



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

www.theGEF.org

How to Access the GEF Trust Fund

Anil Sookdeo
Environmental Specialist
Climate and Chemicals

Outline

- Background on the GEF
 - History, Institutional Structure etc.
- GEF Financing
 - Eligibility, Types of projects and GEF Project Cycle
- GEF Financing for Mercury



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Who you think we are



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

www.theGEF.org

Who we actually are



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

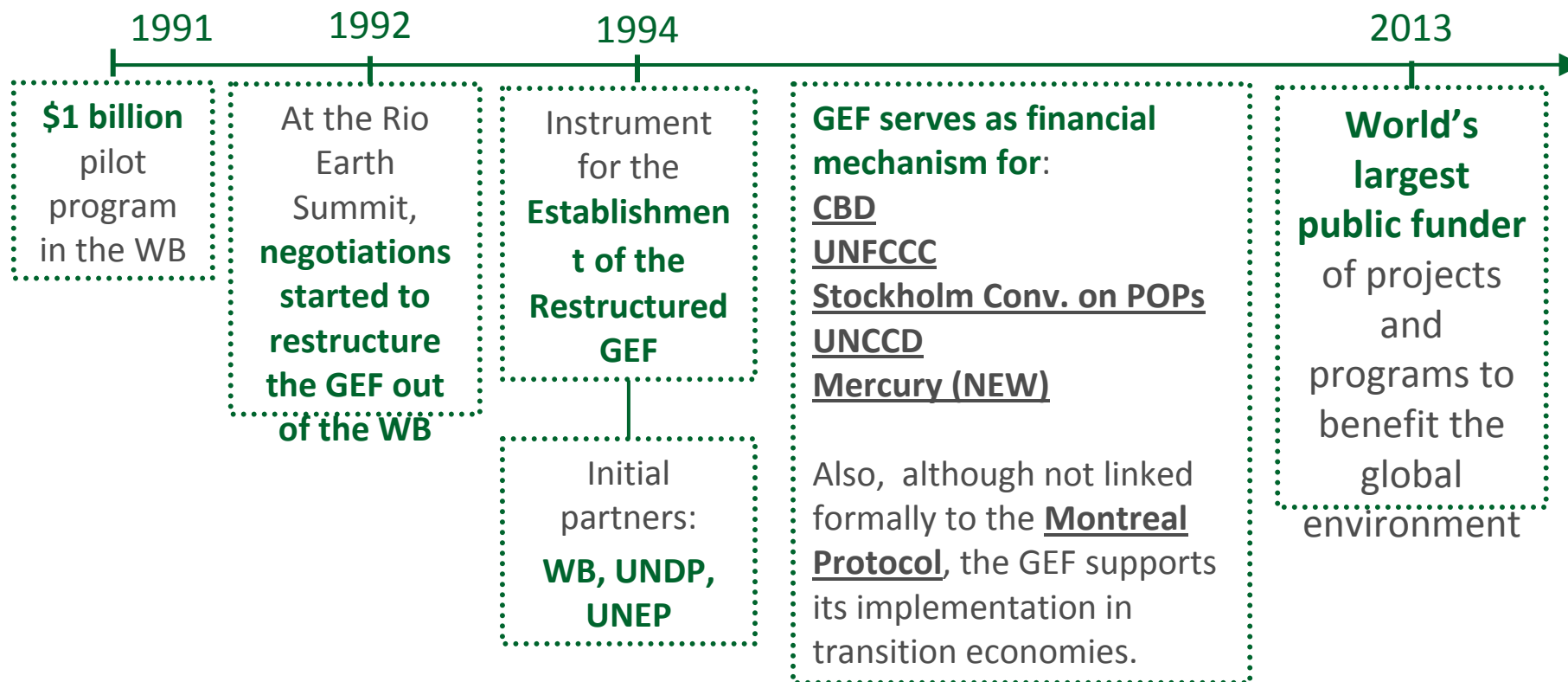
Who are We?

