

GEF ID 9884

STOCKHOLM CONVENTION REPORTING:  
ARTICLES 07 & 15

# WORKSHOP SUMMARY REPORT



DEPARTMENT OF  
SUSTAINABLE DEVELOPMENT

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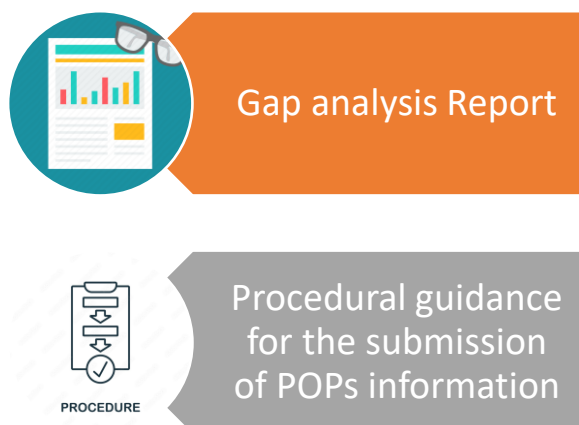
## 1.0 Overview

This workshop was originally intended to launch national activities to be performed for the POPs data collection/revision process needed to facilitate the pilot testing of the Integrated SC Reporting Toolkit being developed under the GEF-funded project *Integrated SC Toolkit to improve the transmission of information under Articles 07 and 15* (GEF ID 9884). The workshop's objective had to be modified as the POPs data collection process had been recently (2018) completed under another GEF-funded regional project and as such, there was little to no need for the involvement of stakeholders outside the Department of Sustainable Development (DSD), focal agency of the Stockholm. The DSD is responsible for compiling and submitting information, including reports and NIPs, to the Secretariat of the Stockholm Convention.

The workshop's purpose was to present the findings of the *National Gaps Analysis Report* as well *Procedural Guidance for Preparing for the Submission of Information under the Stockholm Convention* to the Department of Sustainable Development's (DSD) team tasked with overseeing the national implementation of the SC, as well as other Technical Officers within the Department.

There was limited discussion on the content provided as the participants felt that the information presented was clear. The DSD team, comprising 4 officers including, the Chief and Deputy Chief Sustainable Development Officers, welcomed with appreciation, the procedural guidance and found it to be a useful, user-friendly tool.

## 2.0 Agenda:

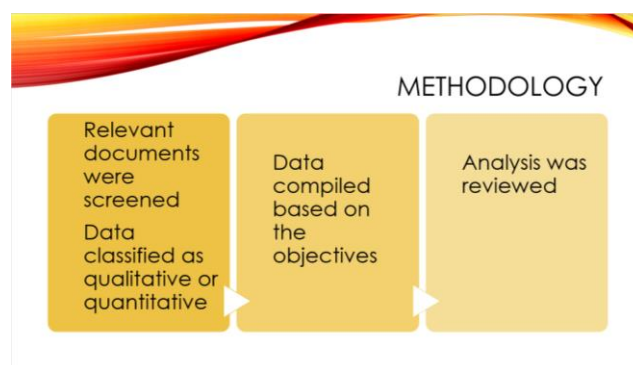


The detailed agenda is presented in Annex I of this report.

## 3.0 Highlights of Gap Analysis Report:

### OBJECTIVES OF ANALYSIS

- The objectives of this analysis are to:
  1. Assess the information in the National reports submitted by Saint Lucia to the SC and highlight the gaps on POPs qualitative and quantitative data;
  2. Assess the information in the NIP submitted by Saint Lucia to the SC and highlight the the gaps on POPs qualitative and quantitative data;
  3. Identify the differences between the data included in the national reports and the data presented in the NIP(s);
  4. Make recommendations for future streamlining of data revision/collection process under National Reports and NIPs focusing on addressing gaps (both quantitative and qualitative).



