | making, by sketching                      |                    | submissions on potential    |   |
|             | out the possible                          |                    | response options            |   |
|             | elements and                              |                    | pursuant to paragraph 10    |   |
|             | approaches to a new                       |                    | d) of United Nations        |   |
|             | global agreement                          |                    | Environment Assembly        |   |
|             | taking into account the                   |                    | resolution 3/7."            |   |
|             | full life-cycle of                        |                    |                             |   |
|             | plastics. The final                       |                    |                             |   |
|             | report will be launched                   |                    |                             |   |
|             | at the SDG 14                             |                    |                             |   |
|             | conference hosted by                      |                    |                             |   |
|             | Portugal and Kenya on                     |                    |                             |   |
|             | 2-6 June 2020 in                          |                    |                             |   |
|             | Lisbon.                                   |                    |                             |   |
| lua a       | Barriers and                              | -Explore all       |                             | Improve dumping and dumping sites with best available techniques or             |
| <u>Iran</u> |   | •                  |                             |   |
|             | challenges:                               | barriers to        |                             | technologies  |
|             | -Legislative gaps in                      | combating          |                             | 2. Having litter and microplastic collection site in inshore and offshore areas |
|             | combating land-based and sea-based litter | marine litter and  |                             | 3. Installing litter and microplastic facilities in inshore and offshore areas  |
|             |   | microplastic,      |                             | 4. Clean up of microplastic floating in sea water vial employing advance        |
|             | and microplastic                          | including          |                             | collecting devises and new machinery  |
|             | (1) A limited mandate                     | challenges         |                             | 5. Replacement of plastic by environment friendly material such as              |
|             | on disposing garbage                      | related to         |                             | biodegradable material textile bags and so on.                                  |
|             | from land-based                           | resources in       |                             | 6. Caring on in a national bases of identification chemical properties, sources |
|             | sources into coastal                      | developing         |                             | effect, fate and control of marine litter and microplastic                      |
|             | area                                      | countries;         |                             | 7. Implementation of marine litter and microplastic action plan                 |
|             | (2) Potential legislative                 | -Identify the      |                             |   |
|             | gaps in disposing litter                  | range of national, |                             |   |
|             | and wastewater from                       | regional and       |                             |   |
|             | different industries                      | international      |                             |   |

| into the coastal area                  | response options, |  |  |
|--|-------------------|--|--|
| pertaining to removing                 | including actions |  |  |
| the existing garbage                   | and innovative    |  |  |
| and preventing new                     | approaches, and   |  |  |
| pollutions                             | voluntary and     |  |  |
| (3) Lack of strategy                   | legally binding   |  |  |
| framework for marine                   | governance        |  |  |
| pollution                              | strategies and    |  |  |
| (4) Potential legislative              | approaches;       |  |  |
| gaps on production                     | -Identify         |  |  |
| and use of land-based                  | environmental,    |  |  |
| materials causing                      | social and        |  |  |
| marine litter                          | economic costs    |  |  |
| (5) Mandate all vessels                | and benefits of   |  |  |
| to carry GPS to                        | different         |  |  |
| facilitate location                    | response options; |  |  |
| logging of lost gear for               | -Examine the      |  |  |
| later retrieval                        | feasibility and   |  |  |
| (6) Mandate reporting                  | effectiveness of  |  |  |
| of gear loss and                       | different         |  |  |
| facilitate sharing of                  | response options; |  |  |
| this information to                    | -Identify         |  |  |
| reduce gear conflict                   | potential options |  |  |
| (7) Seeking a mandate                  | for continued     |  |  |
| for Prohibition of                     | work for          |  |  |
| Discharge in the                       | consideration by  |  |  |
| Marine Environment                     | the United        |  |  |
| (8) Potential legislative              | Nations           |  |  |
| gaps relevant to cargo                 | Environment       |  |  |
| residues include:                      | Assembly.         |  |  |
| <ul> <li>A lack of a strict</li> </ul> |                   |  |  |
| requirement for                        |                   |  |  |
| shippers to declare                    |                   |  |  |
| whether or not                         |                   |  |  |
| cargoes they ship are                  |                   |  |  |
| "harmful to the marine                 |                   |  |  |
| environment" (HME) –                   |                   |  |  |
| this is within the                     |                   |  |  |
| Guidelines, but not                    |                   |  |  |
| mandatory; and                         |                   |  |  |
| There is no list of                    |                   |  |  |
| solid bulk cargoes or                  |                   |  |  |

|              | assessment of individual cargoes that are HME: this causes potential variance in assessment. This list (potentially, as with dumping, a 'reverse list' which specifies cargos that are not harmful) may be developed outside legislation and subsequently referenced -Lack of proper information and reporting from land-based sources disposed to coastal environment -Technology: Such as satellite monitoring systems like Clean Sea Net focus primarily on detecting oil discharges, lack of proper technologies to replace plastics and microplastic to environmental friendly materials.  -Financial: Lack of adequate financial resources to combat different items of litters and microplastic originated from land or sea. |  |   |  |
|--------------|---|--|---|--|
| <u>Japan</u> | -There is a lack of scientific knowledge globally in common,  | -There is no "one-fits all" solutions for this | -UNEA/UNEP should work<br>proactively to implement<br>the resolution of UNEA4 | -National. As a major premise, every member state should make its best efforts to combat marine litter and microplastics, according to their circumstances and jurisdiction. National measures should cover entire life-cycle of plastics based on |

such as monitoring issues as plastics (4/6 "Marine Plastic Litter circumstances and capabilities of each countries. It should be recognized that capacity; international are widely used and Microplastics." This many member states have already developed their national action plans, harmonization of in every aspect of should include UNEP to however, there are still many member states who have not developed such monitoring economic strengthen scientific and technological knowledge -Regional and international. Regional and international frameworks should have methodology; sources, activities and pathways and fate of daily life a role to support and facilitate each countries' national plans and measures through convening plastic waste leakage according to existing relevant science against marine litter and microplastics. Here, the following points are important for regional/international frameworks toward the diverse national advisory initiatives and to development of global circumstances. It strengthen coordination to function effectively: land and sea-based is necessary for and cooperation by Sharing a long-term global/regional vision Understanding the importance of comprehensive life-cycle approach source inventories; each country to establishing a multiidentify issues Allowing all countries to have opportunities to share information and learn and impacts to stakeholder platform, in based on addition to holding Ad best-practices and knowledge to strengthen their national measures ecosystems. scientific Hoc Open-Ended Expert Promoting international cooperation to support countries that need -Capacity of policy formulation and knowledge, and Group meetings. capacity building implementation formulate and -In the consideration of Strengthening scientific knowledge and innovative solutions including 3R and implement most AHOEEG, following points sound waste appropriate are important to build There are existing regional and/or multilateral frameworks and initiatives that management practices tailored actions. ground for further work are currently functioning to combat marine plastic litters and micro-plastics. In order to move forward effectively and efficiently, it would be strongly in developing by UNEA5: countries is Consideration of all recommended with the viewpoint of efficiency to learn from such existing insufficient. possible response frameworks and initiatives as a crucial first step. Such frameworks and initiatives include G20/G7, ASEAN/EAS and Regional Seas options without prejudging possible Programme<sup>2</sup>. outcomes at the UNEA5 -Strengthening of scientific knowledge Collection of On floating microplastics, Japan, with the work of experts, has published the relevant information "Guidelines for Harmonizing Ocean Surface Microplastic Monitoring Methods" in and good practices May 2019 as one of the activities of G7. of functioning The guideline<sup>3</sup> provides recommendations such as: frameworks and to collect samples when sea conditions are as calm as possible initiatives at the to use a flowmeter to calculate the tow distance (if the tow distance is regional and affected by a water surface current and not equivalent to that calculated international scale between the start and end positions) through holding to compare results of particles in the size range of 1-5 mm regional meetings Consideration of possibilities to