- The GEF unites 183 countries in partnership with international institutions, civil society organizations (CSOs), and the private sector to address global environmental issues while supporting national sustainable development initiatives.
- Today the GEF is the largest public funder of projects to improve the global environment.
- An independently operating financial organization, the GEF provides grants for projects related to biodiversity, climate change, international waters, land degradation, the ozone layer, persistent organic pollutants and mercury.



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

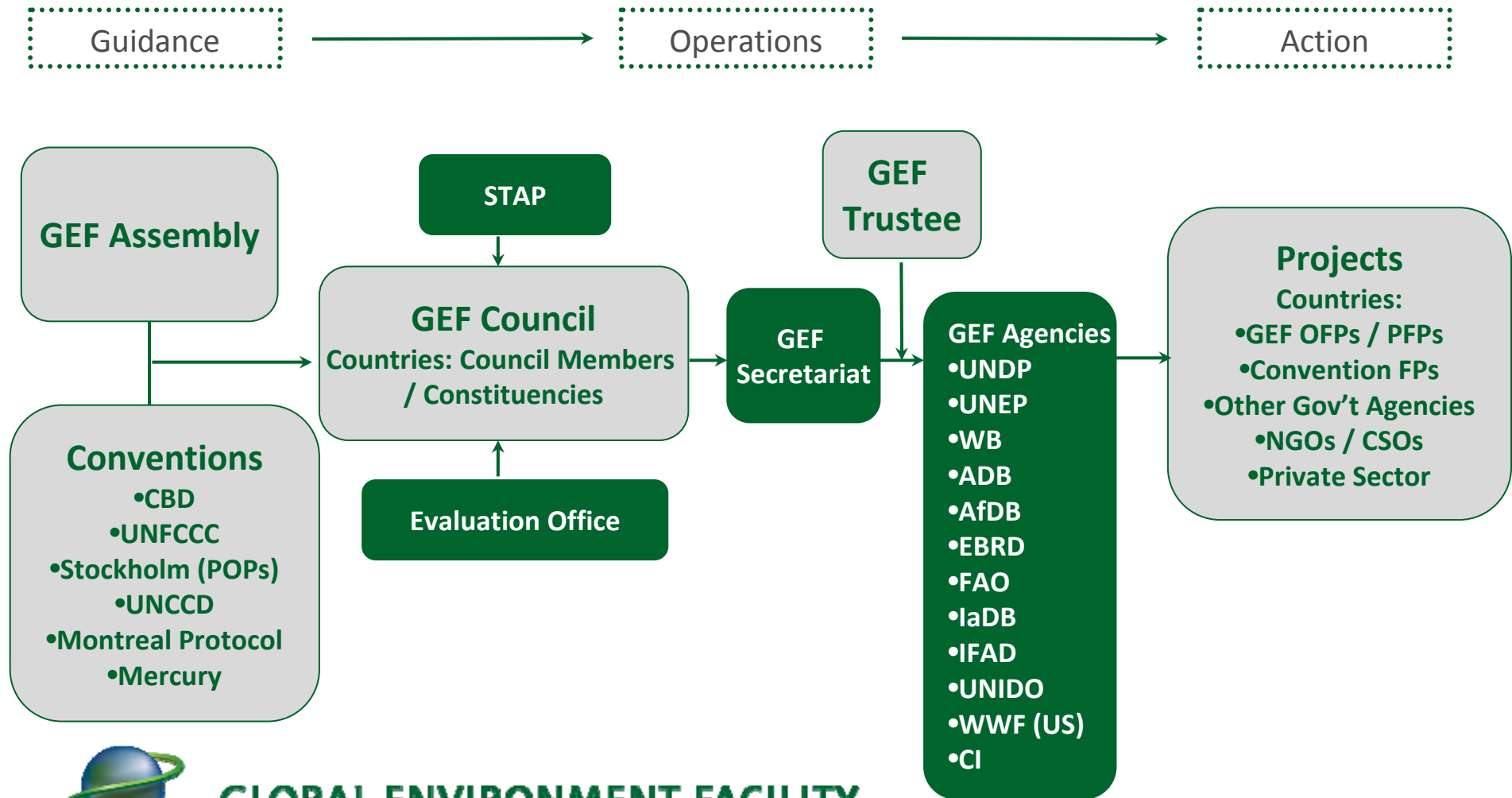
History of the GEF



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

GEF Trust Fund

Institutional Framework



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Responsibility of GEF Agencies (1 of 2)

Implementing Agency – Project Oversight

- Ensure quality of preparation
- Disburse funds to Executing Agency
- Supervise implementation
- Be accountable to GEF Council
- Keep GEF OFP informed
- Help secure committed co-financing



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Responsibility of GEF Agencies (2 of 2)

Executing Agency – Project Management

- Deliver project outcomes
- Day-to-day management of funds
- Report on results and use of funds
- Executing agencies can be any entity including NGOs



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

GEF Financing

- The objective of GEF financing is to provide resources to recipient countries to meet the agreed incremental cost of activities that generate global environmental benefits (GEBs) in line with the mandate of the GEF.
- The GEF funds a broad array of project types that vary depending on the scale of GEF resources, the project needs and the issue addressed. In order to be approved, each project follows a specific project cycle.



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Who Can Apply?

- Each GEF country member has designated an officer responsible for GEF activities, known as GEF Operational Focal Point (OFP), who plays a key role in assuring that GEF projects are aligned to meet the needs and priorities of the respective country.
- A GEF country member government, any eligible individual or group (including NGO's) may propose a project through the OFP.



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Eligibility of Project Proposal

In order to be taken into consideration for GEF financing, a project proposal has to fulfill the following criteria:

