

# INC4 – Contact Group 2 – Co-chairs’ technical streamline

23 April 2024 - corrigendum

## Part III

### 1. Financing [mechanism [and resources]]

*Alt Title: Financial resources [(and mechanism)]*

**Provisions common for Options 1 and 2 (see OP 6 and OP7)**

**OP0:**<sup>1</sup> The overall effectiveness of implementation of this *instrument*\* by developing country Parties will be related to the effectiveness of this article.

*OP0 Alt No text.*

**OP0 Alt 2** The extent to which the developing country Parties will effectively implement their commitments under this *instrument*\* will depend on the effective implementation by developed country Parties of their commitments under this *instrument*\* relating to financial resources, technical assistance and technology transfer. The fact that sustainable economic and social development and eradication of poverty are the first and overriding priorities of the developing country Parties will be taken fully into account, giving due consideration to the need for the protection of human health and the environment.

1. [Parties][Each Party][shall][should][undertakes to] provide the necessary resources [within their capabilities] for national activities intended to implement this *instrument*\* [as appropriate.][[in accordance with its national policies, priorities, plans and programmes]. [The mobilization of][Such] resources [for tackling plastic pollution should][may] include [all sources.] domestic [funding through relevant policies, development strategies and national budgets] and [bilateral and multilateral] [international] [funding, as well as facilitation of private sector [investment and contributions][financing][, including voluntary contributions]].<sup>2</sup> [public and private, in line with the Addis Ababa Action Agenda (AAAA) and the Polluter Pays Principle (PPP). The parties shall make efforts to increase mobilization of the private funding including the alignment of public and private investment and finance with the objective and provisions of the instrument. International financial institutions and multilateral development banks, in particular the World Bank Group and the International Monetary Fund, are invited to consider supporting implementation of the instrument, including by partnering with the Global Environmental Facility.<sup>69</sup>

~~*OPI Alt.* Parties shall provide the necessary resources for national activities intended to implement this *instrument*\*. The mobilization of resources for tackling plastic pollution should include all sources, domestic and international, public and private, in line with the Addis Ababa Action Agenda (AAAA) and the Polluter Pays Principle (PPP). The parties shall make efforts to increase mobilization of the private funding including the alignment of public and private investment and finance with the objective and provisions of the instrument. International financial institutions and multilateral development banks, in particular the World Bank Group and the International Monetary Fund, are invited to consider supporting implementation of the instrument, including by partnering with the Global Environmental Facility.~~<sup>69</sup>

*OPI Alt 2 No text.*

2. [Developed countries][Parties [in a position to do so]][should][shall], and [multilateral organizations, agencies and funds][ multilateral, regional and bilateral entities] [should] [are encouraged to], [increase their support including through] [provide] within their capacities] [on a

<sup>1</sup> ~~Note by the co-facilitators: The text of The substance of this provision appears in paragraph-OP3bis below is not OP0 Alt2. It could be moved there or considered incorporated here. As a result, it may be necessary to revisit the numbering of paragraphs, but no change is proposed at this time.~~

<sup>2</sup> ~~Note:~~ For a list of possible sources of financing beyond traditional sources, see paragraph 24(e) of document UNEP/PP/INC.2/4.

~~voluntary basis]]~~ ~~[[provide]]~~ [adequate] ~~[on a voluntary basis]]~~ ~~[[increase their support]]~~ ~~[on grant or concessional terms]]~~, including through finance, capacity-building [, technical assistance] and technology transfer [on voluntary and mutually agreed terms] ~~[[on grant or concessional terms]]~~. [by prioritizing the support to most effective and cost-efficient measures taken to prevent emissions and releases of plastics], for the implementation of this *instrument*\* by [[developing country Parties]] [most in need] [[, particularly small island developing States] and least developed countries] [and countries with economies in transition]] Parties with limited domestic resources and significant capacity challenges].

**OP2 Alt.** The developed country Parties shall provide new and additional financial resources to enable developing country Parties and Parties with economies in transition to meet the agreed full incremental costs of implementing measures which fulfill their obligations under this instrument. Contributions from other sources, including multilateral organizations, agencies and funds are encouraged to, increase their support, including through finance, capacity-building and technology transfer, for the implementation of this *instrument*\* by developing country Parties. Other Parties may also on a voluntary basis and in accordance with their capabilities provide such financial resources. The implementation of these commitments shall take into account the need for adequacy, predictability, the timely flow of funds and the importance of burden sharing among the contributing Parties.

3. Parties shall, and other stakeholders are encouraged to, in implementing paragraph 2 [and 3] of this article, take into account of the specific needs and special circumstances of Parties that are [developing country Parties, particularly] [downstream, underdeveloped countries,] small island developing States [or least developed countries] [or environmentally or ecologically vulnerable developing countries] [countries with special geographical conditions or characteristics that are considered vulnerable to plastic pollution, including archipelagic states] [or countries with economies in transition] [and countries whose economies are highly dependent on income generated from the production, processing and export and /or consumption of fossil fuels and associated energy intensive products].

**OP3 Alt** No text.

~~**OP3 bis.**<sup>3</sup> The extent to which the developing country Parties will effectively implement their commitments under this *instrument*\* will depend on the effective implementation by developed country Parties of their commitments under this *instrument*\* relating to financial resources, technical assistance and technology transfer. The fact that sustainable economic and social development and eradication of poverty are the first and overriding priorities of the developing country Parties will be taken fully into account, giving due consideration to the need for the protection of human health and the environment.~~

4. <sup>4</sup>[\[To support the implementation of the obligations under this instrument by developing country Parties, particularly prioritizing parties that have the largest capacity and governance gaps, especially small island developing States and least developed countries.\]](#) A mechanism for the [provision of] [\[\[purposes of providing\] \[financial and technical assistance, including technology transfer \[and development and capacity-building and training.\]\]](#) [new and additional,] predictable, [sustainable,] adequate, [accessible] and timely financial resources is hereby established to [prioritize] support the implementation of this *instrument*\* [to most effective and cost-efficient measures taken to control leakage of plastics] by [countries with limited domestic resources and significant capacity challenges] [[developing country Parties] [most in need] [, particularly small island developing States and least developed countries] [countries with economies in transition] [particularly downstream underdeveloped countries] [and environmentally or ecologically vulnerable developing countries]] [developing country Parties, particularly prioritizing Parties that have the largest capacity and governance gaps, especially small island developing States and least developed countries]. The Mechanism shall include financial resources from [all sources], domestic and international, public, and private] [including the establishment of a global plastic pollution fee to be paid by international plastic polymer producers and to adopt the necessary legislative, regulatory and administrative measures for its collection] [with priority from the public, and private, with developed country parties taking in the lead in the mobilization of resources]] [developed countries and others in a position to do so]].

**OP4 Alt.** [To support the implementation of the obligations under this instrument by developing country Parties, particularly prioritizing parties that have the largest capacity and governance gaps, especially small island developing States and least developed countries, there shall be a mechanism for](#)

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<sup>3</sup> ~~Note by the co-facilitators: It has been suggested that the intention of this provision is adequately captured by the text at OP0 above.~~

<sup>4</sup> ~~Note by the co-chairs: It has been suggested that this provision may be better placed at the top of this provision.~~

~~the provision of predictable, sustainable, adequate, accessible and timely financial resources. The mechanism shall include financial resources from all sources, domestic and international, public, and private.~~

~~**OP4 Alt 2.** The Parties establish a mechanism for the purposes of providing financial and technical assistance, including technology transfer [and development and capacity building and training,] in support of developing country Parties in the implementation of this *instrument*\*.~~

~~**OP4 Alt 3.** There shall be a mechanism for the provision of predictable, sustainable, adequate, and timely financial resources to support the implementation of this instrument by developing country parties, particularly prioritizing parties that have the largest capacity and governance gaps, especially small island developing States and least developed countries. The Mechanism shall include financial resources, from all sources, domestic and international, public, and private.~~

**OP4 bis.** All Parties are expected to contribute to the mechanism. The mechanism shall encourage the provision of resources from other sources, including the private sector, and shall seek to leverage such resources for the activities it supports.

**OP4 ter.** The support provided in paragraphs 2 and 4 shall be targeted to most effective and cost-efficient measures, which are well-planned in advance within local communities and coordinated with regional, subregional or national arrangement to maximize positive effects for preventing emissions and releases of plastics. A priority of the support shall be given to establish an effective social system at local level for handling, sorting, collection, transportation, storage, recycling and treatment of plastic wastes, which is indispensable to safe and environmentally sound waste management.

5. [For the purposes of this *instrument*\*] The mechanism shall operate under the guidance of and be accountable to the *governing body*\* [The *governing body*\* shall provide guidance on [overall] policies, program priorities, and eligibility criteria related to this *instrument*\*][which shall provide guidance on overall strategies, policies, programme priorities and eligibility for access to and utilization of financial resources. In addition, the *governing body*\* shall provide guidance on an indicative list of categories of activities that could receive support from the mechanism].<sup>5</sup>

**OP5 Alt.** For purposes of the Multilateral Fund, the *governing body*\* shall establish an Executive Committee, operating under the authority of the *governing body*\*, to develop and monitor the implementation of operational policies, guidelines and administrative arrangements, including the disbursement of resources. The Executive Committee shall discharge its tasks and responsibilities, specified in its terms of reference as agreed by the *governing body*\*. The members of the Executive Committee, which shall be selected on the basis of a balanced representation of the Parties, shall be endorsed by the *governing body*\*. Decisions under this paragraph shall be taken by consensus whenever possible. If reasonable efforts at consensus have been exhausted and no agreement reached, decisions shall be adopted by a two-thirds majority vote of the members of the Executive Committee present and voting.

