## Contact Group 2 - Co-facilitators proposal to streamline compilation of revised zero draft text<sup>1</sup>

This document captures the co-facilitators' suggestions to streamline the compilation of the contact group's revised zero draft text, following discussions of contact group 2 during INC3.<sup>2</sup>

#### 3. Reporting on progress [of implementation]

#### Option 1

**OP1** Each [developed country] Party shall report to the *governing body\** on the measures taken to implement the provisions of this *instrument\** and on the effectiveness of such measures according to the timeline to be agreed by the *governing body\** at its first session.

**OP1 Bis** Each developing country party may voluntarily report to the *governing body\** on the measures taken to implement the provisions of this *instrument\** and on the effectiveness of such measures and the possible challenges upon availability of and its accessibility to financial and technical support for this purpose.

**OP2** Each Party shall submit its report referred to in paragraph 1 of this Article to the secretariat<sup>3</sup> on the measures it has taken to implement the provisions of this *instrument\** [and] on the effectiveness of such measures [and] the possible challenges in meeting the objective of the *instrument\**. [The secretariat shall make national reports submitted by the Parties under this Article publicly available.]

*OP3* Each Party shall include, in its reporting, information provided in accordance with [part II.14 on transparency, tracking, monitoring and labelling, paragraphs 2 and 3][, including statistical data on types and volumes of its production, imports and exports of plastic polymers and products.]<sup>4</sup>[Ensuring transparent assessment of progress by Parties, visualization of implementations should be realized by using objective data and its evidence on measures in national action plan and reporting.]

[Such data and its evidence include numerical information on production and consumption, leakage along the value chain, waste generation, collection of waste, recycling (quantity/rates), collection of discharged plastics into the marine and other environments, policy targets and goals reflecting the global goal, detailed roadmap towards the realization of the targets and goals, and their achievements.]

OP3 Alt No text

<sup>&</sup>lt;sup>1</sup> <u>Note:</u> Due to the significant number of submissions received, there may be some missing submissions in this version.

<sup>&</sup>lt;sup>2</sup> Note: The proposals are not listed in any order of priority.

<sup>&</sup>lt;sup>3</sup> <u>Note:</u> The secretariat could be mandated to maintain a registry, i.e., a central data exchange where information reported by the parties could be made available.

<sup>&</sup>lt;sup>4</sup> Note: Adapted from the Minamata Convention, Article 21.2.

**OP4** The governing body\* shall, at its first session, adopt the [reporting intervals] modalities and format for [the reporting][national reporting] [referred to in paragraph 1 of this Article, which shall take into consideration the full life cycle of plastics and plastic products,] [while ensuring complementarity with relevant international instruments and organizations, as appropriate.][ In this regard, consideration could be given to the submission of short/brief reports on key issues within a specified period and a more comprehensive report at a longer period.] [The governing body of the future instrument will develop a common framework for reporting, including ways and means to ensure that reporting is specific, measurable, achievable relevant and time bound.]

**OP4 BIS** On an ad hoc basis, the *governing body* of the *instrument* should encourage reports on relevant subjects from chemicals-related conventions, conventions related to climate change, biodiversity, and the law of the sea as well as contributions from multilateral organizations, particularly the WTO, WCO, WHO, and the ILO.

**OP5** The *governing body\** shall, at its first session, adopt the modalities and procedures for review of information communicated in accordance with this Article. [A scientific body should be entrusted with the evaluation, harmonization and centralization of scientific and technical data reported by Parties in national reports.] The secretariat shall keep under review and regularly communicate to the *governing body\** the status of submissions by Parties of information [in accordance with paragraphs 1, 2 and 3 of this Article.]

**OP6** [Each Party shall take measures to ensure mandatory][ The *Governing body* shall adopt the guidelines related to] disclosures from businesses[, including the financial sector] on their activities and financial flows from all sources related to plastic pollution and related sustainable finance practices [provided that there are safeguards to protect confidential information].

OP6 Alt No text

*OP6 Bis* Exchange of information on indigenous knowledge, even if available, shall be subject to free, prior and informed consent.

