

# Operating Principles Governing the Work of the Panel

## Request for Written Submissions from Member States and Relevant Stakeholders

Member states, during the resumed first session of the Open-ended Working Group (OEWG1.2), requested the Secretariat of the OEWG to solicit written submissions from Member States and relevant stakeholders regarding the operating principles governing the work of the panel.

In support of this request, the Secretariat conducted a webinar (on 26 April 2023) dedicated to the issue of operating principles. In advance of the webinar, the Secretariat released a background document which provides a comparative overview of operating principles of relevant, existing science-policy panels (IPCC, IPBES, IRP and GEO). The recording of the webinar can be found on [this website](#), when available, and the background document is available at [this link](#).

A variety of potential operating principles are presented below, based on the analysis performed for this [background document](#). The following questions in no way attempt to rank or preclude consideration of the operating principles of the future SPP, rather, they draw from the agreed text of Resolution 5/8 and other existing, relevant science-policy bodies, as well as the discussions at the OEWG. You may also suggest relevant potential operating principles that have not been identified yet.

Member States are invited to provide submissions through their respective national focal points (list of focal points available [at this link](#)). Non-government stakeholders are invited to submit their submissions on behalf of their organization or group. You are invited to respond to all or some of the questions below. Once complete, please submit this filled document to [SPP-CWP@un.org](mailto:SPP-CWP@un.org). All submissions will be uploaded online and will inform a working document to be considered at OEWG 2.

**Contact information**

What is your name/surname?

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What is your country?

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What is your title?

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What is your email address?

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Who are you submitting on behalf of?

MSP Institute

The following elements are included in Resolution 5/8. Please indicate by clicking on the box(es) where you believe these elements should be included (i.e., operating principles, rules of procedure, guidelines, or other relevant documents, or if they are not relevant). Some key terms have been grouped together for the purpose of this tabular analysis, there is inevitably some overlap across principles.

|  | Operating Principle                 | Rules of Procedure       | Guidelines               | Other                    | Not relevant             |
|--|-------------------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| <b>CREDIBILITY</b>   |                                     |                          |                          |                          |                          |
| Robustness/Rigour  | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Integrity/Objectivity / Independence/Impartiality/ Lack of Bias (avoiding conflicts of interest) | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Interdisciplinary / Multidisciplinary / Balance of disciplines                                   | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>RELEVANCE/SALIENCE</b>  |                                     |                          |                          |                          |                          |
| Policy-relevant (and not policy prescriptive)  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>LEGITIMACY</b>  |                                     |                          |                          |                          |                          |
| Inclusivity/Balance  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| -- indigenous inclusivity  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| -- geographic balance  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| -- regional balance  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| -- gender balance  | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| -- balance of disciplines (see also Credibility/Interdisciplinary...)                            | <input checked="" type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>CROSS-CUTTING THEMES</b>  |                                     |                          |                          |                          |                          |
| Transparency   | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Flexibility  | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Coordination (without duplication) / Complementarity   | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Cost-Effectiveness   | <input type="checkbox"/>            | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Please provide any relevant comments on your choices above:

[Click or tap here to enter text.](#)

The following table includes other elements that may be considered. Please indicate by clicking on the box(es) where you believe these elements should be included (i.e., operating principles, rules of

procedure, guidelines, or other relevant documents, or if they are not relevant). It is also possible to add additional potential operating principles to be considered.

| OTHER  | Operating Principle      | Rules of Procedure       | Guidelines               | Other                    | Not relevant             |
|--|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| Promotion of innovation                          | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Comprehensive, holistic, or integrative approach | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Consensus based approach                         | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Providing accessible outputs                     | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Others [please add]                              | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

**Please provide any relevant comments on your choices above:**

Click or tap here to enter text.

**Please provide your written submission in the space below:**

### **Joint Submission of the Womens Major Group**

In response to the call for submission to the Science Policy Panel Operating Principles the WMG propose the following which are collated excerpts from applicable principles from the IPCC, IPBES, IRP, GEO and webinar on the operating principles.

In addressing the need for gender equality and inclusiveness in all aspects of the operating principles, the process should be:

Open and transparent with Integrity and a strong conflict of interest policy with a framework that provides independent monitoring and evaluation.

Ensure geographical, regional and gender balance in its structure and throughout all its work.

The process should respond to the need of all member states, recognize and respect the contribution of all, especially those of indigenous peoples and local knowledge, as well as youth.

At the national level we need to prioritize what data and actions are needed. There is a need for the integration of mapping exercises in national data systems to identify the local groups and all stakeholders who are actively engaging in chemicals, pollution plastics and waste streams for sharing in the SPP.

The mechanism for engaging local scientific and other expertise should be fit for purpose and adapted to learning lessons and best practices from other science processes. Environmental governance processes that ensure access to information, participation and effective information sharing will support the realization of human rights. Global Human rights defenders information supported by data, case studies and knowledge management products also from marginalized and vulnerable groups should also be included.

In reflecting Science Policy scope it should take an interdisciplinary and multidisciplinary approach, and ensure contributions from experts with a broad range of disciplinary, including social sciences with other relevant field of sciences; and including the expertise of women's and gender organisations and indigenous people which could provide / contribute traditional and sustainable knowledge. An approach complimented with sustainable system for reporting and reviewing of information within a specified time frame. A robust system which is aligned with applying the scientific process in accomplishing its mandate and that recognizes and actions the need for gender equality in all relevant aspects of its work.