**OP5 bis.** In recognition of the urgency to address plastic pollution, the *governing body*\* shall determine no later than at its [ ] meeting an initial resource mobilization goal for the financial mechanism.

**OP5 ter.** The *governing body*\* shall periodically report and make recommendations on the Identification and mobilization of funds under the mechanism. In addition to the considerations provided in this article, the *governing body*\* shall consider, inter alia:

- (a) The assessment of the needs of developing country Parties;
- (b) The availability and timely disbursement of funds;
- (c) The transparency of decision-making and management processes concerning fundraising and allocations.

**OP5 quater.** The *governing body*\* shall, in addition, undertake a periodic review of the financial mechanism to assess the adequacy, effectiveness and accessibility of financial resources, including for the delivery of capacity building, technical assistance and the transfer of technology for developing State Parties.

**OP5 quinques.** The *governing body*\* shall agree upon arrangements to give effect to the above paragraphs at its first meeting.

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<sup>5</sup> Note: The two options below paragraph 5 could be considered individually or together.

## **Option 1**

6. The mechanism shall consist of *newly established dedicated Fund(s)*\*.<sup>6</sup>

**OP6 Alt.** A dedicated Multilateral Fund is hereby established to provide financial resources to eligible developing countries [and countries with economies in transition] in need to meet their commitments under the instrument.<sup>7</sup>

**OP6 Alt2.** The mechanism shall include:

- a. [A newly established dedicated [and independent] [multilateral] fund [as the main vehicle] for providing support to developing country Parties][and countries with economies in transition] to [comply with any agreed control measures][implement the *instrument*\*];
- b. [A timebound international financing programme to support enabling activities, capacity-building and technical assistance, [to support developing country Parties, particularly small island developing States and least developed countries, [and countries with economies in transition] in their implementation of the substantive provisions of the instrument. Funding must be commensurate with the scope of these provisions];]
- c. [A Multilateral Fund, financed by contributions from non-developing country Parties on the basis of [a][ the United Nations] scale of assessment, to provide new, predictable, stable, adequate and timely financial resources on a grant basis in support of the implementation of this *instrument*\* for:
  - i. Enabling activities;
  - ii. Agreed incremental and other costs of compliance;
  - iii. Technical assistance and capacity-building and training;
  - iv. Technology transfer and development on mutually agreed terms;
  - v. Secretarial services of the Multilateral Fund and related support costs.]

[Part of the financial resources of the financial mechanism shall be utilized to mobilize financial flows from the private sector which shall be used to support projects and programmes in developing countries, including small island developing States and least developed countries [and countries with economies in transition].]

[The financial mechanism should seek to ensure that the duplication is avoided, and complementarity and coherence promoted, among utilization of the funds within the mechanism.]

**OP6 Alt footnote 71.** Elements to be funded should be decided by the *governing body*\* of the *instrument*\*.

**OP6 bis.** For support of early action and implementation, the mechanism shall also consist of dedicated Fund(s) within an existing financial arrangement to be accessed by developing country Parties, particularly small island developing States, least developed countries [and countries with economies in transition] and environmentally or ecologically vulnerable developing countries.

**OP6 ter.** The contributions from the dedicated fund to the developing country Parties [and countries with economies in transition] shall be additional and distinct from other financial transfers received by such Parties.

**OP6 quater.** The dedicated fund shall be periodically replenished, from various sources, including by Parties following the principle of Common but Differentiated Responsibility.

7. The *governing body*\* shall, at its first session, [agree upon][adopt] the arrangements for the operation of the newly established dedicated fund(s)[ with the necessary consideration to the paragraph 3 and 4][ including an indicative list of enabling activities and agreed incremental and other costs that could receive support].]

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<sup>6</sup> **Note:** The fund(s) could be dedicated to specific purposes, such as addressing legacy plastic waste or innovation.

<sup>7</sup> **Note by the co-facilitators:** This text was initially submitted as an alternative to paragraph 9. It was highlighted during contact group 2 discussions that this could appear in paragraph 6.

**OP7 Alt.** The *governing body*\* shall establish a permanent body to govern the financial mechanism. The permanent body shall undertake assessment on the technology and financial needs, including technology transfer, for each of the developing country Parties [and countries with economies in transition] to comply with each of the agreed control measures, as well as mobilization of financial resources for the same.

**OP7 Alt2.** The *governing body*\* of the *instrument*\* shall decide on activities to be funded in developing country Parties [and countries with economies in transition] through the dedicated fund and the modalities for providing such funds, on order to enable the developing country Parties [and countries with economies in transition] comply with agreed control measures.

~~**OP7 bis.** In providing resources for an activity, the mechanism should take into account the additionality and complementarity of support for that activity with respect to all financial flows in furtherance of the *instrument*\*'s objectives, including from domestic finance, bilateral, regional, and multilateral entities, and the private sector.~~

~~**OP7 ter.** A platform for the provision of transparent information on all financial flows in furtherance of the *instrument*\*'s objectives is hereby established. This platform shall provide information pursuant to paragraph 7bis.~~

~~**OP7 quater.** Parties, bilateral, regional and multilateral entities and the private sector are encouraged to take actions to make financial flows consistent with a pathway towards achieving the objective of the *instrument*\*.~~

~~**OP7 quinquies.** In providing resources for an activity, the mechanism should take into account the potential for the proposed activity to reduce releases of plastic to the environment, relative to its costs, and the need to prioritize limited financial assistance towards Parties with limited domestic resources and significant capacity challenges.~~

## **Option 2**

6. The mechanism shall consist of a [*dedicated Fund within*] [*an existing financial arrangement*][the Global Environment Facility Trust Fund][with a view to fostering synergies with other environmental issues]\*<sup>8</sup>

**OP6 Alt.** A dedicated Multilateral Fund is hereby established to provide financial resources to eligible developing countries [and countries with economies in transition] in need to meet their commitments under the *instrument*\*.<sup>9</sup>

**OP6 Alt2.** The financial mechanism shall include:

- a. [an existing fund e.g., the Global Environment Facility Trust Fund];
- b. A Plastics Implementation Fund to support the implementation of national action plans and other activities to be defined by the Parties (access to technology, royalties, capacity building etc.); and
- c. A Remediation Fund to support remediation of legacy plastics in the marine environment, including areas beyond national jurisdiction.

7. The *governing body*\* shall, at the latest at its first session, conclude arrangements with the *governing body*\* of the [*existing financial arrangement*\*] [Global Environment Facility] for the operation of the mechanism.

## **Provisions common for Options 1 and 2 above**

**OP7 bis.** In providing resources for an activity, the mechanism should take into account the additionality and complementarity of support for that activity with respect to all financial flows in furtherance of the *instrument*\*'s objectives, including from domestic finance, bilateral, regional, and multilateral entities, and the private sector.

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<sup>8</sup> Note: The fund could be established within an 'existing fund', such as the Global Environment Facility (GEF) (<https://www.thegef.org/who-we-are/organization>).

<sup>9</sup> Note by the co-facilitators: This text was originally submitted as an alternative to paragraph 9. It was highlighted during contact group 2 discussions that this could appear in paragraph 6.

**OP7 ter.** A platform for the provision of transparent information on all financial flows in furtherance of the *instrument*\*'s objectives is hereby established. This platform shall provide information pursuant to paragraph 7bis.

**OP7 quater.** Parties, bilateral, regional and multilateral entities and the private sector are encouraged to take actions to make financial flows consistent with a pathway towards achieving the objective of the *instrument*\*.

**OP7 quinquies.** In providing resources for an activity, the mechanism should take into account the potential for the proposed activity to reduce releases of plastic to the environment, relative to its costs, and the need to prioritize limited financial assistance towards Parties with limited domestic resources and significant capacity challenges.

**Provisions common for Options 1 and 2 above**

8. The *governing body*\* shall review [no later than at its [third][fourth] meeting, and thereafter] on a regular basis the level of funding [from all sources], [the additionality and complementarity of funding with respect to all financial flows in furtherance of the *instrument*\*'s objectives,] the guidance provided by the *governing body*\* [to the entities entrusted] to operationalize the Mechanism established under this article and its effectiveness, as well as its ability to address the changing needs of [developing country Parties][most in need][and countries with economies in transition][Parties with limited domestic resources and significant capacity challenges]. It shall, based on such review, [take relevant action] [give recommendations to be agreed by all Parties, that aim] to improve the effectiveness of the mechanism.<sup>10</sup>

9. Each Party [shall][is encouraged to] establish [where appropriate] a plastic pollution fee, to be paid by plastic polymer producers within its jurisdiction, and adopt the necessary legislative, regulatory and administrative measures for its collection. [The *governing body*\*, at its first session, shall adopt modalities and procedures for the implementation of the global plastic pollution fee, including on the contribution of the fee to the financial mechanism established in paragraph 4.<sup>11</sup>]

**OP9 Alt.** No text.

**OP9 bis.** The global plastic pollution fee will provide ample funding to meet the unique costs of ending plastic pollution, including:

- a. The high capital costs of investing in infrastructure essential for managing plastic waste in a safe and environmentally sound manner;
- b. The remediation of legacy plastic pollution that bears heavily on the developing world;
- c. Other aspects of implementation of the future treaty including, technology transfer, capacity building research, innovation, education and development;
- d. Aiding the establishment, operationalization and scaling up of national EPR schemes, by making available consistent and predictable revenue flows to cover capital costs of establishing waste management systems (typically not covered by EPR schemes) and, if necessary, their operational costs;
- e. Ensuring sufficient funding for a just transition for vulnerable groups who could be disadvantaged by the future instrument including women, children, youth and waste pickers, among others.