#### Part IV.3 Option 1 Alt No text

#### Option 2

**OP1** Each Party shall report to the *governing body\**, through the secretariat, [its national plans] on the measures it has taken to implement the [provisions][obligations] of this *instrument\** and on the effectiveness of such measures and the possible challenges in meeting the objective of the *instrument\**. [Differentiated reporting timelines for developed and developing country parties shall be established according to a decision made by the *governing body\**.]

OP1 Alt 3 No text

**OP2** Each Party shall include in its [reporting][report, to be made publicly available by the secretariat,] the information called for in Articles [X,X]<sup>5</sup> of this *instrument*\*[and should take into account information provided by relevant partners and stakeholders, in particular waste pickers].

**OP2** Alt The governing body\* shall, at its first session, decide upon the timing and format of the reporting to be followed by the Parties, while providing flexibility to developing country parties.

OP2 Alt 2 No text

<sup>&</sup>lt;sup>5</sup> Note: The list of relevant Articles to be defined.

- **OP3** The governing body\* shall, at its first session, decide upon the timing and format of the reporting to be followed by the Parties[, taking into account the desirability of coordinating reporting with relevant international instruments and organizations, as appropriate.]
- **OP3 Alt** The reporting framework shall provide flexibility in the implementation to developing country Parties in light of their capacities and must not create new burdens for developing country parties.
- *OP3 Bis* The Secretariat shall make national reports submitted by the Parties under this Article publicly available.
- **OP3** Ter Support shall be provided to developing country Parties for the implementation of this Article, recognizing that enhanced support for developing country Parties will effectively facilitate their efforts to prepare and update their national reports in accordance with this Article.

### Appendix 1

# Contact Group 2 - Co-facilitators proposal to streamline compilation of revised zero draft text<sup>6</sup> - with changes tracked

To aid transparency, this appendix shows the co-facilitators' suggestions to streamline the compilation of the contact group's revised zero draft text. Suggestions are shown as tracked changes, against the most recent compilation text published on the IC3 webpage on 18 November 2023.

#### 4. Reporting on progress

#### Part IV.3 Header Alt: Reporting on progress of implementation

#### Option 1

1. Each [developed country] Party shall report to the *governing body\** on the measures taken to implement the provisions of this *instrument\** and on the effectiveness of such measures according to the timeline to be agreed by the *governing body\** at its first session.

*OP1 Alt* Each <u>developed country</u> Party shall report to the governing body\* on the measures taken to implement the provisions of this instrument\* and on the effectiveness of such measures according to the timeline to be agreed by the governing body\* at its first session.

*OP1 Bis* Each developing country party may voluntarily report to the governing body\* on the measures taken to implement the provisions of this instrument\* and on the effectiveness of such measures and the possible challenges upon availability of and its accessibility to financial and technical support for this purpose.

+.2. Each Party shall submit its report referred to in paragraph 1 of this Article to the secretariat<sup>7</sup> on the measures it has taken to implement the provisions of this *instrument\** [and] on the effectiveness of such measures [and] the possible challenges in meeting the objective of the *instrument\**. [The secretariat shall make national reports submitted by the Parties under this Article publicly available.]

OP2 Alt Each Party shall submit its report referred to in paragraph 1 of this Article to the secretariat<sup>8</sup> on the measures it has taken to implement the provisions of this instrument\*, and on the effectiveness of such measures, and the possible challenges in meeting the objective of the instrument\*. The secretariat shall make national reports submitted by the Parties under this Article publicly available.

*OP2 Alt 2* Each Party shall submit its report referred to in paragraph 1 of this Article to the secretariat on the measures it has taken to implement the provisions of this *instrument\** and on the effectiveness of such measures and the possible challenges in meeting the objective of the *instrument\**. The secretariat shall make national reports submitted by the Parties under this Article publicly available.

3. Each Party shall include, in its reporting, information provided in accordance with [part II.14 on transparency, tracking, monitoring and labelling, paragraphs 2 and 3][, including statistical data on types and volumes of its production, imports and exports of plastic polymers and products.]<sup>10</sup>[Ensuring transparent

<sup>&</sup>lt;sup>6</sup> Note: Due to the significant number of submissions received, there may be some missing submissions in this version.

<sup>&</sup>lt;sup>7</sup> <u>Note:</u> The secretariat could be mandated to maintain a registry, i.e., a central data exchange where information reported by the parties could be made available.