- It is undertaken in an eligible country and is consistent with country's national priorities and programs. **For Mercury since the convention is not in force, countries will need to sign the convention to be eligible to receive funds.**
- It addresses one or more of the GEF Focal Areas, improving the global environment or advance the prospect of reducing risks to it.
- It is consistent with the GEF operational strategy.
- It seeks GEF financing only for the agreed incremental costs on measures to achieve global environmental benefits
- It involves the public in project design and implementation.
- It is endorsed by the government(s) of the country/ies in which it will be implemented.



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Types of GEF Projects

Project processing steps and documentation are determined by the type of the project, which is a function of project size. All projects fall under one of the following project types.

Full-Sized Projects:

- Projects with a GEF project grant > \$2 million;
- Go through each step of the GEF Project Cycle;
- Subject to all the Project Review Criteria.

Medium-Sized Projects:

- Projects with a GEF project grant \leq \$2 million;
- Are processed in an expedited manner with CEO approval delegated by the Council;
- Subject to all the Project Review Criteria similar to the FSPs.



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Types of GEF Projects (cont.1)

Enabling Activities

- Provide financing for the preparation of a plan, strategy, or program to fulfill commitments under a global environmental convention or report to a relevant Convention;
- The GEF currently finances enabling activities related to the conventions on biodiversity, climate change, persistent organic pollutants and land degradation; **In the June 2013 GEF Council a 10M program for enabling activities for Mercury was approved to the end of GEF and will be continued in GEF 6.**
- If total cost of financing is within the ceiling approved by Council, they are approved following the expedited procedures;
- If total cost of financing is above the ceiling, they may be processed through the regular GEF project cycle as full-sized projects.
- There are threshold limits guiding the processing of each type of EAs

(see the GEF website: "[Processing of Enabling Activities](#)")



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Types of GEF Projects (cont.2)

Programmatic Approaches: Currently two types of PAs:

- Programs Accessible to all GEF Agencies
- Programs Accessible to a GEF Agency with a Board that approves projects.