<sup>2</sup> More details on the activities under these frameworks and initiatives can be found in the original submission.

strengthen and

<sup>&</sup>lt;sup>3</sup> Available at :http://www.env.go.jp/en/water/marine litter/guidelines/guidelines.pdf

|        |  |  | expand well-<br>functioning types of<br>frameworks/initiativ<br>es  |   |
|--------|--|--|---|---|
| Norway | -The UNEA-process and discussions in the expert group so far have proven that there is broad agreement that "status quo" is not a sustainable option -UNEA-3 stressed in its resolution 3/7 the importance of long-term elimination of all discharge of litter and microplastics into the oceans. Currently, there is no international framework that in a systematic and holistic way addresses all aspects of this complex problem with the aim to fulfil this global vision | - There is a need for stronger responses to the marine litter and microplastics challenge - A significant change in the way we use and dispose of plastic products needs to take place. We need to use plastic products smarter, recycle more, and better control and manage our plastic waste. To be effective, changes in plastics production and consumption patterns and plastic waste management need to be actively promoted and supported by stronger national plastic policies in all countries. | - AHEG 4 and 5 should focus its work on the response options at the global level that are necessary to produce more effective action at all levels. This includes the consideration of a new dedicated global agreement -The agenda of the 4th and 5th Expert Group meetings should be tailored to allow for structured discussions on response options related to key areas of the lifecycle of plastic products -Discussions proposed to focus on: 1. Enhanced plastic waste minimization, recycling and management; 2.  More sustainable plastic products; 3. How to share the responsibility fairly; 4. Microplastics, targeted measures are needed; 5. Building a global science and knowledge base, propose of a global science and knowledge base composes of a decision making boy, steering committee, and a pool of specialists | -Enhanced coordination and cooperation between states as well as between relevant international bodies and instruments is necessary, the most effective response option will be to establish a new global agreement |

| <u>Switzerland</u> | -The status quo is not  | -The overarching    | - Overall the response options must account for                                     |
|--------------------|-------------------------|---------------------|---|
|                    | sufficient, non-action  | aim must be to      | (a) adopting an integrated approach to waste management at the national level;      |
|                    | is no longer an option. | work towards        | (b) embedding a life-cycle approach and reduce-reuse-recycle thinking into all      |
|                    | Gaps and barriers:      | clear,              | aspects of the economy, including producer responsibility; (c) using a source-to-   |
|                    | -The lack of clear      | comprehensive,      | sea approach given the importance of rivers as conduits for the delivery of         |
|                    | binding standards on    | coherent,           | plastic litter to the marine environment; (d) building on successful regional and   |
|                    | plastic pollution       | efficient and       | global mechanisms such as the Regional Seas and Basel, Rotterdam and                |
|                    | mitigation, especially  | effective           | Stockholm Conventions, the Strategic Approach to International Chemicals            |
|                    | from land-based         | international       | Management and others; (e) creating a global architecture that includes existing    |
|                    | sources: this           | rules with          | and new, voluntary or potentially legally binding elements, in a multi-layered,     |
|                    | encompasses industry    | corresponding       | governance approach, that could be extended to other institutions.                  |
|                    | regulation, waste and   | institutions to     | -Waste Management and Prevention. Mainstream prevention, collection,                |
|                    | wastewater              | effectively         | separation and environmentally sound disposal of waste into national                |
|                    | management,             | address this        | development strategies.   |
|                    | reduction of non-       | environmental       | -Ban of microplastics in cosmetic products.   |
|                    | recoverable             | issue of global     | -Recycling. Consider recycling rates.   |
|                    | microplastics, and      | concern.            | -Voluntary commitments. Industry-led solutions and commitments; voluntary           |
|                    | human rights            | -We are in need     | national reduction targets.   |
|                    | implications;           | of response         | -Advocacy and action on overarching concepts including Green Economy, Life          |
|                    | -Missing or inadequate  | options that        | Cycle Approach, and Sustainable Consumption and Production, including               |
|                    | chemicals and waste     | consider existing   | product design;   |
|                    | management,             | and new,            | Link with the overarching concept of pollution and the associated risks to health,  |
|                    | including wastewater    | voluntary or        | including human and environmental health;   |
|                    | management;             | potentially legally | -Reporting. Standardize global, regional and national reporting on production,      |
|                    | -The lack of science-   | binding             | consumption and final treatment of plastics, address the whole life cycle;          |
|                    | based product design    | elements,           | -Collaboration among member states in existing conventions, organisations, and      |
|                    | and production in       | concern the         | fora, this includes a coherent national position across the responsible ministries; |
|                    | order to avoid          | governments and     | and among existing conventions, organisations, and fora in order to address the     |
|                    | unintentional loss of   | other               | issue in a coherent and complementary way, in particular with Basel;                |
|                    | plastic throughout      | stakeholders        | -Existing frameworks. Review, revise, and build on relevant existing                |
|                    | supply chains or        | (including the      | instruments; Harmonize international legal instruments and approaches;              |
|                    | through wear of         | private sector      | -Guidelines and standards. Develop/improve global industry guidelines;              |
|                    | products;               | and consumers)      | Establish global standards for industry plastic producers                           |
|                    | -Geographic gaps in     | alike and that      | -Global monitoring. Establish a monitoring system that includes review and          |
|                    | the scope of existing   | work on national,   | accountability and speaks to the Precautionary Principle (enables a holistic land-  |
|                    | conventions. Many       | regional and        | to-sea approach view);  |
|                    | inland waters and       | international       | -Overarching Sustainable Consumption and Production. Engage in existing             |
|                    | watersheds are not      | levels as well as   | overall mechanism and programmes that speak to sustainable consumption and          |
|                    | always covered, areas   | across the time     | production  |
|                    | beyond national         | scale.              | -Global architecture. Establish a new international architecture that includes      |
|                    | jurisdiction are only   |                     | response options as presented above, of voluntary or potentially legally binding    |
|                    | marginally included,    |                     | nature. In parallel, take action in the interim and apply other response options.   |

