

# Operating Principles Governing the Work of the Panel

## Request for Written Submissions from Member States and Relevant Stakeholders

Member states, during the resumed first session of the Open-ended Working Group (OEWG1.2), requested the Secretariat of the OEWG to solicit written submissions from Member States and relevant stakeholders regarding the operating principles governing the work of the panel.

In support of this request, the Secretariat conducted a webinar (on 26 April 2023) dedicated to the issue of operating principles. In advance of the webinar, the Secretariat released a background document which provides a comparative overview of operating principles of relevant, existing science-policy panels (IPCC, IPBES, IRP and GEO). The recording of the webinar can be found on [this website](#), when available, and the background document is available at [this link](#).

A variety of potential operating principles are presented below, based on the analysis performed for this [background document](#). The following questions in no way attempt to rank or preclude consideration of the operating principles of the future SPP, rather, they draw from the agreed text of Resolution 5/8 and other existing, relevant science-policy bodies, as well as the discussions at the OEWG. You may also suggest relevant potential operating principles that have not been identified yet.

Member States are invited to provide submissions through their respective national focal points (list of focal points available [at this link](#)). Non-government stakeholders are invited to submit their submissions on behalf of their organization or group. You are invited to respond to all or some of the questions below. Once complete, please submit this filled document to [SPP-CWP@un.org](mailto:SPP-CWP@un.org). All submissions will be uploaded online and will inform a working document to be considered at OEWG 2.

## **Contact information**

What is your name/surname?

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Who are you submitting on behalf of?

UNEP – Ozone Secretariat

The following elements are included in Resolution 5/8. Please indicate by clicking on the box(es) where you believe these elements should be included (i.e., operating principles, rules of procedure, guidelines, or other relevant documents, or if they are not relevant). Some key terms have been grouped together for the purpose of this tabular analysis, there is inevitably some overlap across principles.

	Operating Principle	Rules of Procedure	Guidelines	Other	Not relevant
<b>CREDIBILITY</b>					
Robustness/Rigour	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Integrity/Objectivity / Independence/Impartiality/ Lack of Bias (avoiding conflicts of interest)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Interdisciplinary / Multidisciplinary / Balance of disciplines	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>RELEVANCE/SALIENCE</b>					
Policy-relevant (and not policy prescriptive)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>LEGITIMACY</b>					
Inclusivity/Balance	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
-- indigenous inclusivity	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
-- geographic balance	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
-- regional balance	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
-- gender balance	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
-- balance of disciplines (see also Credibility/Interdisciplinary...)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>CROSS-CUTTING THEMES</b>					
Transparency	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Flexibility	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Coordination (without duplication) / Complementarity	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Cost-Effectiveness	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please provide any relevant comments on your choices above:

We are assuming that guidelines would be adopted over time and that the guidelines would evolve as the understanding of Member States and relevant stakeholders on how operating principles should apply to the Panel's work deepens. We also understand that guidelines would include a wide spectrum of materials, including, for example, manuals.

The principles of integrity/objectivity/independence/impartiality/lack of bias (avoiding conflicts of interest) can be reflected in the rules of procedure to the extent that there is reference to these principles in relation to presiding officers of the governing body and, if Member States decide that the rules of procedure should apply to any subsidiary bodies or expert groups, to the presiding officers of these as well. More detailed guidelines can also be developed on how these principles might apply to specific instances.

The principles of interdisciplinarity/multidisciplinarity/balance of disciplines could be reflected in the provisions in the rules or procedure on the membership of the Panel, its governing body and any subsidiary bodies.

**The following table includes other elements that may be considered. Please indicate by clicking on the box(es) where you believe these elements should be included (i.e., operating principles, rules of procedure, guidelines, or other relevant documents, or if they are not relevant). It is also possible to add additional potential operating principles to be considered.**

<b>OTHER</b>	<b>Operating Principle</b>	<b>Rules of Procedure</b>	<b>Guidelines</b>	<b>Other</b>	<b>Not relevant</b>
Promotion of innovation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comprehensive, holistic, or integrative approach	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Consensus based approach	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Providing accessible outputs	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Others [please add]	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Please provide any relevant comments on your choices above:**

The rules of procedure could include a provision relating to consensus-based decision-making that could be complemented by voting rules that Member States could fall back on if all efforts at consensus fail. In other words, the inclusion of voting rules would not be incompatible with a consensus-based approach.

**Please provide your written submission in the space below:**

Click or tap here to enter text.