LDCF/SCCF Trust Fund:

- SCCF projects follow the same approval process as GEF trust fund projects;
- LDCF projects are approved by the LDCF/SCCF Council on a rolling basis.

Nagoya Protocol Investment Fund (NPIF)

- This is a new trust fund approved by the GEF Council through a decision by mail on February 18, 2011.
- The processing of NPIF projects follows the same project cycle policy and procedures of the GEF Trust Fund.



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Accessing the GEF Trust Fund

Two ways to access the GEF Trust Fund:

- **Traditional Mode**: Project concepts may be developed by governments, non-governmental organizations, communities, the private sector, or other civil society entities, and must respond to both national priorities and GEF focal area /LDCF/SCCF strategies and objectives, and must satisfy eligibility requirements under the Conventions. Project proponents work closely with national GEF Operational Focal Points (who formally endorse project concepts) and the GEF Agency, to develop concepts and move through the project cycle and are approved either by the GEF Council or the GEF CEO, depending on the type of project.
- **Direct Access Mode**: Project proponents work directly with the GEF Secretariat without going through a GEF Agency, though following the same project eligibility and focal area strategic objectives.



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

GEF Direct Access

- New initiative approved by the GEF Council in its June 2010 meeting;
- Countries can access GEF resources directly from the GEF Secretariat without going through a GEF Agency;
- Two types of activities are eligible for direct access:
 - a) Preparation of a National Portfolio Formulation Document;
 - b) Preparation of Reports to Conventions where the country has as an obligation to the various environmental conventions.
- Reports to Conventions include the following focal areas:
 - a) Biodiversity: National Reports/update of NBSAP
 - b) Climate Change: National Communications
 - c) POPs: National Implementation Plan
 - d) Land Degradation: LD Enabling Activities