| the main polluting        |  |  |
|---------------------------|--|--|
| areas are not covered     |  |  |
| by a legally binding      |  |  |
| convention;               |  |  |
| -The lack of a strong     |  |  |
| capacity-building         |  |  |
| scheme;                   |  |  |
| -Ineffective              |  |  |
| compliance and            |  |  |
| enforcement               |  |  |
| mechanisms in             |  |  |
| multilateral              |  |  |
| environmental             |  |  |
| agreements;               |  |  |
| -Insufficient             |  |  |
| implementation of the     |  |  |
| polluter-pays principle   |  |  |
| tailored to the issue at  |  |  |
| stake;                    |  |  |
| -Solutions focus mainly   |  |  |
| on adaption measures      |  |  |
| instead of mitigation.    |  |  |
| The overall source-to-    |  |  |
| sea point of view         |  |  |
| needs to be               |  |  |
| established to consider   |  |  |
| the full life cycle, i.e. |  |  |
| the upstream design       |  |  |
| phase of plastic          |  |  |
| products to the final     |  |  |
| treatment of plastic;     |  |  |
| -Fragmented and/or        |  |  |
| partial consideration     |  |  |
| of the problem in         |  |  |
| existing instruments,     |  |  |
| organizations and fora    |  |  |
| and lack of               |  |  |
| coordination among        |  |  |
| existing initiatives.     |  |  |
| Collaboration and         |  |  |
| discussions among         |  |  |
| member states, among      |  |  |

|     | organizations and instruments, and of member states with organizations/instrum ent; -Current consumption and production patterns drive the issue of marine pollution. Life cycle approaches and Green Economy principles have not been addressed. |   |   |  |
|-----|---|---|---|--|
| USA | -Continues to support practical and effective action by all countries to reduce discharges of marine plastic litter (MPL) to the ocean  | -There is no one- size-fits-all approach that will work for every country and situation, and many solutions will be carried out or are best implemented at regional, subnational and local levels of government or by nongovernmenta I entities | -AHEG should consider the potential for numerous approaches to help solve this problem, prioritizing actions that are cost-effective, and that focus on large-scale reductions in major source countriesFuture meetings should be informed by the prior deliberations in the AHEG on response options. For example, the Annex of the AHEG-2 report identifies a range of issues and possible response options, and appropriately does not endorse any specific approaches. The AHEG should continue its work with the purpose of informing the broader policy discussions rather than pursue a specific recommendation. | - Response options should promote enhanced on the ground actions that will:  (1) build capacity for environmentally sound waste management, (2) incentivize recycling and support the global scrap market, and (3) promote innovative technology and business models.  -Response options should also ensure that a life-cycle approach is considered in any path forward, so we understand the environmental impacts of alternative technologies or materials.  - A combination of response options of differing size and scope will be most effective to achieve reductions in MPL discharges. Those options should encompass regional, national, sub-national, and local governments, the private sector, non-governmental organizations, and philanthropic foundations.  -Do not view a legally-binding instrument as the most effective approach to achieving reductions of MPL due to the inherently localized nature of waste management and the need to continue to accrue best practices in waste management rather than prioritize identifying international obligations.  -Should consider options, including existing forums, for collaboration tailored to spur regional, national, sub-national and local action and to include appropriate participation by non-governmental actors. The Strategic Approach to International Chemicals Management SAICM multi-stakeholder model (or the SAICM body itself) could be considered as a possible approach that would allow for broad participation across government and non-government interests. If there is a need for traditional government to government engagement, it could be accommodated by handling some issues outside of the multi-stakeholder model.  - Continue to emphasize the benefits of regional, national, sub-national, and local approaches that can take into consideration circumstances on the ground, rather than press for universal approaches |

-The AHEG should consider the role for existing, enhanced, or new public private partnerships that can promote targeted actions and capacity building or assist with resource mobilization. -The AHEG should consider innovative approaches to mobilize non-governmental resources and financing. The business community has already committed more than a billion dollars of finance that will help countries reduce their MPL discharges. Foundations and nongovernmental organizations can further compliment private sector investment and engagement. -The AHEG should recognize and build on the current work undertaken by the Global Partnership on Marine Litter to reduce MPL. This effort could be given further attention and strengthened to improve its reach and effectiveness. -Regionally, the AHEG should consider the existing instruments such as regional seas programs, regional

|                |                         | fisheries bodies, and river |   |
|----------------|-------------------------|-----------------------------|---|
|                |                         | basin committees as         |   |
|                |                         | effective options to        |   |
|                |                         | galvanize action.           |   |
|                |                         | -The AHEG should also       |   |
|                |                         |                             |   |
|                |                         | consider ways to facilitate |   |
|                |                         | the development and         |   |
|                |                         | support of national action  |   |
|                |                         | plans that may also         |   |
|                |                         | facilitate action at the    |   |
|                |                         | subnational or local level. |   |
| <u>Vietnam</u> | Barriers:               | - It is suggested that      | - Support for building a global treaty within UN in order to help UN's member   |
|                | - How to mobilize       | UNEA apply expert           | nations addressing plastic pollution. We suggest response 3 options, actions    |
|                | sufficient financial    | judgment methodology to     | within global treaty, which of these should be voluntary, which of these should |
|                | resources to            | assess the feasibility and  | be binding, in the below table:   |
|                | combating marine        | effectiveness of the        |   |
|                | litter and              | different response          |   |
|                | microplastics?          | options.                    |   |
|                | - Need a Roadmap for    | - COVID-19 poses            |   |
|                | transition towards      | significant challenges for  |   |
|                | circular economy for    | continued works by the      |   |
|                | plastics within         | UNEA as it imposes          |   |
|                | Vietnam's conditions.   | significant limitations in  |   |
|                | - For combating         | terms of movement,          |   |
|                | marine litter and micro | access and association.     |   |
|                | plastics, there are     | This will require           |   |
|                | some barriers cannot    | rethinking of plan and      |   |
|                | be addressed            | work delivery               |   |
|                | domestically (but may   | mechanisms across all       |   |
|                | be effectively          | areas and sectors during    |   |
|                | addressed across the    | the affected time.          |   |
|                | board globally).        |                             |   |
|                | 1. Legislation and      |                             |   |
|                | governance              |                             |   |
|                | framework needed at     |                             |   |
|                | international level     |                             |   |
|                | 2. Call for industry    |                             |   |
|                | engagement.             |                             |   |
|                | 3. Science and          |                             |   |
|                | Knowledge               |                             |   |