**OP9 ter** Each Party shall compel extended producer responsibility schemes to provide technology for processing and recovery of plastic products.<sup>12</sup>

10 Each Party shall [aim to] take measures to [make finance flows consistent with [[the goals of this *instrument*\*] a pathway towards ending plastic pollution, and related risks to human health and the environment by]:

- a. [[decrease][phasing out] financial flows from all domestic and international, public, and private sources, towards [projects][activities] that result in emissions and releases

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<sup>10</sup> Note: Adapted from Minamata Convention, Article 13.11.

<sup>11</sup> Note: Modalities for the global plastic pollution fee could be established by the governing body. This fee could hold polymer producers accountable for the pollution costs of all of their plastics, irrespective of the country in which the plastics end their useful life, and of whether the plastics are ultimately destined for recycling or disposal. It could generate revenue to finance environmentally sound waste management and clean-up initiatives.

<sup>12</sup> Note by the co-facilitators: This text was initially submitted in relation to Part III.2 (Capacity building, technical assistance [and technology transfer], it is suggested that it may be relevant in this paragraph.

to the environment from plastics and plastic products across the life cycle, including microplastics; and]

- b. [increase financial flows from all domestic and international, public, and private sources, towards [projects][activities] that prevent or reduce emissions and releases to the environment of plastics and plastic products across the life cycle, including microplastics, including for the development of [effective reuse and recycling system, and] adequate waste management infrastructure.]

**OP10 Alt.** Each Party is encouraged to increase financial flows from all domestic and international, public, and private sources, towards projects that prevent or reduce emissions and releases to the environment of plastics and plastic products across the life cycle, including microplastics, including for the development of adequate waste management infrastructure.

**OP10 Alt 2.** No text.

**OP10 bis.** The financial mechanism set out in this article is without prejudice to any future arrangements that may be developed with respect to other environmental issues.

## 2. Capacity-building, technical assistance and technology transfer

**Alt title: Capacity-building, technical and scientific cooperation, technology transfer**

**Alt title 2: Capacity-building and technical assistance**

1. [[All] Parties][Developed countries] shall [and stakeholders are encouraged to][provide] [cooperate to [enable], within their respective capabilities,] the provision of [new and additional] timely, [sustainable,] [comprehensive and] [enduring] [and adequate][and appropriate] capacity-building and [financial and] technical assistance [including on research and development]<sup>13,14</sup> to [all] developing countries [most in need], in particular, to least developed countries and small island developing States [and environmentally and ecologically vulnerable developing countries][~~countries with special geographical conditions or characteristics that are considered vulnerable to plastic pollution, including archipelagic states~~] [[as well as] countries with special geographical conditions or characteristics that are considered vulnerable to plastic pollution including archipelagic states], to assist them in implementing their obligations under this *instrument*\* [and to retain such capacity once built]. [Capacity building activities should place emphasis on supporting partners and stakeholders, in particular women, youth, workers of the informal (waste) sector, Indigenous Peoples and Local Communities (IPLC) and other vulnerable groups, both in the development and implementation of such measures.][Capacity-building should be country-driven, based on and responsive to national needs, and foster country ownership of Parties, in particular, for developing country Parties.]

**OP1 Alt** No text.

2. [The *governing body*\* shall] [taking into consideration the developing countries Parties' needs expressed through their national implementation reports][keep under] review [no later than at its third meeting, and thereafter on a regular basis the] capacity-building and technical assistance to support the implementation of this *instrument*\* [and promote cooperation and coordination [as appropriate] with other multilateral environmental agreements and other relevant initiatives to increase the effectiveness of capacity-building and technical assistance.]

**OP2 Alt** No text.

**OP2 bis** Technical assistance and capacity building pursuant to paragraph 1 and provisions on compliance may be delivered through regional, subregional and national arrangements, including

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<sup>13</sup> **Note:** Members may wish to include a definition of “technology transfer on mutually agreed terms” either in the provision on ‘definitions’ or in the provision on ‘technology transfer on mutually agreed terms’. The glossary of terms prepared for the committee’s first session, UNEP/PP/INC.1/6, refers to the following definition: “Technology transfer means the transmission of know-how, equipment and products to governments, organizations or other stakeholders. It usually also implies adaptation for use in a specific cultural, social, economic and environmental context”. (UNEP, Glossary of Terms for Negotiators of Multilateral Environmental Agreements (Nairobi, 2007), p. 91.)

<sup>14</sup> **Note:** Particular focus areas for capacity-building, technical assistance or technology transfer may need to be further defined and elaborated when there is a better understanding of the substantive obligations under this *instrument*\*.

existing regional and subregional centres, through other multilateral and bilateral means, and through partnerships, including those involving the private sector and/or other stakeholders. Cooperation and coordination with relevant other multilateral environmental agreements, as appropriate, should be sought to increase the effectiveness of technical assistance and its delivery.

3. [Developed country Parties and other] Parties [within their respective capabilities] shall promote and facilitate [supported by the private sector and other relevant partners and stakeholders][as appropriate] the development, transfer [on [fair and most favourable terms, including on concessional and preferential terms, as] mutually agreed [terms]], [and] [diffusion of and access to] up-to-date environmentally sound [and endogenous [economical and efficient]] technologies to address plastic pollution [to developing country Parties, in particular the least developed countries and small island developing States, and Parties with economies in transition, to strengthen their capacity to effectively implement this *Instrument*] [, including through [reduce, reuse, recycle, refill, repair, and non-plastic substitute] [safe and sustainable][environmentally sound and sustainable] alternatives and non-plastic substitutes [with due consideration to proprietary rights]]. [In implementing this provision, Parties shall promote and facilitate innovation and investment in pursuit of new technologies and innovative solutions[, and shall facilitate access to essential technologies, [including with respect to financial resources and proprietary rights.]]]

*OP3 Alt No text.*

*OP3-Alt 2*

### [3.] Technology [transfer]

1. [Developed country Parties should cooperate to facilitate and enhance technology transfer for developing country Parties to implement this *instrument*\*.]

2. [Parties shall promote and facilitate the development, transfer [on mutually agreed terms], diffusion of and access to up to date [environmentally sound] technologies addressing plastic pollution [in an environmentally sound manner, including those related to collecting, sorting, processing and recycling of plastic waste as well as those related to safe and sustainable alternatives and non-plastic substitutes]. In implementing this provision, Parties shall promote and facilitate innovation and [inclusive] investment in pursuit of new technologies and innovative solutions, and shall facilitate access to essential technologies, [including with respect to financial resources and] proprietary rights.]

3. [Each Party shall ensure freedom of trade and technology transfer in the areas related to the implementation of the *instrument*\*. In particular, no prohibition or restriction shall be instituted or maintained on trade in equipment and transfer of technologies related to combating plastic pollution, enhancing waste management and plastic waste recycling, as well as any other activities covered by the *instrument*\*.]

4. [Support, including financial support, shall be provided to developing country Parties for the implementation of this article, including for strengthening cooperative action on technology development and transfer at different stages of the technology cycle.]

*OP3 bis* Each Party shall compel extended producer responsibility schemes to provide technology for processing and recovery of plastic products.<sup>15</sup>

*OP3 ter* Developed country Parties should cooperate to enhance the capacity of developing country Parties to implement this instrument, and guarantee sustainability and capacities developed.

*OP3 quater*

4. A *cooperation mechanism*\* is hereby established. The *cooperation mechanism*\* shall provide for:

- a. A clearing-house platform to enable Parties to access, provide and disseminate information with respect to activities taking place pursuant to the provisions of this *instrument*\*;
- b. Assist Parties to identify their needs for cooperation in science, technology and innovation regarding the provisions of this *instrument*\*;
- c. Facilitate the matching of capacity building needs with the support available and with providers for the transfer of technology, including governmental, non-governmental or

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<sup>15</sup> Note by the co-facilitators: This provision is replicated in Part III.1 on Financing.



private entities interested in participating as donors in the transfer of technology, and facilitate access to related know-how and expertise;

- d. Facilitate scientific, technical and technological cooperation to meet these identified needs;
  - e. Promote training activities for the benefits of Parties that are developing countries;
  - f. Facilitate and monitor other multilateral, regional and bilateral cooperation available to Parties that are developing countries;
  - g. Perform such other functions as may be determined by the *governing body\** or assigned to it under this *instrument\**.
5. A *cooperation mechanism\** committee is hereby established.
  6. The committee shall coordinate the functioning and supervise the efficiency and effectiveness of the cooperation mechanism\*. it shall submit reports and recommendations that the *governing body\** shall consider and take action on as appropriate.
  7. The committee shall consist of members to serve objectively in the best interest of this *instrument\**, nominated by Parties, taking into account gender balance and equitable geographical distribution. the terms of reference and modalities for the operation of the committee shall be decided by the *governing body\** at its first meeting.

## Part IV

### 1. National [Action][Implementation]Plans

*OP0*<sup>16</sup> For enabling collective assessment of progress of the measures under the instrument, common modalities and guidelines, in addition to the format, are needed.