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

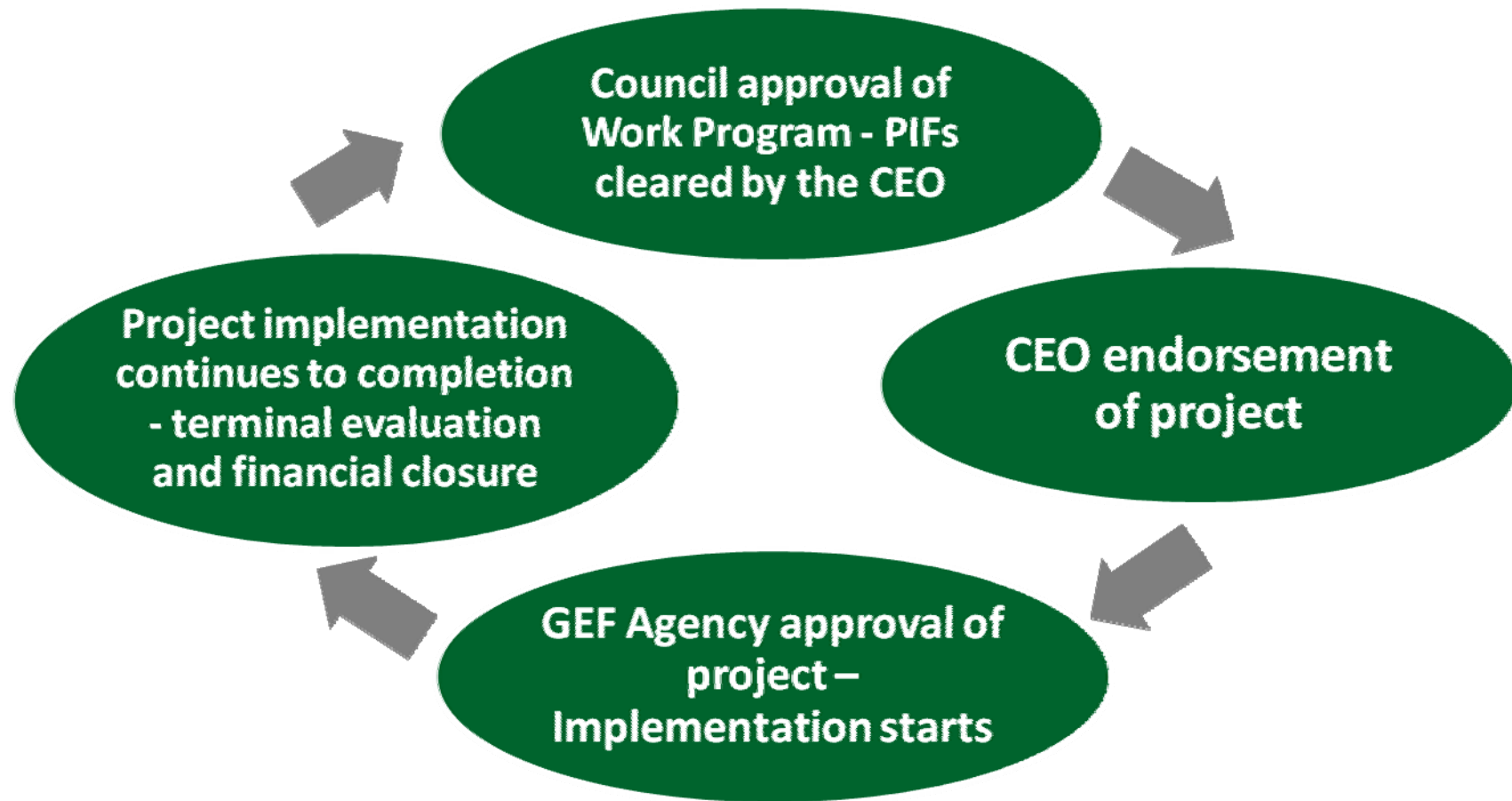
GEF-5 Project Cycle

- PIF submitted on a rolling basis
- 18 months preparation for FSP: Council approval/ CEO Endorsement
- 12 months preparation for MSP: only if PPG requested
→ PIF approval/project document approval



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

GEF Project Cycle (for FSPs)



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

GEF Project Cycle (for MSPs)

Single-step approval:

- CEO approval of final MSP document;
- Agency's own internal approval and implementation starts.

Two-step step approval:

- If PPG is requested, submit PIF & PPG for CEO approval;
- CEO approval of final project document;
- Agency's own internal approval and implementation starts.



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

GEF Project Cycle

Streaming Measures

1. PPG included in PIF template
2. MSP ceiling - \$2 million
3. All templates simplified
4. Umbrella projects for EAs approved by Council
 - No separate endorsement for individual projects.



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Traditional Mode: GEF Project Cycle

Programmatic Approaches

(currently under review and GEF will likely have a new modality for GEF-6)

- Programs Accessible to all GEF Agencies
 - ✓ Council approves a program document (PFD);
 - ✓ Agencies prepared projects under the program and submit these projects for work program inclusion;
 - ✓ After projects are well-prepared, they are submitted for CEO endorsement;
 - ✓ Agencies approve the project and start implementation
- Programs Accessible to a GEF Agency with a Board that approves projects.
 - ✓ Council approves PFD;
 - ✓ Agencies prepare projects under the program and submit the projects for CEO endorsement;
 - ✓ Agencies approve the projects and start implementation.



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Traditional Mode: GEF Project Cycle

For Enabling Activities (two paths):

Traditional mode through a GEF Agency:

- Agency submits EA proposal for CEO approval;
- Agency follows its own internal approval procedure and implementation starts.

Direct Access (follow direct access policy):

- Country submits EA proposal directly to the GEF Secretariat for CEO approval;
- All projects are processed following the WB operations policies and procedures;
- CEO and government representative sign Grant Agreement and implementation starts.



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Co-financing

The Problem:

My country uses mercury in ASGM. It gets into the soil, water, and negatively impacts the health of the workers and community.