| 4. Managing<br>Transboundary Plastic<br>Waste                    |  | Policy measures can cl                          | circular economy for plastics<br>hange the linear global economy for plastics into one that is<br>I creating consistent global standards from source materials |                               |                                |
|--|--|---|--|-------------------------------|--------------------------------|
| 5. Methodology on monitoring, standards,                         |  | The life cycle of plastics                      | Recommended Global Activity  | Should this<br>be binding?    | Should<br>this be<br>voluntary |
| regular reporting, stocktaking. Currently,                       |  | Source material                                 | - Promote the use of raw materials with low carbon, low<br>environmental footprint for plastic production  |                               | X                              |
| all over the world, Vietnam and other States have begun to       |  |   | <ul> <li>Introduce regulation on subsidies and incentives for<br/>fossil-based primary feedstock</li> </ul>  | X<br>(minimum<br>requirement) | x                              |
| introduce policy<br>measures and                                 |  |   | - Identify better or equal alternative materials   | X<br>(minimum<br>requirement) | x                              |
| regulatory   |  | 2) Production                                   | - Innovate and redesign plastics.  |                               | X                              |
| interventions aimed at curbing the leakage of                    |  |   | - Regulate certain types, composition and production methods of plastics   |                               | x                              |
| plastic into the environment.                                    |  |   | - Reduction of production of certain LDPEs   | x                             |                                |
| 6. Technical Assistance and Technology Transfer.                 |  | 3) International trade                          | Introduce eco-labelling standards for plastic products     Regulate and control the import and export of plastic and plastic products.                         | X                             | X                              |
| 7. Capacity building - Viet Nam has made                         |  |   | - Strengthen compliance to international standards in the trade of plastic waste, linked to the Basel Convention.  | x                             |                                |
| great efforts through  |  | 4) Consumption                                  | - Reduce consumption of unnecessary single use plastic.  |                               | x                              |
| strong political commitments as well                             |  |   | - Ban on certain LDPEs, problematic plastics   | x                             |                                |
| as practical activities in                                       |  |   | - Create a labelling mechanism to promote better<br>consumer choices   |                               | X                              |
| managing waste reduction. Viet Nam                               |  | 5) Waste<br>management and<br>material recovery | Implement Extended Producer Responsibility schemes<br>to support private sector participation  | х                             |                                |
| has recognized the issues on "marine litter" being highly        |  |   | <ul> <li>Set international standards on waste management<br/>practices, including export and import of recycled<br/>waste.</li> </ul>                          | х                             |                                |
| priority as reflected in the issued policies. We                 |  |   | Create an international financial mechanism for waste management and recovery.   | x                             |                                |
| has adopted a development strategy                               |  |   | - Introduce national laws and regulations on waste<br>management to ensure compliance by waste   |                               | X                              |
| to promote maritime economy in parallel                          |  | 6) Clean-<br>up/removal                         | management facilities.  - Consider regional efforts for the removal of fishing gear and plastic litters.   |                               | X                              |
| with protecting maritime environment                             |  |   | - National action on clean up  | x                             |                                |
| and ecosystem. One of specific objectives until 2030 settled out |  |   |  |                               |                                |

| by the Strategy is       |  | II. There are some barriers of       | annot be addressed domestically (but ma   | v be effectively | addressed     |
|--------------------------|--|--------------------------------------|---|------------------|---------------|
| "Preventing,             |  | across the board globally)           |   | ,,,,             |               |
| controlling and          |  |                                      | Recommended Global Mechanisms:  | Should this      | Should this b |
| significantly mitigating |  | Mobilize sufficient                  | - Developed countries to be called upon to  | be binding?      | voluntary?    |
| marine environmental     |  | financial resources to               | contribute to financial mechanisms  | ^                |               |
|                          |  | combating marine litter              | - Financial organizations have  | X                |               |
| pollution; being a       |  | and microplastics 2) Legislation and | - Support from other countries to ensure  |                  | X             |
| regional pioneer in      |  | governance framework                 | effectiveness of new national measures to   |                  | ^             |
| reducing ocean plastic   |  | needed at international              | address plastic pollution   |                  |               |
| waste". The National     |  | level                                | - Regulation on the import and export of  |                  |               |
| Action Plan (NAP) on     |  |                                      | plastic materials (including fossil-based   | X                |               |
| marine plastic litter    |  | 1                                    | plastics), products and waste.  |                  |               |
| management through       |  |                                      | - Reduction in the production and   |                  |               |
| 2030 sets                |  |                                      | consumption of certain plastics   |                  | X             |
| requirements of          |  | 3) National Commitments              | - All commitments should be specific,   | X                |               |
| "Successfully            |  |                                      | measurable and time-bound.  |                  |               |
| implement Viet Nam's     |  |                                      | - All parties commit to adopt and   | x                |               |
| initiatives and          |  |                                      | implement a national action plan with set   |                  |               |
| international            |  |                                      | goals and targets, which can follow the<br>recommendations of UN SDG 14.1.        |                  |               |
| commitments to           |  |                                      | recommendation of the part 14.1.  |                  |               |
| address plastic waste    |  |                                      | - There should be strong national   |                  | X             |
| issues with a focus on   |  |                                      | commitments regarding contributions to<br>match the ambition of the global        |                  |               |
| marine plastic litter",  |  |                                      | goal.Differentiate between targets of   |                  |               |
| "Maintain and develop    |  |                                      | developed and developing nations.   |                  |               |
| cooperation with         |  | 1                                    | - Regular reporting that includes general   |                  | x             |
| international            |  |                                      | guidelines for methodology of monitoring  |                  |               |
| organizations on the     |  |                                      | and verification, as well as voluntary<br>methodology as appropriate in the       |                  |               |
| sea issues; proactively  |  |                                      | national context.   |                  |               |
| sign and implementing    |  |                                      |   |                  |               |
| international treaties;  |  |                                      | <ul> <li>Legal framework upgraded to match<br/>with the global treaty.</li> </ul> | X                |               |
| and coordinate in the    |  | 4) Call for industry                 | Global rules, standards or recommended  | X                | X             |
| control and              |  | engagement                           | practices for "Industry engagement" and   | (minimum         |               |
|                          |  |                                      | need to extend producer responsibility  | requirement)     |               |
| management of            |  |                                      | - Public-Private Partnerships   | X                |               |
| marine plastic litter"   |  |                                      |   |                  |               |
| -The challenges of       |  |                                      | - Polluter-Pays Principle   | X                |               |
| Marine Litter and        |  |                                      | - Consider mandatory  |                  | X             |
| Micro-plastics are       |  |                                      | reporting/verification of companies'  |                  |               |
| global, and require      |  |                                      | compliance with regulations or<br>commitments, including those on eco-            |                  |               |
| global solutions and     |  |                                      | labelling   |                  |               |
| initiatives framed       |  |                                      | <u> </u>  |                  |               |
| within national and      |  |                                      |   |                  |               |
| regional priorities. At  |  |                                      |   |                  |               |
| the G7 Summit in         |  |                                      |   |                  |               |