## GAPS IN QUALITATIVE DATA FOR ARTICLE 15 REPORTING

All questions were answered for cycle 4 National Report and majority of data were adequately reported with just three exceptions as follows:

- Information on technical assistance received from the parties was not reported (Q 32.1).
- The types of incentives provided were not listed (Q32.2).
- The estimated total use of insect baits for control of leaf cutting ants was not reported (Part D, Q 6.1).

Only one gap was noted in cycle 3 reporting for Saint Lucia. Element 3.4 (Table 8), development and capacity-building proposals and priorities was not reported.

## GAPS IN QUALITATIVE DATA FOR ARTICLE 7 REPORTING

- There were no notable gaps in the 2020 NIP (Table 8).
- All data requested were adequately reported. However, a few gaps in data reporting were observed in the 2006 NIP.
- Data for the elements 2.3.9; 2.3.13; 2.3.19; 3.3.5-3.3.8 and 3.3.17 were not reported.
- In addition, according to the NIP guidelines, supporting information in terms of Annexes A2 –A4 was not provided in the 2006 NIP. However this information was provided in the 2020 NIP but not as separate Appendices.

## DIFFERENCES BETWEEN DATA PRESENTED IN THE NATIONAL REPORT AND DATA INCLUDED IN THE NIP

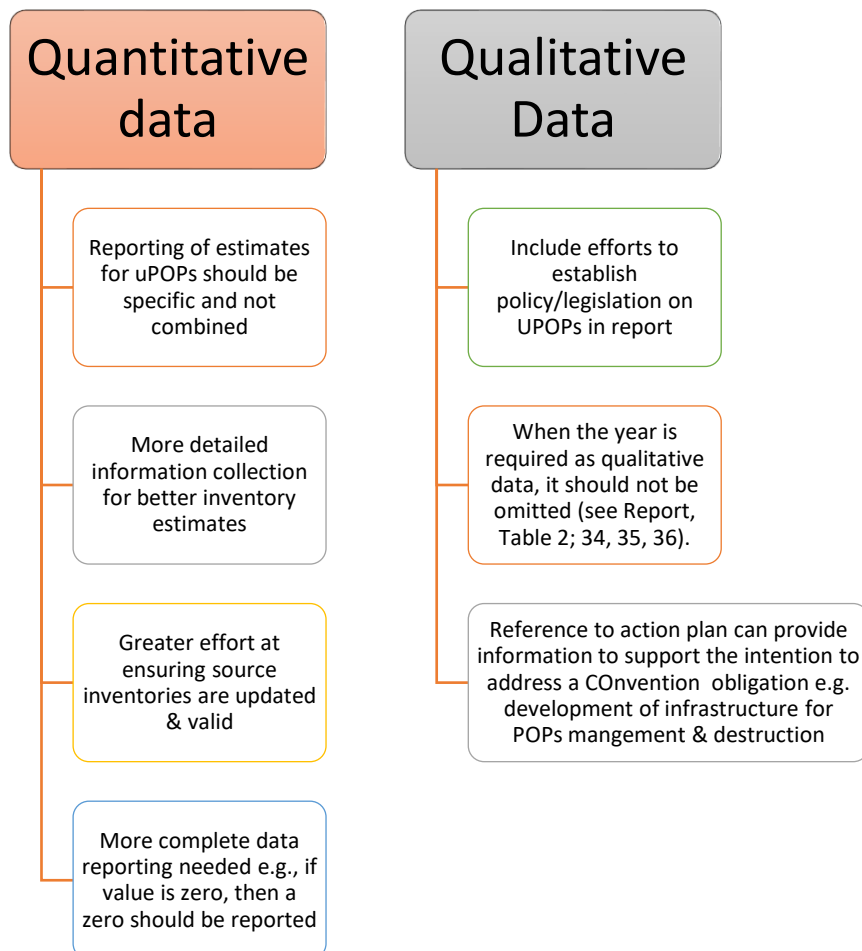
Data Type	National Report (Article 15)	NIP (Article 7)
Qualitative	<ul style="list-style-type: none"> <li>• Yes/no</li> <li>• Selected responses</li> <li>• No details given</li> </ul>	<ul style="list-style-type: none"> <li>• Detailed measures</li> <li>• Detailed strategies</li> <li>• Detailed action plans</li> <li>• Historical narratives/descriptions</li> </ul>
Quantitative	Inventories/ specific quantities	Specific Quantities