*OP0 Alt No text.*

1. Each Party [shall][is encouraged to] develop and implement a national [action] plan<sup>17</sup> [through domestic consultations, with binding actions, including quantitative and measurable targets, where appropriate] to [pursue its nationally determined objective of ending plastic pollution][fulfil its obligations] under this *instrument*\* [and to achieve its objective(s)][in accordance with its capacities and as appropriate]. [Such plans should be prepared in light of different national circumstances and may follow a guidance to be adopted by the [governing body\*].][The national [action] plans [shall][should][might] be based on the [modalities, guidelines and] format in annex G [which shall be flexible for adjustments to national realities and circumstances [the impacts of plastic pollution on socio-economic, human health and environment]] and [to the extent possible] [shall][should][could] include [but not be limited to][at least][relevant][elements [necessary measures] related to<sup>18</sup>[, which are not exhaustive]:]

- a. [Primary plastic polymers;]
- b. [Chemicals [and polymers] of concern;]
- c. [Problematic and avoidable plastic products;]
- d. [Product design and performance;]
- e. [Reduce, reuse, refill and repair of plastics and plastic products;]
- f. [Use of recycled plastic contents;]
- g. [Extended Producer Responsibility;]
- h. [Emissions and releases of plastic through its life cycle;]
- i. [Waste management [including by the informal sector];]
- j. [Fishing gear;]
- k. [Existing plastic pollution, including in the marine environment;]
- l. [Just transition;]
- m. [Monitoring and reporting framework for the implementation of the National Plan;]
- n. [Educational and awareness raising programmes on plastic pollution, including those aimed at behavioural change and developing capacity;]
- o. [Assessment and monitoring;]
- p. [Promoting research, development and innovation;]
- q. [Commitments from developed countries in relation to financial support, capacity building and technology transfer for developing countries, especially small island developing States;]
- r. [Promoting, encouraging and incorporating action by all stakeholders to address plastic pollution, including the private sector, informal plastics waste sector, waste pickers, Indigenous Peoples and local communities;]

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<sup>16</sup> Note: For ease of reference and to avoid disturbing the existing paragraph numbering, this option is identified as “OP0”, on the understanding that it may require a renumbering of subsequent paragraphs in this section.

<sup>17</sup> Note: The term ‘national plans’ is used without prejudging how members may ultimately choose to refer to the plans. Other options include ‘national action plans’ or ‘national implementation plans’.

<sup>18</sup> Note: This potential list of actions to be included in national plans reflects references to such plans in the options presented. It is presented for ease of reference and without prejudice to how members may choose to address this item in the *instrument*\*. The exact contents of any national plans will depend on the contents and structure of commitments and obligations under the *instrument*\*.

- s. [Legislative, policy and regulatory arrangements for compliance with obligations under this *instrument*\*;]
- t. [Actions to support compliance with reporting requirements under this *instrument*\*;]
- u. [Transparency monitoring and tracking and labelling;]
- v. [Trade in listed chemicals polymers and products in plastic waste;]
- w. [Domestic financing;]
- x. [Non-plastic substitutes;]
- y. [Capacity building and technology transfer;]
- z. [Public awareness;]
- aa. [Information exchange;]
- bb. [Quantification of emissions of greenhouse gases and method of measurement of emission and releases of plastic through its life cycle;]
- cc. [Means of implementation, including those provided to developing countries, in particular, the least developed countries, small island developing states, and environmentally and ecologically vulnerable developing countries.]

**OP1 Chapeau bis.** The national plan should be [according to the national capacity and circumstances][inclusive and based on best available knowledge and data][developed in accordance with: (a) existing national laws and regulations and (b) domestic circumstances and capabilities].]

**OP1 Chapeau ter.** Parties may add elements in their national plans, in accordance with national circumstances, capacities, and capabilities.]

**OP1 Alt.** Each Party shall develop and implement a nationally determined action plan that best fits its national circumstances to meet the objective of this *instrument*\* while recognizing developed countries take the lead in action and the need to support developing country Parties for the effective implementation of the objective of the *instrument*\*.

**OP1 Alt2.** Each Party shall develop a national action plan to describe the measures it intends to take to implement the obligations under this *instrument*\* in accordance with the format provided in annex G.

**OP1 Alt3.** Each party shall prepare national plans, based upon national circumstances and capabilities, in order to comply with obligations created under the *instrument*\*. The *governing body*\* shall provide guidance and format for preparation and submission of national plans. The national plans will be country driven and the Parties shall decide the activities to be undertaken in the national plans based upon national circumstances and capabilities.<sup>19</sup>

**OP1 Alt4.** No text.

2. Each [developed country] Party shall communicate its initial national [action] plan to the *governing body*\* within [X][2][5] year[s] of the date on which this *instrument*\* enters into force for it through the secretariat.

**OP2 Alt.** Each Party shall submit a national action plan to the secretariat no later than [X] years after entry into force of the *instrument*\* for that Party.

**OP2 bis.** Each developing country Party could voluntarily communicate its national plan to the *governing body*\* upon availability of and its accessibility to financial and technical support for this purpose.

3. Each Party [shall][should] be guided by the [format][modalities] referred to in paragraph 1 when preparing and submitting their national [action] plans [as appropriate]. [National action plans shall include targets and actions in the medium term as well as long term strategies towards achieving the objective and targets of the *instrument*\*.]

**OP3 Alt.** No text.

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<sup>19</sup> Note by the co-facilitators: This proposal made under paragraph 3, has been moved to paragraph 1 as an alternative, as it relates to the preparation of national plans, in addition to their contents and format.

**OP3 bis.** The measures in the national plan will not be limited to obligations, but also cover ambitious “actions”, including development of mid and long-term strategy, towards the objective of the *instrument*\*.

~~**OP3 bis Alt.** Each Party shall update its national action plan every [X] [5] years or earlier if determined by the Party, with a view to showing progress in its implementation.~~

4. A Party may [incorporate] at any time [new measures into] [adjust] its national [action] plan [[with a view to][possible enhancement][enhancing][in order to enhance] its level of ambition][based upon national circumstances and capabilities], in accordance with guidance adopted by the *governing body*\*.

**OP4 Alt.** No text.

5. Parties [are encouraged to][may] coordinate on the [development][establishment] and implementation of [sub-regional and] regional plans to facilitate implementation of this *instrument*\*, as appropriate.

**OP5 Alt.** No text.

6. [Developed country] Parties shall review, update and communicate to the *governing body*\* their national [action] plans [while developed country parties shall report every [5] year[s], and developing country Parties shall report] every [X][4][5][10] year[s], and in a manner to be specified by a decision of the *governing body*\*, [[with each update representing a progression][indicating any progress made and/or challenges faced] compared to the Party’s previous national [action] plan] [considering different national circumstances].

**OP6 Alt.** Parties shall review, update national plans, based upon national circumstances and capabilities, and communicate to the *governing body*\* every five years in a manner prescribed by *governing body*\*.

~~**OP6 Alt.1. OP3 bis Alt.** Each Party shall update its national action plan every [X] [5] years or earlier if determined by the Party, with a view to showing progress in its implementation.~~

**OP6 Alt2.** No text.

7. Each [developed country] Party shall include information on the implementation of its national [action] plan towards achieving the objective of this *instrument*\* in its national reports pursuant to [part IV.3 on reporting on progress]. [In order to assess the progress made in the achievement of the objective, the Parties shall establish a baseline, i.e. a year of reference, to assess the starting situation with regards to plastic pollution.]

**OP7 Alt.** No text.

**OP7bis.** The secretariat shall establish and maintain a public registry to record national plans communicated by Parties, with a view to facilitating information exchange.

**OP7bis Alt.** The secretariat shall make national action plans submitted by the Parties under this article publicly available.

**OP7 ter.** Support shall be provided to developing country Parties for the implementation of this article, recognizing that enhanced support for developing country Parties will effectively facilitate their actions both in preparing national plans and implementing this *instrument*\* at large.<sup>20</sup>

## 2. Implementation and compliance

### Option 1

1. A [review] mechanism [[including a Committee] as a subsidiary body of the *governing body*\*] to [facilitate][promote] implementation of, and [promote][review] compliance with, [the][all] provisions of this *instrument*\*, [including a committee], is hereby established[, to promote implementation of, and review compliance with, all provisions of this Convention].

**OP1 Alt** A review mechanism to facilitate and promote implementation of the future *instrument* by the Parties would be established. ~~In carrying out its tasks, the committee shall strive to avoid duplication of efforts, shall neither function as an enforcement or dispute settlement mechanism, nor impose~~

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<sup>20</sup> Note by the co-facilitators: This text is akin to statements included in relation to financing (see paragraph 3, OP3 bis and proposed new paragraph 0 bis) and may be able to be further streamlined.

~~penalties or sanctions and shall respect national sovereignty. This committee shall protect the confidentiality of the information received in confidence.~~

2. The mechanism referred to in paragraph 1 [shall consist of a committee that] shall be [facilitative][non-punitive] [non-adversarial][expert-based] in nature [and [shall] pay particular attention to the respective national capabilities and circumstances of Parties.<sup>21</sup>][function in a manner that is [transparent][non-intrusive], non-adversarial and non-punitive and shall pay particular attention to the respective national capacities and [capabilities][circumstances] of Parties [at all stages of the review process][in particular those that are developing countries]. The committee shall also consider and provide flexibilities for the developing country Parties [in particular of small island developing States] in the light of their capacities and capabilities.<sup>22</sup>

**OP2 bis** In carrying out its works, the committee shall strive to avoid duplication of efforts, shall neither function as an enforcement or dispute settlement mechanism, nor impose [nor advise to impose] penalties or sanctions, and shall respect national sovereignty. [This committee shall protect the confidentiality of the information received in confidence.]

**OP2 ter** The committee shall consider and provide flexibility for the developing country Parties in the light of their capacities.

3. The mechanism shall operate under the modalities and procedures adopted by the *governing body*\* [which shall consider the needs of developing state Parties,] at its [first][second] session and shall report to the *governing body*\*.