|                 |                         | <br> |   |   |               |          |
|-----------------|-------------------------|------|---|---|---------------|----------|
|                 | Canada in June 2018,    |      |   | - Remove investment barriers for industry   |               | X        |
|                 | the Prime Minister      |      |   | to promote innovative solutions to<br>material recovery and recycling                                       |               |          |
|                 | advocated for a         |      | 5) Science & Knowledge  | - Innovative researches and   |               | X        |
|                 | "plastic free ocean"    |      |   | methodologies regarding plastic   |               |          |
|                 | initiative, and called  |      |   | waste/micro plastics e.g. plastic<br>characteristics ecological, health impacts,                            |               |          |
|                 | for a Global            |      |   | harmful plastic waste leakage linked to   |               |          |
|                 | Cooperation             |      |   | key sources or pathways, etc.   |               |          |
|                 | Mechanism for Plastic   |      |   | - Sharing, announcement of achievement  |               | x        |
|                 | Litter Mitigation with  |      |   | to relevant authorities and parties.  |               | ^        |
|                 | joint actions from all  |      |   | Difference in the Land Market   |               |          |
|                 | relevant countries for  |      |   | <ul> <li>Building an Regional – level Plastic</li> <li>Study Centre in order to exchange science</li> </ul> | X             |          |
|                 | ever-blue oceans full   |      |   | & knowledge between nations of region   |               |          |
|                 | of fish and shrimps     |      | Managing     Transboundary Plastic                                  | <ul> <li>An international or regional mechanism<br/>for monitoring and reporting of</li> </ul>              | X             |          |
|                 | and free from plastic,  |      | Waste   | transboundary plastic waste flows,  |               |          |
|                 | serving as valuable     |      |   | especially in international waters  |               |          |
|                 | assets for future       |      | <ol> <li>Measuring Progress -<br/>monitoring, standards,</li> </ol> | <ul> <li>Adoption of Common Standards for<br/>Measuring Progress</li> </ul>                                 | X             |          |
|                 | generations. The        |      | regular reporting,  | wiedstring Progress   |               |          |
|                 | Government made a       |      | stocktaking   | - National regular report   | X             |          |
|                 | request to Ministry of  |      |   | - Transparent Reporting and Review  | x             |          |
|                 | Natural Resources and   |      |   | System  |               |          |
|                 | Environment             |      |   | - Capacity considerations   |               | v        |
|                 | (MONRE), including      |      | 8) Capacity-  | - Capacity considerations - Sharing information/data and  |               | X        |
|                 | _                       |      | Building/Technology   | technologies obtained to each other;  |               |          |
|                 | "Proactively, actively  |      | Transfer -  | - Technology transferring;  |               | x        |
|                 | participate in and      |      |   | - reciniology transferring,   |               | ^        |
|                 | propose for             |      |   | - Building platforms for information  | X             |          |
|                 | implementing            |      |   | exchange  |               |          |
|                 | cooperation initiatives |      |   | - Capacity building programmes  | X             |          |
|                 | at international and    |      |   |   |               |          |
|                 | regional forums",       |      |   |   |               |          |
|                 | "Promote the            |      |   |   |               |          |
|                 | formation of a regional |      |   |   |               |          |
|                 | and international       |      |   |   |               |          |
|                 | cooperation             |      |   |   |               |          |
|                 | framework on            |      |   |   |               |          |
|                 | prevention and          |      |   |   |               |          |
|                 | mitigation of ocean     |      |   |   |               |          |
|                 | plastic waste".         |      |   |   |               |          |
| <u>Malaysia</u> | -Malaysia has rolled    |      |   | d ocean plastics supported by firm  |               |          |
|                 | out a Roadmap           |      |   | rough exhausting existing mechan  | isms and if   | there is |
|                 | Towards Zero Single-    |      | still a need, a new instru  |   |               |          |
|                 | Use Plastics 2018-2030  |      |   | stablish a strategic and centralized  |               |          |
|                 |                         |      | information, knowledge  | and best practices sharing. This sha  | aring platfor | rm could |
|                 | -                       |      | -   | ·   |               |          |

|                    |                        |  | also be used to share, guide and collaborate on research, innovation and             |
|--------------------|------------------------|--|--|
|                    |                        |  | scientific studies that could help to develop and contribute solutions to the        |
|                    |                        |  | issues.  |
|                    |                        |  | -Another initiative to help boost the solutions are by having collaboration of       |
|                    |                        |  | nations in developing capacity building to tackle the issue, providing               |
|                    |                        |  | international financing mechanisms, recycling initiatives and to establish a global  |
|                    |                        |  | extended producer responsibility initiative.   |
|                    |                        |  | -On the regional scale, some of the response options recommended is to               |
|                    |                        |  | establish a regional sharing platform on knowledge, best practices, collaborative    |
|                    |                        |  | network in research and strengthening economic gains. This is important is           |
|                    |                        |  | driving a new plastic economy.   |
|                    |                        |  | -Every stakeholder's initiatives and objectives should be streamlined to avoid       |
|                    |                        |  | duplication of activities and addressing the gaps to ensure effective                |
|                    |                        |  | implementation.  |
|                    |                        |  | -There is also a need to map and monitor the flow and source of marine litter at     |
|                    |                        |  | regional level while continuing the cooperation on science and management of         |
|                    |                        |  | waste between countries (G2G). The involvement of non-state actors is                |
|                    |                        |  | important in complementing efforts of governments in addressing the issue.           |
|                    |                        |  | -In advocating behavioural change towards better managing plastic and plastic        |
|                    |                        |  | waste, mainstreaming Communication, Education and Public Awareness (CEPA)            |
|                    |                        |  | in all stakeholder engagements and public outreach programmes is important. A        |
|                    |                        |  | dedicated outreach and CEPA should be targeted to the industry players as well       |
|                    |                        |  | as consumers.  |
| <u>The</u>         | -Since 2000, the       |  | -Addressing marine plastic pollution thus requires a comprehensive approach at       |
| <u>Philippines</u> | Philippines has        |  | the national, regional and especially at the global levels. As extensively discussed |
|                    | enacted and            |  | during past AHEG meetings, not one country alone can do it.                          |
|                    | implemented a          |  | -many voluntary initiatives have been implemented across the globe and across        |
|                    | comprehensive,         |  | regions. But, they still have fallen short of bringing us closer to our goal of      |
|                    | ecological solid waste |  | eliminating marine litter and microplastics.   |
|                    | management law,        |  | -Therefore, a strong global framework that takes into account the full life cycle    |
|                    | Republic Act No. 9003, |  | approach of products and the shift towards a circular economy is urgently            |
|                    | aimed at holistically  |  | needed to solve this global problem of marine plastic pollution.                     |
|                    | managing our wastes,   |  | -Together with an increasing number of countries and regional blocs, the             |
|                    | to address leakage of  |  | Philippines reiterates its submission for the consideration of the feasibility and   |
|                    | marine litter and      |  | effectiveness of a potential international legally binding agreement on marine       |
|                    | microplastics into our |  | litter and microplastics (UNEP/AHEG/2018/2/5), and its support for the start of      |
|                    | rivers, seas and       |  | the negotiations of a new global treaty and the discussion of its elements to        |
|                    | oceans.                |  | combat marine plastic pollution.   |
|                    | -Across the country    |  | -Some Key Considerations in a Global Agreement to Address Marine Litter and          |
|                    | and over the past      |  | Microplastics  |
|                    | decades, a number of   |  | Guiding Principles. The following principles should guide the international          |
|                    | cities and             |  | community in crafting the legally binding global agreement, among others:            |

municipalities have prohibited single-use plastic, among others. Many river, bay and coastal clean-ups and rehabilitation are also on-going. -National bills on country-wide bans on certain single use plastics and on the implementation of **Extended Producer** Responsibility are currently pending in Congress. -These national efforts, however, have not been effective in stopping the surge of plastic pollution, especially in our archipelagic country. -the entire value chain of plastic is global international trade in source materials, international trade of manufactured products and even international trade, both legal and illegal, of waste materials. -for most developing countries like the Philippines, business decision-making of multi-national corporations are made or at least heavily influenced by their