## DIFFERENCES BETWEEN DATA PRESENTED IN THE NATIONAL REPORT AND DATA INCLUDED IN THE NIP

- The qualitative data in the National Report are in less detail than the qualitative data reported in the NIP as a result of the questions in the National Report being more closed ended (mainly yes/no or selection). However, the qualitative data in the NIP described measures, strategies and action plans in detail.
- The information in the NIP included more detailed historical data whereas the National Report mainly reported historical data in the inventories (quantitative) and as yes/no.
- Table 8 identifies elements found in the NIP but not in the National Reports; elements found in the National Reports but not in the NIP; and elements found in both the NIP and the National Reports.

Table 8, which details the differences between data presented in the *National Report* and included in the *National Implementation Plan* and referenced above, is presented in Annex II.

### Recommendations for Improving the reporting of qualitative and quantitative data:



## General Recommendations:

Streamline the POPs data and information collection processes with the aim of strengthening interlinkages between the Article 15 Reporting and the NIP Development/Update preparation by:

a. developing/updating internal procedures (defining joint team, workflow, timing, responsibilities) to jointly address the POPs data and information collection processes within the Article 15 reporting and NIP development/update preparation;

b. ensuring periodical joint training on the new developments under the Article 15 reporting and NIP development/update preparation, as well as on the data and information needs, with special focus on overlaps and gaps within the Article 15 reporting and NIP development/update preparation, data collection and presentation formats;

c. ensuring participation and collaboration between the team(s) dealing with the Article 15 reporting and NIP development/update preparation and the other relevant teams acting e.g. on climate change and mercury, waste and contaminated sites management, when conducting the data collection process

d. building a repository of the common data sources and needs and conducting joint activities for POPs data collection and compilation within the Article 15 reporting and NIP development/update preparation;