**OP3 Alt** The supreme body of the future instrument also will consider the reports of this committee and advise if necessary its guidelines and/or recommendations in a manner that is facilitative, non-intrusive, non-adversarial and non-punitive and shall pay particular attention to the respective national sovereignty and capacities and capabilities of Parties.

**OP3 Alt 2** No text.

4. The committee referred to in paragraph 1 shall [promote implementation of, and review compliance with, all provisions of this *instrument*\*. It shall] examine [both individual and systemic] implementation [and compliance] issues [including challenged faced by developing state Parties regarding implementation] and make recommendations to the *governing body*\*, as appropriate [including for the provision of effective means of implementation from developed countries to address areas of non-compliance in small island developing States].<sup>23</sup>

**OP4 Alt** No text.

**OP4 bis<sup>24</sup>** The committee shall consist of [Parties, as per guidance adopted by the *governing body*\*][[15][17][18] members [nominated by Parties and][with recognized competence in fields relevant to this *instrument*\* [including legal or technical expertise]] to be elected [at the first meeting of][by] the *governing body*\* [striving to reflect a balance of expertise [and on the basis of]][with due consideration to] equitable geographical representation [, with [three] members [each] from the five regional groups of the United Nations [and two members from the small island developing States [and one member representing ASEAN]]], taking into account the goal of gender balance]].[and thereafter in accordance with the rules of procedure approved by the *governing body*\* pursuant to paragraph 5. The members of the committee shall have competence in a field relevant to this *instrument*\* and reflect an appropriate balance of expertise.][The committee and its members shall be independent, transparent, and free from conflicts of interest.]

5. Members shall be elected to the committee to serve for a period of [X] years and for a maximum of two consecutive terms. The *governing body*\*, at its first session, shall elect [nine] members to the committee for an initial term of [X] years and [eight] [nine] members for a term of [half of X] years. Thereafter, the *governing body*\* shall elect at its relevant regular sessions nine members for a term of [X] years. The members and alternate members shall remain in office until their successors are elected.

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<sup>21</sup> Note: Adapted from the Minamata Convention, Article 15.

<sup>22</sup> Note by the co-facilitators: this text, originally proposed as an addition to paragraph 1 above, is proposed to be inserted here given its alignment with the functions of alternatives under paragraph 2.

<sup>23</sup> Note: Adapted from the Minamata Convention, Article 15.

<sup>24</sup> Note by the co-facilitators: Although this text was initially proposed within paragraph 4, the co-facilitators have determined that selection and election of the committee is more appropriately considered in a separate paragraph.

**OP5 Alt** No text.

6. [The committee may consider issues on the basis of:]
  - a. Written submissions from any Party with respect to its own compliance;
  - b. [Written submission from any Party regarding another Party's compliance;]
  - c. Requests from the *governing body*\*;
  - d. [Information provided by the secretariat with respect to the status of submission of information [under [part IV.3 on reporting on progress];]
  - e. [Requests from the secretariat, based on information available to it, *inter alia* from national reports;]
  - f. [Information available to the committee, *inter alia* from national reports.]

**OP6 Alt** The committee may consider issues as per guidance adopted by the *governing body*\*.

**OP6 Alt2** No text.

7. The committee referred to in this article shall elaborate its rules of procedure, which shall be subject to [approval][endorsement] by the *governing body*\* at its second session.<sup>25</sup> The *governing body*\* may adopt further terms of reference for the committee.

**OP7 bis** The committee shall make every effort to adopt its recommendations by consensus. If all efforts at consensus have been exhausted and no consensus is reached, such recommendations shall as a last resort be adopted by a [two thirds][three fourths] majority vote of the members present and voting, [rounded up,] based on a quorum of [half][two thirds] of the members.

#### **Option 2**

*Bracket entire provision.*

#### **Option 3**

1. A mechanism to facilitate implementation of, and promote compliance with, the provisions of this *instrument*\*, including a committee, is hereby established.
2. The mechanism referred to in paragraph 1 shall be facilitative in nature and shall pay particular attention to the respective national capabilities and circumstances of Parties.
3. The mechanism referred to in paragraph 1 shall consist of a committee that shall be expert-based and facilitative in nature and function in a manner that is transparent, non-adversarial and non-punitive. The committee shall pay particular attention to the respective national capabilities and circumstances of Parties.
4. The mechanism shall operate under the modalities and procedures adopted by the *governing body*\* at its first session and shall report to the *governing body*\*.
5. The committee may consider issues on the basis of written submissions from any Party with respect to its own compliance.

### **3. Reporting on progress [of implementation]**

#### **Option 0**

*No text.*

#### **Option 1**

1. Each [developed country] Party shall report to the *governing body*\* on the measures taken to implement the provisions of this *instrument*\* and on the effectiveness of such measures according to the timeline to be agreed by the *governing body*\* at its first session.

**OP1bis** Each developing country Party may voluntarily report to the *governing body*\* on the measures taken to implement the provisions of this *instrument*\* and on the effectiveness of such measures and the possible challenges upon availability of and its accessibility to financial and technical support for this purpose.

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<sup>25</sup> Note: The rules of procedure may include provisions for non-compliance.

2. Each Party shall submit its report referred to in paragraph 1 of this article to the secretariat<sup>26</sup> on the measures it has taken to implement the provisions of this *instrument*\* [and] on the effectiveness of such measures [and] the possible challenges in meeting the objective of the *instrument*\*. [The secretariat shall make national reports submitted by the Parties under this article publicly available.]

3. Each Party shall include, in its reporting, information provided in accordance with [Part II.14 on transparency, tracking, monitoring and labelling, paragraphs 2 and 3][, including statistical data on types and volumes of its production, imports and exports of plastic polymers and products.]<sup>27</sup> [Ensuring transparent assessment of progress by Parties, visualization of implementations should be realized by using objective data and its evidence on measures in national action plan and reporting.] [Such data and its evidence include numerical information on production and consumption, leakage along the value chain, waste generation, collection of waste, recycling (quantity/rates), collection of discharged plastics into the marine and other environments, policy targets and goals reflecting the global goal, detailed roadmap towards the realization of the targets and goals, and their achievements.]

**OP3 Alt No text.**

4. The *governing body*\* shall, at its first session, adopt the [reporting intervals] modalities and format for [the reporting][national reporting] [referred to in paragraph 1 of this article, which shall take into consideration the full life cycle of plastics and plastic products,] [while ensuring complementarity with relevant international instruments and organizations, as appropriate.][ In this regard, consideration could be given to the submission of short/brief reports on key issues within a specified period and a more comprehensive report at a longer period.] [The *governing body*\* of the future instrument will develop a common framework for reporting, including ways and means to ensure that reporting is specific, measurable, achievable, relevant and timebound.]

**OP4bis** On an *ad hoc* basis, the *governing body*\* of the *instrument*\* should encourage reports on relevant subjects from chemicals-related conventions, conventions related to climate change, biodiversity, and the law of the sea as well as contributions from multilateral organizations, particularly the World Trade Organization (WTO), World Customs Organization (WCO), World Health Organization (WHO), and the International Labour Organization (ILO).

5. The *governing body*\* shall, at its first session, adopt the modalities and procedures for review of information communicated in accordance with this article. [A scientific body should be entrusted with the evaluation, harmonization and centralization of scientific and technical data reported by Parties in national reports.] The secretariat shall keep under review and regularly communicate to the *governing body*\* the status of submissions by Parties of information [in accordance with paragraphs 1, 2 and 3 of this article.]

6. [Each Party shall take measures to ensure mandatory][The *governing body*\* shall adopt the guidelines related to] disclosures from businesses[, including the financial sector] on their activities and financial flows from all sources related to plastic pollution and related sustainable finance practices [provided that there are safeguards to protect confidential information].

**OP6 Alt No text.**

**OP6 bis** Exchange of information on [Indigenous knowledge][traditional knowledge, knowledge of Indigenous Peoples, and local knowledge systems, subject to free, prior and informed consent], even if available, shall be subject to free, prior and informed consent.

## **Option 2**

1. Each Party shall report to the *governing body*\*, through the secretariat, [its national action plans] on the measures it has taken to implement the [provisions][obligations] of this *instrument*\* and on the effectiveness of such measures and the possible challenges in meeting the objective of the *instrument*\*. [Differentiated reporting timelines for developed and developing country Parties shall be established according to a decision made by the *governing body*\*.]

**OP1 Alt No text.**

2. Each Party shall include in its [reporting][report, to be made publicly available by the secretariat,] the information called for in articles [X, X]<sup>28</sup> of this *instrument*\*[ and should take into account information provided by relevant partners and stakeholders, in particular waste pickers].

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<sup>26</sup> **Note:** The secretariat could be mandated to maintain a registry, i.e., a central data exchange where information reported by the Parties could be made available.

<sup>27</sup> **Note:** Adapted from the Minamata Convention, Article 21.2.

<sup>28</sup> **Note:** The list of relevant articles to be defined.

*OP2 Alt* The *governing body*\* shall, at its first session, decide upon the timing and format of the reporting to be followed by the Parties, while providing flexibility to developing country Parties.

*OP2 Alt 2* No text.

3. The *governing body*\* shall, at its first session, decide upon the timing and format of the reporting to be followed by the Parties[, taking into account the desirability of coordinating reporting with relevant international instruments and organizations, as appropriate].

*OP3 Alt* The reporting framework shall provide flexibility in the implementation to developing country Parties in light of their capacities and must not create new burdens for developing country Parties.

*OP3 bis* The Secretariat shall make national reports submitted by the Parties under this article publicly available.

*OP3 ter* Support shall be provided to developing country Parties for the implementation of this article, recognizing that enhanced support for developing country Parties will effectively facilitate their efforts to prepare and update their national reports in accordance with this article.