o precautionary principle approach

o prevention principle - measures should prioritize addressing the prevention of marine litter at source

o polluter pays principle - cost of pollution prevention, control, and reduction measures are to be borne by the polluter with due regard to the public interest o participatory approach - general public (local communities, private sector, CSOs, and local authorities) and private sector will be involved in the development and implementation of efforts

o ecosystem-based approach-cumulative effects of marine litter coming from both land- and sea-based sources on the marine and coastal ecosystem, habitats, and species with other contaminants and substances that are present in the marine environment should be fully considered in management of marine litter

o common but differentiated responsibilities

- Shared Vision. Consistent with the agreement in UNEA 3 Resolution 3/7 on the long-term elimination of discharge of litter and microplastics to the oceans and of avoiding detriment to marine ecosystems and the human activities dependent on them from marine litter and microplastic, the global agreement should state the same agreed vision.
- Reduction Targets. Following this shared vision, the international community should agree on a timebound measurable target to reduce marine plastic pollution, using a standardized baseline and calculation method. All countries should then contribute to this reduction targets, as they may nationally determine based on their national circumstances and contexts and come up with national action plans to achieve such target.
- Common Global Standards and Regulations. Given the global transboundary nature of plastic products that eventually make up most of the marine litter and microplastics, common standards, rules and regulations should be considered for an integrated and coordinated response. These should include regulations on sustainable source materials including setting minimum percentage of recycled plastic content in feedstocks, certain types of plastics such as unnecessary single-use plastics and microbeads in beauty products, common labelling, etc. that would be applicable to all countries. These should also include developing common regulations on plastic sachet packaging, especially prevalent in developing countries in Asia, can achieve an effective, feasible, culturally appropriate, sustainable transformation across the board globally. These should also extend to the global promotion and adoption of the Extended Producer Responsibility, customised based on country conditions, and using a phased approach.
- Standard Monitoring and Reporting. For comparability, inter-operability and a
  way to effectively measure global progress in addressing marine plastic pollution
  throughout the full life cycle of products towards shift to a circular economy, the

|         |                | 1 |  |
|---------|----------------|---|--|
|         | ffices in      |   | international community should harmonize and agree on standard monitoring  |
| develop | oed countries. |   | and reporting mechanisms. These should include global standards for  |
|         |                |   | monitoring plastic production, consumption, management including recyclability   |
|         |                |   | and recovery back into the circular loop, and elimination of leakage. All  |
|         |                |   | countries should then use these for measuring and reporting national progress  |
|         |                |   | to provide figures that are comparable globally.   |
|         |                |   | Scientific body. Similar to the UNFCC's IPPC, a dedicated inter-governmental   |
|         |                |   | scientific body that will provide widely-accepted scientific and technical   |
|         |                |   | guidance to implementing states should also be considered. Their functions can   |
|         |                |   | also include tracking progress against global reduction targets and monitoring   |
|         |                |   | leakage of litter and microplastics into the ocean.  |
|         |                |   | Support especially for Developing Countries. Mechanisms for financial and  |
|         |                |   | technical support for implementing countries should be included in the global  |
|         |                |   | framework. This should also include capacity building and technology transfer  |
|         |                |   | specially to support actions by developing countries especially in terms of  |
|         |                |   | material reduction, recyclability improvement, redesign of materials as well as  |
|         |                |   | sustainable low-carbon waste/material management schemes.  |
|         |                |   | Plastic Waste in High Seas. Accountability for managing plastic waste that ends  |
|         |                |   | up in high seas is also a relevant issue for island or archipelagic countries like the   |
|         |                |   | Philippines. Thus, transboundary movement of marine litter across high seas is   |
|         |                |   | more likely to end up on our shores. However, the resolution for this issue  |
|         |                |   | should be further fleshed out in a formal negotiation process. Recommended   |
|         |                |   | Regional Response The Philippines recognizes the important work of the   |
|         |                |   | different regional programs and bodies to address marine plastic pollution.  |
|         |                |   | Specific to the Philippines, the country is engaged with the ASEAN, the COBSEA,  |
|         |                |   | the PEMSEA, and the CTI-CFF, among others. Since a comprehensive, integrated   |
|         |                |   | and multi-tiered approach is needed to address marine plastic pollution, it is   |
|         |                |   | critical that these various regional programs as well as the national interventions  |
|         |                |   | are aligned and build on each other. Regional governance/coordinating bodies   |
|         |                |   | should create synergy among themselves. These could include strengthening  |
|         |                |   | communication and coordination among programs, rationalizing plans to avoid  |
|         |                |   | duplications and address gaps, consolidation information and minimizing  |
|         |                |   | · · · · · · · · · · · · · · · · · · ·  |
|         |                |   | redundant reporting, These regional efforts, however, are not enough. An   |
|         |                |   | overarching legally binding global framework must eventually guide these   |
|         |                |   | regional as well as national interventions to address marine litter and  |
|         |                |   | microplastics. Recommended National Response At the national level, all states   |
|         |                |   | should consider the following, among many others:  |
|         |                |   | National Action Plan and Reduction Target. All countries should develop and     includes the problem of the plan that parts are accountable and time beyond. |
|         |                |   | implement a national action plan that sets out a measurable and time-bound   |
|         |                |   | target to reduce marine litter and microplastics. As a global framework is   |
|         |                |   | developed that will standardized calculation methods for setting baselines and   |

|                 |   |   | tracking progress, countries should shift towards adopting such for comparison globally.  • National EPR Scheme and Industry Engagement. Following the polluter pays principle, manufacturers and brand owners shall be accountable for the end-of-life impacts of their products and packaging before they are allowed to place them in the market. This is a critical and innovative way of engaging industries.  • Incentives to Reduce Demand/Consumption for Unnecessary Plastics. Levies may be imposed on certain single use plastics which levy will be plowed back to support waste management programs or marine litter reduction programs. Incentives may also be provided for innovative, sustainable, indigenous designs especially using indigenous local materials.  • Strengthening Waste/Material Management Infrastructure and Systems. At the local level, countries should be responsible for managing materials and wastes to ensure the use of these materials for as long possible and to prevent leakage into the environment.  • Behavior Change. To promote a whole of nation approach, whereby all sectors contribute to the elimination of marine litter and microplastics, all stakeholders should be informed and educated, through formal and informal channels, optimizing the use of information and communication technology to change behaviors towards transformative business models in the use of source materials, production and design of plastic products, the use of less single-use or unnecessary plastic, and the management of waste/recovery of materials back |
|-----------------|---|---|--|
| Major Group     | s and Stakeholders  |   | into a circular loop.  |
| CIEL, EIA, gaia | - A number of existing conventions and agreements could be or are actively taking steps to address aspects of plastic pollution. However, none of the existing frameworks is specifically designed to prevent increasing flows of plastic pollution into the biosphere, nor to comprehensively manage the plastic pollution already | -It is increasingly clear, however, that to prevent plastic pollution in the marine and other environments, the global community will need a dedicated instrument, a Convention on Plastic Pollution, that addresses the full lifecycle of plastics from production and design to waste | Pillars  |