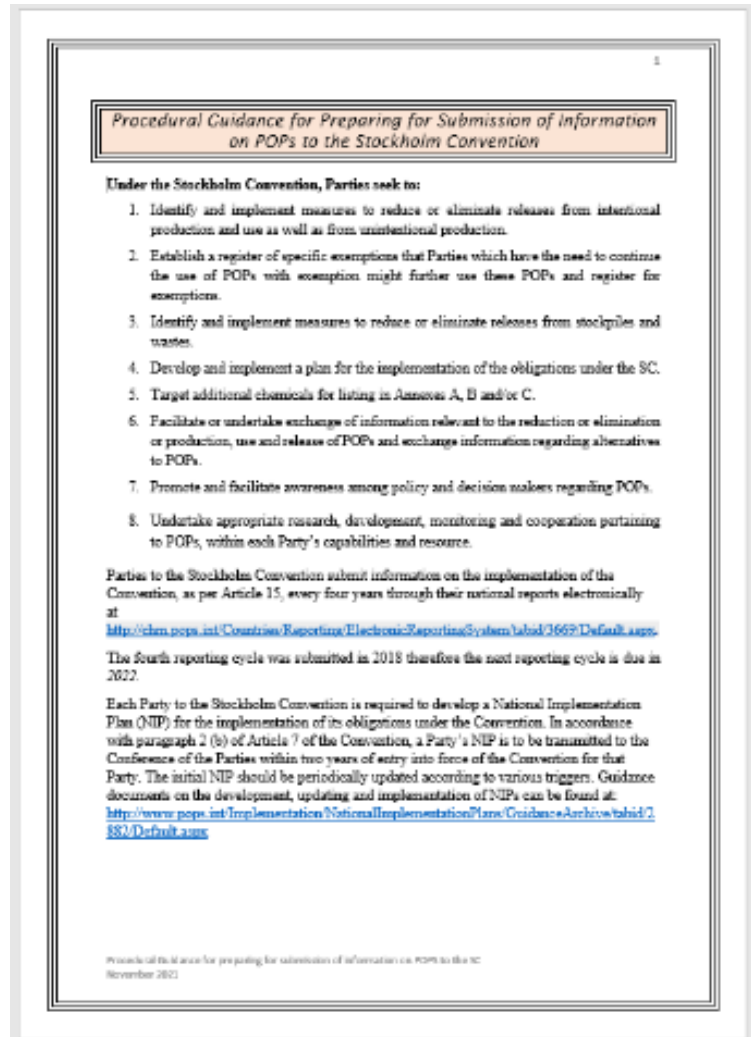
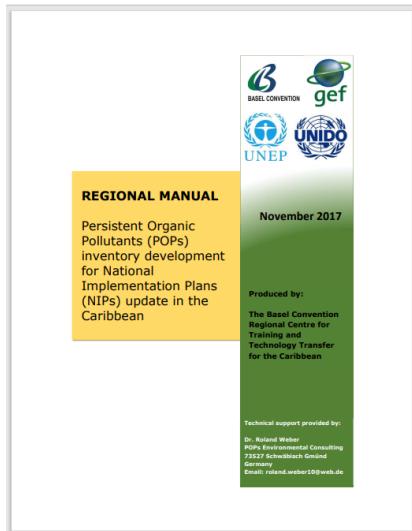
e. developing/updating a common QA/QC management system for validation of inventory data;

f. synchronizing/updating the data management systems available to store data and information (data sources, activity data collected, inventory data collected/generated/estimated; technological processes etc.) from both processes.

## 4.0 Procedural Guidance for the Submission of POPs Information

The document provides guidance on:

- Starting points
- Responsibilities for gathering data and information for inventories and other tasks
- Developing a work plan for inventory
- Useful links for developing inventory



## 5.0 Next Steps

1 Test Electronic Toolkit (NIP module) using Populated Template

2 Apply Procedural Guidance provided to support 6th Cycle of Reporting

# Annex I

## **NATIONAL WORKSHOP: SC ARTICLE 07 AND 15 REPORTING DEPARTMENT OF SUSTAINABLE DEVELOPMENT AGENDA**

**Date:** November 2, 2021

**Time:** 9:30 a.m.-12:30 p.m.

**Facilitator:** Dr. Catherine Clifford

<b>Time</b>	<b>Activity</b>	<b>Facilitator</b>
5 mins	Welcome	Sustainable Development
<b>9:30-9:35</b>		
10 mins	Prayer Ice Breaker	Catherine Clifford
<b>9:35-9:45</b>		
5 mins	Objectives of the workshop	Catherine Clifford
<b>9:45-9:50</b>		
40 mins	Presentation of gap analysis report (power point)	Catherine Clifford
<b>9:50-10:30</b>		
30 mins	Questions/discussions	Catherine Clifford
<b>10:30-11:00</b>		
20 mins	Break/refreshments	Sustainable Development
<b>11:00-11:20</b>		
40 mins	Procedural guidance for preparing for submission of information	Catherine Clifford
<b>11:20-12:00</b>		
20 mins	Questions/discussions	Catherine Clifford
<b>12:00-12:20</b>		
10 mins	Summary, closure	Sustainable Development
<b>12:20-12:30</b>		