## 4. Periodic assessment and monitoring of the progress of implementation of the *instrument*\* [and effectiveness evaluation]

### a. [Assessment and monitoring]

[1. Parties shall, within their capabilities, individually and/or in collaboration with other Parties or competent organizations, establish programmes to assess and monitor emissions and releases of plastic into the environment, including in the marine environment. This should include:

- a. Establishment of baseline information on the types and volumes of existing plastic pollution in the environment;
- b. Periodically collect data and information on the types and levels of plastic pollution in the environment;
- c. Modelling to understand the movement of plastics in air, soil, water, and ecosystems;
- d. The effects of plastic pollution on the environment.

2. The measures taken to implement the provisions of this article shall be reflected in the national plan communicated pursuant to [Part IV.1 on national plans] and monitoring information should be reported to the *governing body*\*, pursuant to [Part IV.3 on reporting on progress].

3. The *governing body*\* at its first session, shall adopt guidelines to facilitate implementation of the obligations set out in paragraph 1, including best available practices and harmonized monitoring methodologies, including low technology options.]

### b.) Effectiveness [Effectiveness evaluation][Progress assessment]

1. The *governing body*\* shall [entrust a subsidiary body to] periodically evaluate [in a comprehensive and facilitative manner,] the [implementation][progress][effectiveness] of [this][the] *instrument*\* [to assess collective progress in achieving the objective of the *instrument*][considering commitments and support provided in light of equity]\*[ and [based upon this evaluation] determine [if necessary] [any] measures required to advance in achieving the objective [including measures to support developing countries overcome challenges related to the implementation of the *instrument*]]. The [governing body]\* shall [begin][undertake] its] first [evaluation][assessment] of [effectiveness][progress] of the *instrument*\* [shall be undertaken] no later than [X][4][5][6][8] years after the date of entry into force of the *instrument*\* and [periodically] thereafter at [intervals to be decided by the *governing body*\* but no later than at] least every [X][4][5] years.

2. The *governing body*\* shall, at its first session, [[initiate the establishment of] [arrangements for providing itself with relevant data and information on plastic pollution]][adopt][[the modalities] for the [evaluation][assessment] of [effectiveness][the implementation][progress] of the *instrument*\*] in accordance with the provisions of [Part IV.4a][this article].

*OPI and OP2 Alt.* The *governing body*\* shall establish an Effectiveness Evaluation and Review Committee to evaluate the effectiveness of the *instrument*\* and make recommendations accordingly.



The terms of reference for the Committee and the modalities for effectiveness evaluation will be determined by the *governing body*\* at its [ ] session.

3. The [evaluation][assessment] shall be conducted on the basis of [best] available scientific, environmental, technical, financial and economic information, including:
- a. [National reporting pursuant to [Part IV.3 on reporting on progress];]
  - b. [National plans submitted by Parties pursuant to [Part IV.1 on national plans];]
  - c. [[Scientific and s][S]ocioeconomic assessments [pursuant to [Part V.2 on subsidiary bodies]],<sup>29</sup>]
  - d. [[Scientific assessments and the use of] Best available scientific and technical knowledge [, including scientific literature [the traditional knowledge of Indigenous Peoples obtained with their free, prior and informed consent,] and other relevant sources;]]
  - e. [Results of assessment and monitoring conducted pursuant to [Part IV.4.a. on assessment and monitoring];]
  - f. [[Relevant i][I]nformation and recommendations provided by the committee referred to in [Part IV.2 on implementation and compliance mechanism];]
  - g. [Reports and other relevant information on the [alignment of financial flows [and alignment with the life cycle stages] from all sources with the *instrument*\*'s objective [and targets,] operation of the financial [[resources and] [mechanism][assistance]], [technical assistance] technology transfer [on voluntary and mutually agreed terms] and capacity-building arrangements put in place under this *instrument*\*; and]
  - h. [Relevant reports from the chemicals-related agreements, multilateral environmental agreements that deal with climate change, biodiversity, law of the sea as well as multilateral organizations, including the World Trade Organization, World Customs Organization, World Health Organization, and International Labour Organization;]
  - i. [Other information the *governing body*\* deems relevant.]

**OP3 Alt.** The evaluation shall be conducted as per guidance adopted by the *governing body*\*.

4. The *governing body*\* [shall][may] take into account the outcomes of the [evaluation][assessment] of [effectiveness][progress] of the *instrument*\* [when determining measures required to enhance the effectiveness of the *instrument*\*].

### **[b][c.] Review of [hazardous] chemicals [and polymers] of concern, microplastics and problematic and avoidable products[, and non-plastic substitutes]**

#### **Option 0**

*No text.*

#### **Option 1**

1. The *governing body*\* shall conduct, [based on the request of the parties or relevant research associations,] commencing [X] years after entry into force and at least every [X] years thereafter, a review of chemicals [and polymers] of concern used in plastic production, intentionally added microplastics and avoidable plastic products<sup>30</sup>, [and non-plastic substitutes,] with a view to assessing the state of knowledge with respect to their identification, production and use by Parties, and their impact on human health and the environment.

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<sup>29</sup> **Note:** This language assumes that a scientific and/or technical body or review mechanism would be mandated to carry out scientific and socioeconomic assessments.

<sup>30</sup> **Note:** This proposed text assumes the adoption of annexes A and B as set out in some of the options under part II.2 (chemicals and polymers of concern) and part II.3 (avoidable plastic products, including short-lived and single-use products, and intentionally added microplastics).

2. The review referred to in paragraph 1 shall be based on a report by [*the expert review body or panel*].<sup>31</sup> This report may contain [optional advice][recommendations] to the *governing body*\*, including with respect to possible amendments to annexes A and B].<sup>32</sup>
3. In the conduct of its work under this provision, the [*the expert review body or panel*]<sup>33</sup> may [prioritize substances][study], products or sectors with high volumes or the greatest likelihood of giving rise to plastic pollution.<sup>34</sup>
4. The *governing body*\* shall consider, in light of the report provided by [*the expert review body or panel*]<sup>35</sup> pursuant to paragraph 2, whether amendments to annexes A and B are warranted.

## 5. International cooperation

1. Parties [are encouraged to] [shall] [cooperate][promote cooperation] with [each other [on a global basis and,] as appropriate[, on a regional basis][through North-South, South-South and triangular cooperation], and with] relevant intergovernmental organizations [and other entities], including relevant scientific organizations and bodies, to support the effective implementation of this *instrument*\* and the achievement of its objective, [while avoiding any duplication of efforts][including through strengthening and enhancing cooperation [with and among relevant legal instruments and frameworks, as well as global, regional, subregional and sectoral bodies]].
2. Parties [are encouraged to][shall] promote [as appropriate] the objective of this *instrument*\* when participating in decision-making [in accordance with unique national priorities][under other relevant legal instruments, frameworks or global, regional, subregional or sectoral bodies].<sup>36</sup>
3. Parties [shall][are encouraged to] promote international cooperation in support of the objective of this *instrument*\* [as appropriate] through:
  - a. [The development, transfer, diffusion of and access to technology [on mutually agreed terms] [and technical innovation [on mutually agreed terms]], consistent with [*Part III.2 on capacity-building, technical assistance and technology transfer*], focusing on North south and triangular cooperation;]
  - b. The development of research and exchange of information to improve the understanding of plastic pollution and advance technological innovation, consistent with [*Part IV.6 on information exchange and Part IV.7 on awareness-raising, education and research*];
  - c. The promotion of technical and scientific cooperation, including regional platforms or databases, technical-scientific cooperation projects, and networks of technical centres;
  - d. [The implementation of the monitoring obligations [taking into account the needs and capacities of developing country parties [particularly of small island developing States]][ including the monitoring of plastics in the environment and the identification of sources of their leakage];]

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<sup>31</sup> Note: This language assumes that a scientific and/or technical body or review mechanism would be mandated to carry out this function and any related functions.

<sup>32</sup> Co-facilitators' note: Members may wish to consider the addition of a provision on the process for amendment of these modalities.

<sup>33</sup> Note: This language assumes that a scientific and/or technical body or review mechanism would be mandated to carry out this function.

<sup>34</sup> Members may wish to consider developing a list of considerations in the conduct of the work by the subsidiary body, including, for example:

- a. The potential harm of the polymer, additive or product;
- b. The contribution of the polymer, additive or product;
- c. The necessity of the product;
- d. The availability of safe, sustainable, accessible and economically feasible alternatives and/or substitutes; and
- e. The degree of economic harm to developing countries, particularly SIDS.

<sup>35</sup> Note: This language assumes that a scientific and/or technical body or review mechanism would be mandated to carry out this function.

<sup>36</sup> Note: Adapted from the Agreement under the United Nations Convention on the Law of the Sea on the conservation and sustainable use of marine biological diversity of areas beyond national jurisdiction, Article 8.

- e. The use of existing information exchange mechanisms to promote knowledge, best environmental practices and alternative technologies that are environmentally, technically, socially and economically viable.
- f. [Any other kind of cooperation that may be relevant to the objective of the *instrument\**.]

**OP3 Alt.** No text.

4. The *governing body\** will invite, as appropriate, input from relevant scientific and technical bodies [, including the Science Policy Panel to be established in accordance with UNEA resolution 5/8, the Intergovernmental Panel on Climate Change or the Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services [or the Intergovernmental Oceanographic Commission], and other relevant bodies] on matters relevant to the fulfilment of its mandate.<sup>37</sup> [The *governing body\** may also consider relevant outcomes from the work of the above mentioned scientific and technical bodies.]