| present in the biosphere | prevention and management.15,  | PILLAR 1 MONITORING AND REPORTING   | PILLAR 2 PLASTIC POLLUTION PREVENTION  |
|--------------------------|--|---|--|
| -                        | 16 The Convention on Plastic Pollution   | Monitoring and reporting on the state of the environment and implementation   | Measures to reduce plastic pollution and promote a safe circular economy for plastics  |
|                          | should build upon and complement existing regional and global frameworks, allowing them to contribute within their core competencies, while otherwise filling the significant gaps that must be addressed in order to eliminate the long-term discharge of plastic pollution into our oceans and promote a safe circular economy for plastics which is just and safeguards the climate system.17 | Harmonisation  Definitions  Methodologies (monitoring, reporting)  Standardised formats  Environmental monitoring  Baselines (seafloor, seawater, shoreline, biota, freshwater, soils)  Indicator species  Evolution of plastic pollution in marine and other environments  National data reporting  National inventories and sources:  virgin plastic production and use  recycled plastic production and use  plastic-waste management  plastic-waste trade  land-based sources  sea-based sources  sea-based sources  beloution of circular economy and leakage  Reporting on national action  Submission of national action plans  Periodic review and update  Periodic comprehensive assessments  Progress toward global objectives  Scientific and socio-economic reviews | Global objectives  Long-term elimination of discharges Safe circular economy for plastics  National action plans  Policies and legislation: targets and market restrictions waste prevention and management recycling and secondary markets Sustainable financing mechanisms Infrastructure investments International and regional commitments  Microplastics Intentionally added (e.g. microbeads, fertilisers) Waer and tear (e.g. tyres, textiles) Mismanagement (e.g. pellets)  Standardisation Labelling Product design and additive restrictions Certification schemes Voluntary industry standards  Virgin plastic production and use Controls and quality standards  Remediation and legacy pollution Protocols and guidelines |

|                           |   |   | PILLAR 3 COORDINATION   | PILLAR 4 TECHNICAL AND FINANCIAL SUPPORT  |
|---------------------------|---|---|---|---|
|                           |   |   | Coordination with other international ar<br>regional instruments on relevant topic  |   |
|                           |   |   | Sea-based sources (including fishing gear)  International Maritime Organization (IM) Food and Agricultural Organization (FA) Plastic waste trade Basel Convention Organisation for Economic Co-operation and Development (OECD) and regional instruments  Chemicals and additives Stockholm Convention Strategic Approach to Integrated Chemicals Management (SAICM)  Biodiversity Convention on Biological Diversity (CBI) Convention on Migratory Species (CMS) International Whaling Commission (IW)  Climate change United Nations Framework Convention Climate Change (UNFCCC) Intergovernmental Panel on Climate Change (IPCC)  Agriculture Food and Agricultural Organization (FAICM)  Cross-regional knowledge exchange Regional seas conventions and program Regional fisheries management organisations | Periodic comprehensive assessments Ad hoc reports  Socio-Economic Assessment Panel Periodic comprehensive assessments A hoc reports  Implementing and bilateral agencies Technical assistance: capacity-building and training policy development monitoring and reporting Best practices and knowledge exchanges  Financial resources and mechanism Enabling activities: capacity-building and training policy development monitoring and reporting institutional strengthening Pilot and demonstration projects Implementation and compliance mechanism Implementation guidance Assistance for countries in non-compliance |
| India Water<br>Foundation | -Going through the existing and potential response, the current options and activities are not contributing enough with regard to marine litter and microplastics at global levelStressing that the solution to addressing marine litter requires | -Eritrea had discussed measures to reduce and eliminate marine plastics through legislation and regulatory enforcement and working with the private sector to | the need for urgent action based or chemical additives in plastics are ha environment.  -New legally binding instrument is s takes to negotiate a new instrumen legally binding instrument under UN use of marine biological diversity.  - The adoption of circular economy   | the environment and human health, there is current research and understanding. The zardous to human health and the still not an ideal solution due to the time it to, citing the 13-year negotiations towards a ICLOS on the conservation and sustainable wia Reduce reuse and recycling is one of the cion. Private sector should be encouraged  |

global and introduce - Countries must foster a coordinated governance strategy towards a more transboundary action, recycling. holistic view of the cause-effect pathways, evaluate socio-economic Liberia had noted -Liberia environmental consequences, strengthen awareness and share knowledge, underscored the before that the UN share innovations and case studies, technology transfer, adopt circular principles need for cost and enhance capacity building to address the issue of marine plastic litter and Convention on the Law of the Sea (UNCLOS) effective microplastics. only addresses some solutions to -Countries should come to a consensus to tackle plastic pollution and aspects of pollution in reduce marine incorporate environmentally sound best practices within the national context. the protection of the litter in order to Two-thirds of the plastic pollution entering our oceans from across the world marine environment. ensure come from the 20 most polluting rivers, out of 10 highest polluting rivers, Ganga -It has been discussed sustainability. stands 2nd this is not surprising when India annually dumps 6 lakh tonnes of -Haiti had called plastic which finally enters the oceans and with a vast India's coastline of 7516.6 previously by several for a more km stopping plastic waste from entering the ocean is a huge challenge. NGO's representatives the difficulties faced in like us are constantly working among grass roots, communities residing on the holistic approach, attempting to involving regional banks of rivers and along the coasts line to inculcate behavioural change and calculate and place a and international create awareness about plastic use and marine litter. For example in India the monetary value on the cooperation. northeastern state of Sikkim was the first state to ban plastics bottles & costs and benefits of -Another NGO disposable foam products to reduce its plastic footprint and manage its waste in various response Major Group a more efficient and eco-friendly manner. options, particularly noted the when including consensus on the impacts of global environmental and microplastics social costs in addition to economic costs. contamination and their effects -For some countries on the like Bangladesh, the alternatives to plastic environment and carrier bags had human health. proved to be more stressing the expensive. need for urgent -The US showed action based on studies have shown current research that the price for and understanding. alternatives to some The chemical plastics can be as high as four times, and additives in noted that some plastics are alternatives also hazardous to contain harmful human health and the substances. environment.