# ANNEX II

## Comparison of elements in NIPs and National Reports for Saint Lucia

Table 8 Comparison of elements in NIPs and National Reports for Saint Lucia

Elements for guiding NIP Development for Persistent Organic Pollutants	Present (yes) / Absent (no) in			
	NIP 2006	NIP 2020	National Report Cycle 3 (2014)	National Report Cycle 4 (2018)
Executive summary	yes	yes	not applicable	not applicable
1. Introduction	yes	yes	N/A	N/A
2. Country baseline	yes	yes	N/A	N/A
2.1 Country profile	yes	yes	N/A	N/A
2.2 Institutional, policy, and regulatory framework	yes	yes	N/A	N/A
2.2.1 Policy framework	yes	yes	N/A	N/A
2.2.2 Regulatory framework	yes	yes	N/A	N/A
2.2.3 Stakeholders roles	yes	yes	N/A	N/A
2.3 Assessment of the POPs issue in the country				
2.3.1 Assessment of POPs pesticides (Annex A, Part I)	yes	yes	N/A	N/A
2.3.2 Assessment of PCBs (Annex A, Part II)	yes	yes	yes	yes
2.3.3 Assessment of POP-PRDEs (Annex A, Part IV and Part V), HBB (Annex A, Part I) and HBCD (Annex A, Part I and Part VII)	N/A	yes	N/A	N/A
2.3.4 Assessment of HCB (Annex A, Part I)	N/A	yes	N/A	N/A
2.3.5 Assessment of POPs (Annex A, part I)	N/A	yes	N/A	N/A
2.3.6 Assessment with respect to DDT (Annex B, Part II)	yes	yes	yes	yes
2.3.7 Assessment of PFOS, its salts and PFOSE (Annex B, Part III)	N/A	yes	yes	yes
2.3.8 Assessment of releases of unintentional produced chemicals (Annex C)	yes	yes	yes	yes

Elements for guiding NIP Development for Persistent Organic Pollutants	Present (yes) / Absent (no) in			
	NIP 2006	NIP 2020	National Report Cycle 3 (2014)	National Report Cycle 4 (2018)
2.3.9 Information on the state of knowledge on stockpiles, contaminated sites and wastes, identification, liability numbers, relevant regulations, guidance, remediation measures, and data on releases from sites	no	yes	yes	yes
2.3.10 Summary of future production, use, and releases of POPs – requirements for exemptions	yes	yes	yes	yes
2.3.11 Existing programmes for monitoring releases and environmental and human health impacts, including findings	yes	yes	N/A	N/A
2.3.12 Current level of information, awareness, and education among target groups; existing systems to communicate such information to the various groups	yes	yes	yes	yes
2.3.13 Mechanism to report under Article 15 on measures taken to implement the provisions of the Convention and for information exchange with other Parties to the Convention	no	yes	yes	yes
2.3.14 Relevant activities of non-governmental stakeholders	yes	yes	N/A	N/A
2.3.15 Overview of technical infrastructure for POPs assessment, measurement, analysis, alternatives and prevention measures, research and development – linkage to international programmes and projects	yes	yes	N/A	N/A
2.3.16 Overview of technical infrastructure for POPs management and destruction	yes	yes	N/A	N/A
2.3.17 Identification of impacted populations or environment, estimated scale and magnitude of threats to public health and environmental quality, and social implications for workers and local communities	yes	yes	N/A	N/A
2.3.18 Details of any relevant system for the assessment and listing of new chemicals	yes	yes	yes	yes
2.3.19 Details of any relevant system for the assessment and regulation of chemicals already in the market	no	yes	yes	yes
2.4 Implementation status	N/A	yes	yes	yes
3. Strategy and action plan elements of the national implementation plan	yes	yes	N/A	N/A
3.1 Policy statement	yes	yes	N/A	N/A