<sup>&</sup>lt;sup>8</sup> <u>Note:</u> The secretariat could be mandated to maintain a registry, i.e., a central data exchange where information reported by the parties could be made available.

<sup>&</sup>lt;sup>9</sup> Note: The secretariat could be mandated to maintain a registry, i.e., a central data exchange where information reported by the parties could be made available.

<sup>&</sup>lt;sup>10</sup> Note: Adapted from the Minamata Convention, Article 21.2.

assessment of progress by Parties, visualization of implementations should be realized by using objective data and its evidence on measures in national action plan and reporting.]

[Such data and its evidence include numerical information on production and consumption, leakage along the value chain, waste generation, collection of waste, recycling(quantity/rates), collection of discharged plastics into the marine and other environments, policy targets and goals reflecting the global goal, detailed roadmap towards the realization of the targets and goals, and their achievements.]

*OP3 Alt* Each Party shall include, in its reporting, information provided in accordance with [part II.14 on transparency, tracking, monitoring and labelling, paragraphs 2 and 3], including statistical data on types and volumes of its production, imports and exports of plastic polymers and products. Ensuring transparent assessment of progress by Parties, visualization of implementations should be realized by using objective data and its evidence on measures in national action plan and reporting.

Such data and its evidence include numerical information on production and consumption, leakage along the value chain, waste generation, collection of waste, recycling(quantity/rates), collection of discharged plastics into the marine and other environments, policy targets and goals reflecting the global goal, detailed roadmap towards the realization of the targets and goals, and their achievements.

#### OP3 Alt 2 No text

4. The governing body\* shall, at its first session, adopt the [reporting intervals] modalities and format for [the reporting][national reporting] [referred to in paragraph 1 of this Article, which shall take into consideration the full life cycle of plastics and plastic products,] [while ensuring complementarity with relevant international instruments and organizations, as appropriate.][In this regard, consideration could be given to the submission of short/brief reports on key issues within a specified period and a more comprehensive report at a longer period.]

2.

OP4 Alt The governing body\* shall, at its first session, adopt the modalities and format for the reporting referred to in paragraph 1 of this Article, which shall take into consideration the full life cycle of plastics and plastic products, while ensuring complementarity with relevant international instruments and organizations, as appropriate. The governing body of the future instrument will develop a common framework for reporting, including ways and means to ensure that reporting is specific, measurable, achievable relevant and time bound.

*OP1.Alt 2* The *governing body\** shall, at its first session, adopt the modalities and format for the reporting referred to in paragraph 1 of this Article, which shall take into consideration the full life cycle of plastics and plastic products, while ensuring complementarity with relevant international instruments and organizations, as appropriate.

*OP4 Alt3* The governing body shall at its first meeting adopt the reporting intervals, modalities and format of national reports. In this regard, consideration could be given to the submission of short/brief reports on key issues within a specified period and a more comprehensive report at a longer period.

**OP4 BIS** On an ad hoc basis, the governing body of the instrument should encourage reports on relevant subjects from chemicals-related conventions, conventions related to climate change, biodiversity, and the law of the sea as well as contributions from multilateral organizations, particularly the WTO, WCO, WHO, and the ILO.

3.5. The governing body\* shall, at its first session, adopt the modalities and procedures for review of information communicated in accordance with this Article. [A scientific body should be entrusted with the evaluation, harmonization and centralization of scientific and technical data reported by Parties in national reports.] The secretariat shall keep under review and regularly communicate to the governing body\* the status of submissions by Parties of information [in accordance with paragraphs 1, 2 and 3 of this Article.]

OP5 Alt The governing body\* shall, at its first session, adopt the modalities and procedures for review of information communicated in accordance with this Article. A scientific body should be entrusted with the

evaluation, harmonization and centralization of scientific and technical data reported by Parties in national reports. The secretariat shall keep under review and regularly communicate to the governing body\* the status of submissions by Parties of information in accordance with paragraphs 1, 2 and 3 of this Article.

*OP5 Alt 2* The *governing body\** shall, at its first session, adopt the modalities and procedures for review of information communicated in accordance with this Article. The secretariat shall keep under review and regularly communicate to the *governing body\** the status of submissions by Parties of information in accordance with paragraphs 1, 2 and 3 of this Article.