Baseline Scenario:

Scenario 1: Government is working to phase out the use of mercury through training in alternative technologies etc – Will reduce/eliminate the mercury and clean up the site.

Scenario 2: No plan to address the sector but over time alternative technologies may find their way into the sector eventually eliminating the use of mercury.

Role for the GEF

Scenario 1 – None – the GEB will be achieved.

Scenario 2 – In order to achieve the elimination of mercury a project can be developed to eliminate the use of mercury.



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Calculation of Co-financing

Objective: Eliminate the use of mercury in ASGM and clean up of contaminated sites.

Project Activity	What can the GEF pay for	What should be co-financing
Determination of alternatives appropriate to the local conditions	This is GEF eligible and the GEF can pay for this activity – primarily the review of technologies and field testing of selected options	If additional technologies need to be tested above what could be supported from the GEF
Deployment of alternatives	The GEF can pay for the development of a deployment plan and GEF funds can be used as limited incentives to encourage uptake of the alternatives.	Cash co-Financing is to support this
Training	Training manual development, initial train the trainers and first round/rounds of trainers	Training school hosting arrangements (can be cash or in-kind), embedding into training curriculum etc.
Stakeholder engagement	Limited to steering committees, workshops	Logistics, government time, etc
Assessment of Contamination	Yes	Co-financing can be added to increase the amount of samples, multiple sites
Treatment of Contamination	Testing of treatment methods	Clean up of site is fully from co-financing



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

GEF Funding for Mercury

GEF 5 included a 15M program to accompany the negotiations of the Minamata Convention. Activities covered include:

- Reducing Mercury Use in Products
- Reducing Mercury Use in Industrial Processes
- Reducing Mercury Use and Exposures in Artisanal and Small-Scale Gold Mining
- Enhancing Capacity for Mercury Storage
- Reducing Atmospheric Emissions of Mercury
- Improved Data and Scientific Information at the National Level
- Enhancing Capacity to Address Waste and Contaminated Sites



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Projects funded for ASGM

- Minimizing Mercury Releases from Artisanal Gold Mining in Ecuador and Peru, implemented by UNIDO — GEF Resources \$909,000;
- Minimizing Mercury Releases from Artisanal Gold Mining in West Africa (Burkina Faso, Mali, Senegal), implemented by UNIDO — GEF Resources \$990,000;
- Reducing Mercury Emissions in Artisanal Gold Mining in the Philippines, implemented by UNIDO — GEF Resources \$550,000



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Next Steps

- Since the agreement of the text of the Minamata Convention, the GEF has been working with the Secretariat of the INC to develop a plan to facilitate rapid ratification of the convention.
- In GEF 5, June 2013, the GEF Council agreed to a 10M early action program on mercury to fund the period after signature in October to the end of GEF 5. This will be available to countries who have signed the Convention and intended for enabling activities.



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

GEF 6

- The donors and recipients of the GEF are currently negotiating the replenishment for the period 2014-2018 (GEF 6).
- Included in this negotiation is funding for an operational program on mercury.
- This program will help speed the entry into force of the Convention and will include a number of areas of work including ASGM.



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Additional Resources

- Website – www.thegef.org
- GEF Chemicals Film - http://www.youtube.com/watch?v=d_AXY2N4ZwY&feature=share&list=PL46456FB765851EC5
- Greenline - <http://www.thegef.org/gef/content/april-2013-mission-planet-de-tox>



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

Thank You

GEF Chemicals:

Robert Dixon – Team Leader – rdixon1@thegef.org

Ibrahima Sow – Cluster Coordinator – isow@thegef.org

Anil Sookdeo – Environmental Specialist – asookdeo@thegef.org

Evelyn Swain – Environmental Specialist – eswain@thegef.org

QUESTIONS?



GLOBAL ENVIRONMENT FACILITY
INVESTING IN OUR PLANET

www.theGEF.org