Elements for guiding NIP Development for Persistent Organic Pollutants	Present (YES) / Absent (no) in			
	NIP 2006	NIP 2020	National Report Cycle 3 (2014)	National Report Cycle 4 (2018)
3.2 Implementation strategy	yes	yes	yes	yes
3.3 Action plans, including respective activities and strategies				
3.3.1 Activity: Institutional and regulatory strengthening measures	yes	yes	N/A	N/A
3.3.2 Activity: Measures to reduce or eliminate releases from intentional production and use	no	yes	yes	yes
3.3.3 Activity: Production, import and export, use, stockpiles, and wastes of Annex A POPs pesticides (Annex A, Part I chemicals)	yes	yes	yes	yes
3.3.4 Activity: Production, import and export, use, identification, labelling, removal, storage, and disposal of PCBs and equipment containing PCBs (Annex A, Part II chemicals)	yes	yes	yes	yes
3.3.5 Activity: Production, import and export, use, stockpiles, and wastes of hexachloro and heptachloro (Annex A, Part IV chemicals) and heptachloro and heptachloro (Annex A, Part V chemicals) (and HBB, where applicable (Annex A, Part I chemicals))	no	yes	yes	yes
3.3.6 Activity: Production, import and export, use, stockpiles, and wastes of DDT (Annex B, Part II chemicals) if used in the country	no	yes	yes	yes
3.3.7 Activity: Production, import and export, use, stockpiles, and wastes of PFOS, its salts and PFOSE (Annex B, Part III chemicals)	no	yes	yes	yes
3.3.8 Activity: Register for specific exemptions and the continuing need for exemptions (Article 6)	no	yes	yes	yes
3.3.9 Action plan: Measures to reduce releases from unintentional production (Article 5)	yes	yes	yes	yes
3.3.10 Activity: Identification and management of stockpiles, waste and articles in use, including release reduction and appropriate measures for handling and disposal (Article 6)	yes	yes	yes	yes
3.3.11 Activity: Identification of contaminated sites (Annex A, B, and C Chemicals) and, where feasible, remediation in an environmentally sound manner	yes	yes	yes	yes
3.3.12 Activity: Facilitating or undertaking information exchange and stakeholder involvement	yes	yes	yes	yes
3.3.13 Activity: Public and stakeholder awareness, information and education (Article 10)	yes	yes	yes	yes

Elements for guiding NIP Development for Persistent Organic Pollutants	Present (YES) / Absent (no) in			
	NIP 2006	NIP 2020	National Report Cycle 3 (2014)	National Report Cycle 4 (2018)
3.3.14 Activity: Effectiveness evaluation (Article 16)	N/A	yes	yes	yes
3.3.15 Activity: Reporting (Article 15)	yes	yes	yes	yes
3.3.16 Activity: Research, development and monitoring (Article 11)	yes	yes	yes	yes
3.3.17 Activity: Technical and financial assistance (Articles 12 and 13)	no	yes	no	yes
3.4 Development and capacity-building proposals and priorities	yes	yes	N/A	N/A
3.5 Timetable for implementation strategy and measures of success	N/A	yes	yes	yes
3.6 NIP implementation status	N/A	yes	yes	yes
3.7 Resource requirements	yes	yes	N/A	N/A
A1 Government and key stakeholder endorsement documents	yes	yes	N/A	N/A
A2 Record of stakeholder and public consultation	no	yes	N/A	N/A
A3 Representative public information materials	no	yes	N/A	N/A
A4 Supporting information on chemicals	no	yes	N/A	N/A
A5 Details of relevant international and regional treaties	yes	yes	N/A	N/A
A6 Country history in addressing the POPs issue status of Convention implementation to date	yes	yes	N/A	N/A
Elements found in National Reports but not in NIP				
Part A: General Information				
12. Has your country developed source inventories and release estimates of the chemicals listed in Annex C to the Convention taking into consideration the source categories identified in Annex C, in accordance with paragraph (a) (i) of Article 5 of the Convention?	N/A	N/A	yes	yes

13. Has your country undertaken an evaluation of the efficacy of the laws and policies adopted to manage releases of unintentionally produced persistent organic pollutants, in accordance with paragraph (a) (ii) of Article 5 of the Convention?	N/A	N/A	yes	yes
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