4.6. [Each Party shall take measures to ensure mandatory] [The Governing body shall adopt the guidelines related to] disclosures from businesses [I, including the financial sector] on their activities and financial flows from all sources related to plastic pollution and related sustainable finance practices

*OP6 Alt* The Governing body shall adopt the guidelines related to disclosures from businesses on their activities and financial flows from resources related plastic pollution and related sustainable finance practices.

OP6 Alt 2 No text

*OP6 Alt 2* Each Party shall take measures to ensure mandatory disclosures from businesses, including the financial sector on their activities and financial flows from all sources related to plastic pollution and related sustainable finance practices, provided that there are safeguards to protect confidential information.

**OP6 Bis** Exchange of information on indigenous knowledge, even if available, shall be subject to free, prior and informed consent.

#### Part IV.3 Option 1 Alt No text

#### Option 2

1. Each Party shall report to the *governing body\**, through the secretariat, [its national plans] on the measures it has taken to implement the [provisions][obligations] of this *instrument\** and on the effectiveness of such measures and the possible challenges in meeting the objective of the *instrument\**. [Differentiated reporting timelines for developed and developing country parties shall be established according to a decision made by the governing body\*.]

*OP1 Alt* Each Party shall report to the *governing body\**, through the secretariat, on the measures it has taken to implement the obligations of this *instrument\** and on the effectiveness of such measures and the possible challenges in meeting the objective of the *instrument\**.

OP1 Alt 2 Each Party shall report to the governing body\*, through the secretariat, its national action plans on the measures it has taken to implement the provisions of this instrument\* and on the effectiveness of such measures and the possible challenges in meeting the objective of the instrument\*. Differentiated reporting timelines for developed and developing country parties shall be established according to a decision made by the governing body\*.

#### OP1 Alt 3 No text

2. Each Party shall include in its [reporting][ report, to be made publicly available by the secretariat,] the information called for in Articles [X,X]<sup>12</sup> of this *instrument\**[ and should take into account information provided by relevant partners and stakeholders, in particular waste pickers].

*OP2 Alt* Each Party shall include in its reporting report, to be made publicly available by the secretariat, the information called for in Articles [X,X] of this instrument\*.

<sup>&</sup>lt;sup>11</sup> Note: Members may wish to consider clarifying/specifying types of information to be disclosed, including treatment of confidential business information

<sup>&</sup>lt;sup>12</sup> Note: The list of relevant Articles to be defined.

*OP2 Alt 2* Each Party shall include in its reporting the information called for in Articles [X,X]16 of this instrument\*, and should take into account information provided by relevant stakeholders, in particular waste pickers.

**OP2** Alt 3 The governing body\* shall, at its first session, decide upon the timing and format of the reporting to be followed by the Parties, while providing flexibility to developing country parties.

#### OP2 Alt 43-No text

3. The *governing body\** shall, at its first session, decide upon the timing and format of the reporting to be followed by the Parties[, taking into account the desirability of coordinating reporting with relevant international instruments and organizations, as appropriate.]

**OP3 Alt** The reporting framework shall provide flexibility in the implementation to developing country Parties in light of their capacities and must not create new burdens for developing country parties.

*OP 3 Alt 2* The governing body\* shall, at its first session, decide upon the timing and format of the reporting to be followed by the Parties, taking into account the desirability of coordinating reporting with relevant international instruments and organizations, as appropriate.

**OP3 Bis** The Secretariat shall make national reports submitted by the Parties under this Article publicly available.

**OP3** Ter Support shall be provided to developing country Parties for the implementation of this Article, recognizing that enhanced support for developing country Parties will effectively facilitate their efforts to prepare and update their national reports in accordance with this Article.

#### Part IV.3 Alt

#### Option 3

- 1. Each Party shall report to the *governing body*\*, through the secretariat, its national action plans on the measures it has taken to implement the provisions of this instrument\* and on the effectiveness of such measures and the possible challenges in meeting the objective of the *instrument*\*. Differentiated reporting timelines for developed and developing country parties shall be established according to a decision made by the governing body\*.
- 2. The *governing body\** shall, at its first session, decide upon the timing and format of the reporting to be followed by the Parties, while providing flexibility to developing country parties.
- 3. The reporting framework shall provide flexibility in the implementation to developing country Parties in light of their capacities and must not create new burdens for developing country parties.