| <u>WWF</u> | -Existing legal         | -A legally binding | - Urges member states to   | -An obligation to develop and implement effective national action plans, on     |
|------------|-------------------------|--------------------|----------------------------|---|
|            | framework covering      | framework that     | use the Ad Hoc Open-       | prevention, control and removal, sufficiently ambitious to achieve the national |
|            | marine plastic          | clearly stipulates | Ended Expert Group to      | reduction targets.  |
|            | pollution is            | the direction      | discuss the scope,         | -An agreed measurement, reporting and verification scheme for tracking marine   |
|            | fragmented and          | (goal of zero      | parameters and possible    | litter and microplastics discharge and the progress made to eliminate them at a |
|            | ineffective             | discharge of       | elements of a legally      | national and international level.   |
|            | -Lack of systematic     | plastic into the   | binding instrument to      | -The establishment of an intergovernmental panel of experts that can assess and |
|            | scientific research and | ocean), the        | eliminate the discharge of | track the extent of the problem, and collate state-of-the-art knowledge to      |
|            | monitoring              | ambition           | marine plastic pollution   | provide inputs for decision- making and implementation.                         |
|            | ✓ Most of the data      | (reduction         | into the ocean – both      | -A global funding arrangement to support the effective implementation of the    |
|            | available on            | targets), and the  | from land-based and from   | treaty by all States, including for infrastructure development, international   |
|            | leakage rates and       | required           | sea-based sources.         | clean-up operations and innovation into alternative product design, product     |
|            | sources of plastic      | measures for       | - Lessons and inspiration  | technology and waste management.  |
|            | pollution are           | getting there (a   | should be drawn from       | -An explicit ban on certain acts considered to defeat the object and purpose of |
|            | rough estimates         | comprehensive      | other international        | the treaty, including deliberate dumping of plastic waste in river systems and  |
|            | and                     | implementation     | conventions that have      | internal waters that flow towards the sea.                                      |
|            | extrapolations          | support            | proven successful in       | -A commitment to develop common methods, definitions, standards and             |
|            | ✓ No internationally    | architecture)      | catalysing progress        | regulations for an efficient and coordinated global effort to combat marine     |
|            | agreed                  | -Continuously      | towards the resolution of  | plastic pollution, including, for instance, specific bans on certain high-risk  |
|            | methodology in          | ,                  | global environmental       | categories of plastic deemed to be impossible to safely collect and manage.     |
|            |                         | improved           | U                          | categories of plastic deeffied to be impossible to safely collect and manage.   |
|            | place for               | knowledge about    | problems. This includes,   |   |
|            | measuring               | the problem and    | but is not limited to, the |   |
|            | leakage of plastic      | its causes         | Montreal Protocol,         |   |
|            | into the                | -Make sure         | MARPOL, the Stockholm      |   |
|            | environment             | necessary data is  | Convention, the UNFCCC     |   |
|            | ✓ There is no           | collected,         | (incl. the Paris           |   |
|            | agreed format for       | organized,         | Agreement), and the        |   |
|            | how such data           | compared and       | Minamata Convention.       |   |
|            | should be               | published, and     |                            |   |
|            | reported for            | that scientific    |                            |   |
|            | comparison and          | research and       |                            |   |
|            | interoperability        | recommendation     |                            |   |
|            | -Lack of coordination,  | s are made         |                            |   |
|            | transparency and        | available to       |                            |   |
|            | reporting               | decision-makers    |                            |   |
|            | ✓ There is a general    | -A set of          |                            |   |
|            | lack of knowledge       | harmonized         |                            |   |
|            | about and               | methodologies      |                            |   |
|            | overview of the         | for measuring      |                            |   |
|            | policy measures         | and monitoring     |                            |   |
|            | implemented by          | the problem, and   |                            |   |
|            | States in order to      | a platform for     |                            |   |

| Association | prevent, control and recover marine plastic pollution, and considerable uncertainty in terms of the progress made towards the long-term goal of eliminating all discharge of plastic litter and microplastics into the marine environment  No proper overview of efforts exists, and we don't know if they are working  -The current aid flows are currently insufficient to solve the problem, and are also scattered and largely uncoordinated. Lack of technical expertise and support. Limited sharing of know- how and best-practices RESOURCES RULES-GAP  - Lack of agreed and globally applicable rules, standards and obligations for tackling the problem | assessing and communicating to this information, -A dedicated intergovernment al scientific body tasked with reviewing the extent of the problem, evaluating trajectories towards achieving the vision of zero discharge of plastic into the ocean, and advising on action required | -Option 1: Status quo                                   |
|-------------|--|---|---|
| Welfare     |  |   | -Option 2: Existing mechanism(s) with some modification |

|          | Option 2: Nov. Clabel Markerston   |
|----------|--|
|          | -Option 3: New Global Mechanism  |
|          | - Our position on Option 1 This is logically, technically and morally un acceptable    |
|          | - Our Position on Option 2 This is the best of the 3 options. There are many           |
|          | existing mechanisms that can be used to take the lead and guide the                    |
|          | international community and national governments. We believe the current               |
|          | group at the UNE Secretariat in Nairobi is capable of playing this role if it is given |
|          | the necessary support. It can work together with the SAICM Secretariat and             |
|          | secretariats of BRS Conventions. It will save us a lot of time and other resources.    |
|          | -Our position on option 3 This option has a lot of bottlenecks. First nobody is        |
|          | 100% sure how many years it may take to negotiate such a global treaty. The            |
|          | possibility of wasting many years negotiating are there. The Paris Agreement is        |
|          | something that we shouldn't forget or repeat. It took 21 years to reach an             |
|          | agreement. Second nobody can guarantee that at the end of the day we will              |
|          | have a legally binding agreement. Again the Paris Agreement is a bitter example.       |
|          | After 21 years of protracted negotiations we ended up with a non-legally               |
|          | binding treaty though expectations all along the way were on a legally binding         |
|          | agreement. Another example is SAICM. For almost 3 years of negotiating on the          |
|          | expectation of a legally binding SAICM we ended with a voluntary SAICM. Third          |
|          | is that even when a treaty is perceived to be 'legally binding' in practice its isn't. |
|          | Non-compliance with 'legally binding' treaties and failure to hold those who           |
|          | don't comply are a common practice. The legally binding nature of an                   |
|          | international treaty is based on the ability to enforce its provisions and to hold     |
|          | accountable and take corrective measures on those who defy it. We do not see           |
|          | a chance for such a treaty to come out if we decided to embark on negotiations.        |
|          | , ,  |
|          | However we leave the door open for any of the 2 last options so long as the            |
|          | following key elements form part and parcel of any of these two options:               |
|          | 1. The option provides clear and measurable support to other agreed                    |
|          | international initiatives particularly Agenda 2030 (17 SDGs) and the Paris             |
|          | Agreement.   |
|          | 2. The option embraces all and not only one or two of the 3 pillars of sustainable     |
|          | development i.e. social, economic and ecological pillars. We are concerned in          |
|          | particular about the trend to down play the social pillar and give more attention      |
|          | to ecologic and economic pillars. We have seen interest on actions such as bans        |
|          | of single use plastics without due consideration to jobs and livelihoods.              |
|          | Developing countries are facing masses of unemployed youths threatening                |
|          | peace, security and social harmony. Many women earn their living within the            |
|          | plastic industry (upstream and downstream). An option that may result into             |
|          | increased rates of un employment and widening of inequalities is not only              |
|          | nonproductive but also ethically incorrect.  |
|          | 3. The option must be supported by a robust and long term financial mechanism          |
|          | that is accessible to all Parties and all stakeholders including CSOs and              |
| <u> </u> |  |

| communities. The mechanism must also have a good balance between adaptation (e.g. cleanup) and mitigation (e.g. technologies) measures.  4. The options must recognize the principle of common but differentiated responsibilities between and within countries. |
|--|
| 5. Lastly but not least the agreed option must avoid the mistake of downplaying  |
| the role of business and industry that is common in many other initiatives.  |