**OP4 Alt** No text.

5. [The secretariat of the *instrument\** under the guidance of the *governing body\**][The *governing body\**] shall cooperate and collaborate with relevant international instruments and organizations, as appropriate, including with a view to ensuring the greatest level of consistency among relevant international instruments and organizations.<sup>38</sup>

**OP5 Alt.** The secretariat shall facilitate cooperation in the exchange of information referred to in this article, as well as with relevant organizations, including the secretariats of relevant international instruments and other international initiatives, as appropriate, with a view to avoiding duplication among relevant international instruments and organizations.

**OP5 Alt2.** No text.

**OP5 bis.** Activities mentioned in the paragraphs above under international cooperation may be conducted, as appropriate, through the *cooperation mechanism\** to be established under the *instrument\**.

## 6. Information exchange

### **Alt title: Transparency**

1. Each Party [shall][is encouraged to] facilitate [and undertake] exchange of information relevant to the implementation of the *instrument\**[in a transparent manner][on best practices and research and technologies][, including on:
  - a. Best practices and policies on sustainable consumption and production;
  - b. Research and technologies [innovation, and green chemistry on voluntary and mutually agreed terms related to plastic];
  - c. Knowledge, including [the traditional][Indigenous] knowledge [of Indigenous Peoples obtained with their free, prior and informed consent] [traditional knowledge, knowledge of Indigenous Peoples, and local knowledge systems, in line with free prior and informed consent] [and the knowledge of workers in the informal plastic sector [including waste-pickers]], *inter alia*, on environmentally sound waste management [of plastic waste], sources of plastic pollution, human and fauna and flora exposure to

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<sup>37</sup> **Note:** Pursuant to UNEA resolution 5/8, the Science Policy Panel (SPP) could support “relevant multilateral agreements, other international instruments and intergovernmental bodies, the private sector and other relevant stakeholders in their work”. The exact wording of the proposed language would need to be finalized considering the outcomes of the work of the OEWG established by resolution 5/8 towards the establishment of the future SPP.

<sup>38</sup> **Note:** Adapted from United Nations General Assembly resolution 73/333. The relevant international instruments and organizations would include, among others, the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal, the Rotterdam Convention on the Prior Informed Consent Procedure for Certain Hazardous Chemicals and Pesticides in International Trade, the Stockholm Convention on Persistent Organic Pollutants, the Convention on the Prevention of Marine Pollution by Dumping of Wastes and Other Matter London Convention and its 1996 Protocol, the International Convention for the Prevention of Pollution from Ships, the World Customs Organization, the Food and Agriculture Organization of the United Nations, and the International Labour Organization.

plastic pollution and the associated risk management and [pollution] reduction [policies, actions and other] options;

- d. Studies and experiences on circular economy and zero waste initiatives; and
- e. [Other innovative solutions.]

[**OP1 bis.** Exchange of information on Indigenous knowledge [traditional knowledge, knowledge of Indigenous Peoples, and local knowledge systems, subject to free prior and informed consent], even if available, shall be subject to free, prior and informed consent.]

2. Parties may exchange the information [referred to in paragraph 1] [directly, through an online registry, [a clearinghouse] to be maintained by the secretariat or in cooperation with other relevant international instruments and organizations, as appropriate.][through the cooperation mechanism\* to be established under this *instrument*, particularly through its clearing-house platform.]

3. Each Party shall designate a national focal point for the exchange of information under this *instrument*\*, including with regard to the prior informed consent of importing States under [Part II.11 on trade in plastics and plastic products].

**OP3 Alt.** No text.

4. Parties are encouraged to learn from and build on existing ongoing processes, initiatives and networks to share knowledge, [and] highlight successes, [including examples of] [replicate][replicating] and [scale][scaling] sustainable solutions [in addressing plastic pollution].

5. Parties exchanging information pursuant to this *instrument*\* shall protect any confidential information as mutually agreed [and handle Indigenous Knowledge consistent with relevant international norms or standards].

**OP5 bis.** The Secretariat shall serve as a clearinghouse mechanism for information provided by Parties, intergovernmental organizations, partners and other stakeholders related to the implementation of the *instrument*\*.

## 7. Awareness-raising, education and research [and development]

### Option 1

1. Parties, individually, jointly or through relevant regional or international bodies or networks, [including through the cooperation mechanism\* to be established under the *instrument*\*] [shall][should] cooperate to promote awareness on plastic pollution and [contribute to the achievement of] the objective of this *instrument*\* and to [incentivize][encourage relevant] behavioural change, capacity-building and the sharing of information, including on Indigenous, traditional and local knowledge systems [of Indigenous Peoples obtained with their free, prior and informed consent] [as appropriate].

**OP1 Alt.** Parties shall cooperate in taking measures, as appropriate, to enhance education, training, public awareness, public participation and public access to information, recognizing the importance of these steps with respect to enhancing actions under this *instrument*.

2. Each Party [is encouraged to][shall][provide environmental, health and sustainability information, and] take [relevant] measures to raise awareness on [plastic pollution and] [contribute to the achievement of] the objective of this *instrument*\*. This may include measures such as:

- a. [Developing a communication and education strategy on the objective of the *instrument*\*, involving [all][relevant] [partners and] stakeholders [as appropriate], including educational and awareness-raising programmes and [citizen][public] campaigns [with a view to creating behavioural change];]
- b. Promoting public participation and public access to information;
- c. Providing training at the national, regional and international levels[, including exchange visits and specific dedicated training;]
- d. [Including [promoting the inclusion of] issues related to plastic pollution across curricula and practices in educational institutions [at all levels and in all forms of education]; and]
- e. [Developing [regularly-updated and standardized] communication materials [regarding the health risks][on the health impacts] of plastic pollution, [and the importance of

behavioural change as well as] potential alternatives [and the importance of behavioural change [and on just transition].]

**OP2 Alt.** Each Party is encouraged to take measures to raise awareness on the objective of this *instrument*\*. This may include measures such as:

- a. Promoting public participation and public access to information
- b. Providing training at the national, regional and international levels.

**OP2 bis.** Sharing of Indigenous Knowledge, even if available, shall be subject to free, prior and informed consent.

3. Parties shall, within their [respective circumstances][capabilities], at the national, regional and international levels, [including through the *cooperation mechanism*\* to be established under the *instrument*\*] [endeavour to] cooperate in promoting and/or undertake relevant research, development, exchange of information and cooperation [and sharing and disseminating information on plastic] to improve understanding of the impacts of plastic pollution and advance scientific knowledge and promote technological innovation to [prevent and] reduce plastic pollution, including in the marine environment.

### **Option 2**

**Alt title: [Scientific research, development and innovation]**

[1. Parties shall endeavor to advance scientific and technological research, development and innovation, including by:

- a. Developing and implementing sustainable and circular plastics approaches and systems-wide solutions;
- b. Enhancing understanding of environmental and human health impacts of plastic pollution and alternative solutions across the full life cycle;
- c. Promoting and improving methods for the monitoring and modelling plastic pollution including its distribution and abundance in the environment;
- d. Promoting the collaborative development and use of standardized methods and approaches for environmental data collection and analyses, to improve reliability and comparability; and
- e. Incorporating Indigenous knowledge and other cultural and socio-economic factors, as appropriate.

2. Such efforts should support and accelerate solutions across the plastics lifecycle and minimize unintended consequences.]

### **Option 3 (proposed to replace Parts IV.6 and IV.7)**

**Alt title: Awareness-raising, education, and information exchange**

[1. Parties shall promote and facilitate public awareness-raising, education, and information exchange related to plastic pollution and its effects relevant to the implementation of this *instrument*\* and shall promote, as appropriate, such efforts at the regional, national and international levels and cooperate, as appropriate, with relevant intergovernmental and non-governmental organizations.

2. Each Party shall promote and facilitate measures to raise awareness, improve understanding, and share information, such as:

- a. Developing a communication and education strategy on the objective of the *instrument*\*, involving [partners and] stakeholders, including educational and awareness-raising programmes and citizen campaigns.
- b. Promoting public participation and public access to information;
- c. Providing training at the national, regional and international levels, including exchange visits and specific dedicated training;
- d. Promoting the inclusion of plastic pollution issues across curricula and practices in educational institutions;

3. Each party shall facilitate exchange of information relevant to the implementation of the *instrument*\*, such as:

- a. Best practices and policies on sustainable consumption and production;
  - b. Research, technologies, innovation, and green chemistry;
  - c. Knowledge, including Indigenous knowledge, inter alia, on environmentally sound waste management, sources of plastic pollution, human and fauna and flora exposure to plastic pollution, health and environmental impacts and the associated risk management and pollution reduction options.
4. Parties may exchange the information referred to in paragraph 3 directly, through to be maintained by the secretariat or in cooperation with other relevant international instruments and organizations, as appropriate.
5. Each Party shall designate a national focal point for the exchange of information under this *instrument*\*.
6. Parties are encouraged to learn from and build on existing ongoing processes, initiatives and networks to share knowledge, and highlight successes, including examples of replicating and scaling sustainable solutions.
7. Parties exchanging information pursuant to this *instrument*\* shall protect any confidential information as mutually agreed.]

## 8. [Partner and] Stakeholder engagement

1. A multi-stakeholder action agenda<sup>39</sup> that promotes inclusive, representative and transparent actions and leverages efforts through existing bodies, partnerships and other initiatives is hereby established. The *governing body*\*, at its first session, shall adopt the modalities for the action agenda.<sup>40</sup>
2. The purpose of the multi-stakeholder action agenda is to, among other things:
  - a. Promote active and meaningful participation of [Indigenous Peoples and] [all] relevant [partners and] stakeholders [including academia, Indigenous People and local community (IPLC), local government, and the youth][in particular women, youth and Indigenous Peoples and local communities, the informal sector and other vulnerable groups] in the development and implementation of the *instrument*\* and to accelerate ambitious action;
  - b. Provide a space for relevant [partners and] stakeholders [who wish to do so to report][to share information] on action taken in support of the achievement of the objective of this *instrument*\*;
  - c. Promote ambitious action and cooperation at the local, national, regional and global levels;
  - d. [In coordination with Parties in their implementation of the *instrument*\*, mobilize][Support the mobilization of] financial and technical resources from [private sector] stakeholders[, including public and private finance stakeholders][in support of the implementation of the *instrument*\*];
  - e. Share knowledge and highlight successes to replicate and scale sustainable [solutions, including in high-impact sectors and key thematic areas.]

**OP2 Alt.** The purpose of the multi-stakeholder action agenda is to promote active and meaningful participation of all relevant [partners and] stakeholders in the development and implementation of the *instrument*\*, through share knowledge, opportunities and highlight successes to replicate and scale sustainable solutions.

3. Each Party shall [encourage relevant [partners and] stakeholders to participate in the multi-stakeholder action agenda][incentivize an all-of-society approach to report through the multi-stakeholder action agenda on measures taken] towards the objective and targets of the *instrument*\*.

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<sup>39</sup> **Note:** The multi-stakeholder agenda could alternatively be launched through a decision of the committee as early as the committee's third session outside of this *instrument*\*.

<sup>40</sup> **Note:** Modalities of the agenda could be established by the governing body at its first session or alternatively be included in an annex to this *instrument*\*.

**OP3 bis.** The multi-stakeholder action agenda shall include, *inter alia*, the informal recycling sector and waste pickers, local governments and all sectors of the plastic industry.

### **8bis. Health aspects**

1. Parties are encouraged to:
  - a. Promote the development and implementation of strategies and programmes to identify and protect populations at risk, particularly vulnerable populations, and which may include adopting science-based health guidelines relating to the exposure to plastic pollution, in particular microplastics and related issues, setting targets for their exposure reduction, where appropriate, and public education, with the participation of public health and other involved sectors;
  - b. Promote the development and implementation of science-based educational and preventive programmes on occupational exposure to plastic pollution, in particular microplastics and related issues;
  - c. Promote appropriate health-care services for prevention, treatment and care for populations affected by the exposure to plastic pollution, in particular microplastics and related issues; and
  - d. Establish and strengthen, as appropriate, the institutional and health professional capacities for the prevention, diagnosis, treatment and monitoring of health risks related to the exposure to plastic pollution, in particular microplastics and related issues.
2. The *governing body*\*, in considering health-related issues or activities, should:
  - a. Consult and collaborate with the World Health Organization, other relevant intergovernmental organizations, and other relevant [partners and] stakeholders as appropriate; and
  - b. Promote cooperation and exchange of information with the World Health Organization, and other relevant intergovernmental organizations, and other relevant [partners and] stakeholders as appropriate.

## **Part V**

### **1. Governing body<sup>41</sup>**

1. A Conference of the Parties is hereby established.
2. The first meeting of the Conference of the Parties shall be convened by [placeholder] no later than one year after the date of entry into force of this instrument. Thereafter, ordinary meetings of the Conference of the Parties shall be held at regular intervals to be decided by the Conference.
3. Extraordinary meetings of the Conference of the Parties shall be held at such other times as may be deemed necessary by the Conference, or at the written request of any Party, provided that, within six months of the request being communicated to the Parties by the Secretariat, it is supported by at least one third of the Parties.
4. The Conference of the Parties shall by consensus agree upon and adopt at its first meeting rules of procedure and financial rules for itself and any of its subsidiary bodies, as well as financial provisions governing the functioning of the Secretariat.
5. The Conference of the Parties shall keep under continuous review and evaluation the implementation of this instrument. It shall perform the functions assigned to it by this instrument and, to that end, shall:

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<sup>41</sup> The text in this section has been developed following the structure of Minamata Convention on Mercury and reflects elements identified in the synthesis report (UNEP/PP/INC.3/INF/1). It is proposed as a starting point with a view to its further development taking into account the inputs from members at the third session of the committee. See contact group 3 outcome document, p. 9. See also the compilation of written submissions by members during the third session of the committee available at <https://www.unep.org/inc-plastic-pollution/session-3/documents/in-session#ContactGroups>

- a. Establish such subsidiary bodies as it considers necessary for the implementation of the *instrument\**;
  - b. Cooperate, where appropriate, with competent international organizations and intergovernmental and non-governmental bodies;
  - c. Take decisions on convening meetings;
  - d. Review, evaluate and adopt decisions related to the implementation of the *instrument\**;
  - e. Consider and take any action necessary to achieve the objectives of the *instrument\**;
  - f. Consider matters related to compliance;
  - g. Request and consider scientific and technical assessments or reviews from the subsidiary bodies to the instrument or any independent body linked to the *instrument\**;
  - h. Oversee the work of subsidiary bodies;
  - i. Review information made available to it, including through national reporting and subsidiary bodies;
  - j. With the help of the subsidiary bodies, providing guidance on financial needs for the implementation of measures;
  - k. Consider amendments to the instrument proposed by the Parties.
6. The United Nations, its specialized agencies and the International Atomic Energy Agency, as well as any State not a Party to this instrument\*, may be represented at meetings of the Conference of the Parties as observers. Any body or agency, whether national or international, governmental or non-governmental, that is qualified in matters covered by this instrument and has informed the Secretariat of its wish to be represented at a meeting of the Conference of the Parties as an observer may be admitted unless at least one third of the Parties present object. The admission and participation of observers shall be subject to the rules of procedure adopted by the Conference of the Parties.

## 2. Subsidiary bodies

*The following potential subsidiary bodies have been identified for further consideration:*

- *a scientific, technical, and socio-economic body, including for information-sharing, the identification of chemicals to be included in the instrument, assessments and recommendations;*
- *a compliance and implementation committee (see Part IV of the zero-draft);*
- *a clearing house mechanism for exchange of information;*
- *a financial mechanism, including to consider availability of resources, funding for developing countries and transfer of technology (see part V of the zero-draft). A committee would manage the financial mechanism, including by monitoring financial flows for developing countries;*
- *a committee on means of implementation;*
- *a committee to evaluate the effectiveness of the instrument.*

*The following approaches to the establishment of subsidiary bodies could be followed by the committee: subsidiary bodies could be established, and their functions defined, either, within the instrument; or by the Conference of the Parties, if needed; or a mixture of the two previous approaches.*

*Specific language will need to be elaborated following further discussion in the committee, including with respect to the composition and functions of the relevant bodies, also taking into account written submissions by members during the third session of the committee.<sup>42</sup>*

## 3. Secretariat<sup>43</sup>

1. A secretariat is hereby established.

<sup>42</sup> See contact group 3 outcome document, p. 10.

<sup>43</sup> The text in this section has been developed following the structure of Minamata Convention, article 24 and Rotterdam Convention, article 19. Paragraph 2 on the functions of the Secretariat is based on the elements reflected in the synthesis report (UNEP/PP/INC.3/INF/1, para. 85). This text is proposed as a starting point with a view to its further development taking into account the inputs from members at the third session of the committee.



2. The functions of the secretariat shall be:
  - a. Preparation and arrangements for meetings of the Conference of the Parties, subsidiary bodies and the multistakeholder action agenda, and to provide them with services as required;
  - b. To facilitate and coordinate the implementation of the instrument;
  - c. Assist Parties, as required, in the exchange of information related to the implementation of the instrument;
  - d. Compile and publish national report submitted by the Parties;
  - e. To prepare and make available to the Parties periodic reports based on national reporting and other sources of information, as appropriate;
  - f. To coordinate, as appropriate, with the Secretariats of other relevant international bodies and instruments;
  - g. To enter, under the overall guidance of the Conference of the Parties, into such administrative and contractual arrangements as may be required for the effective discharge of its functions; and
  - h. To perform the other secretariat functions specified in this instrument and such other functions as may be determined by the Conference of the Parties.
3. [The secretariat functions for this instrument shall be performed by the Executive Director of the United Nations Environment Programme until when the Conference of the Parties convenes and decides on the location of the Secretariat.]
4. The Conference of the Parties, in consultation with appropriate international bodies, may provide for enhanced cooperation and coordination between the Secretariat and the secretariats other relevant international bodies;
5. The Conference of the Parties, in consultation with appropriate international bodies, may provide further guidance on this matter.

## Part VI

*Final provisions are proposed to be developed by a legal drafting group to be established by the intergovernmental negotiating committee, taking into account inputs provided in the lead up to and at the third session of the committee.<sup>44</sup>*

## Possible annexes to the instrument<sup>45</sup>

### Annex G Format for national plans

Content of the national plan, including suggested steps and suggested table of contents

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See contact group 3 outcome document, p. 11. See also the compilation of written submissions by members during the third session of the committee available at <https://www.unep.org/inc-plastic-pollution/session-3/documents/in-session#ContactGroups>.

<sup>44</sup> See contact group 3 outcome document, p. 12. See also the compilation of written submissions by members during the third session of the committee available on the session webpage, at <https://www.unep.org/inc-plastic-pollution/session-3/documents/in-session#ContactGroups>. See also document UNEP/PP/INC.1/8, *Description of standard articles on final provisions that are typically included in multilateral environmental agreements*.

<sup>45</sup> This indicative list of potential annexes is reproduced from the zero-draft text, except for Annex [X], which is reproduced from the outcome document of contact group 1 at INC-3.