





Training on Ecosystem-based Adaptation (EbA)

GEF LDCFII Project: Ecosystem-based Adaptation for Rural Resilience in Tanzania



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18 December 2019

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Agenda

- A. Definition of Ecosystem-based Adaptation (EbA)
- B. The mainstreaming cycle of EbA in national planning
- C. Stepwise approach to design and implement EbA solutions



A. Definition of Ecosystem-based Adaptation

- Ecosystem services and their role in adaptation
- Climate risks for ecosystems and livelihoods
- Ecosystem-based Adaptation (EbA)



A. Definition of Ecosystem-based Adaptation (EbA)

Learning outcomes:

- Consolidate basic concepts of ecosystem and ecosystem services and their relevance to climate adaptation
- Knowledge on EbA concept, principles and benefits
- Understanding of processes for EbA planning and mainstreaming in national planning

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Ecosystem services

Ecosystem services are the benefits that people obtain from the ecosystems (MEA, 2005).

Categories of ecosystem services

Provisioning services

Food, fodder, building materials

Regulating services

Water quality, Hydrological regulation, climate regulation, storm protection

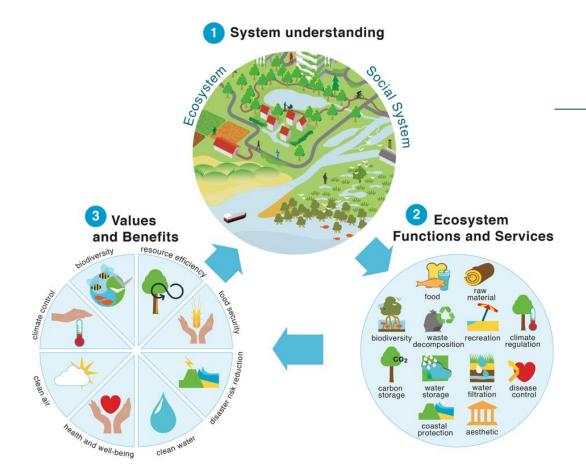
Cultural services

Recreational/aesthetic values, tourism, religious values

Supporting services

Soil formation, habitat provision





Example of ecosystem services and their values

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Adaptation services

Provisioning services

Products



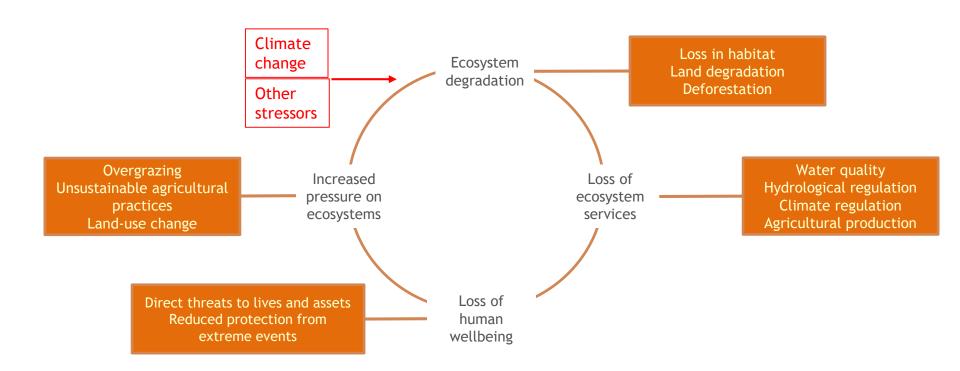
@INECC, 2016

Regulating services

- Flood protection
- Coastal protection
- Storm buffer/protection
- Landslide prevention
- Erosion protection
- Drought protection

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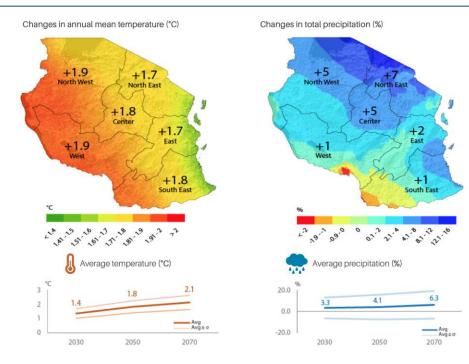
Climate threats for ecosystems and livelihoods



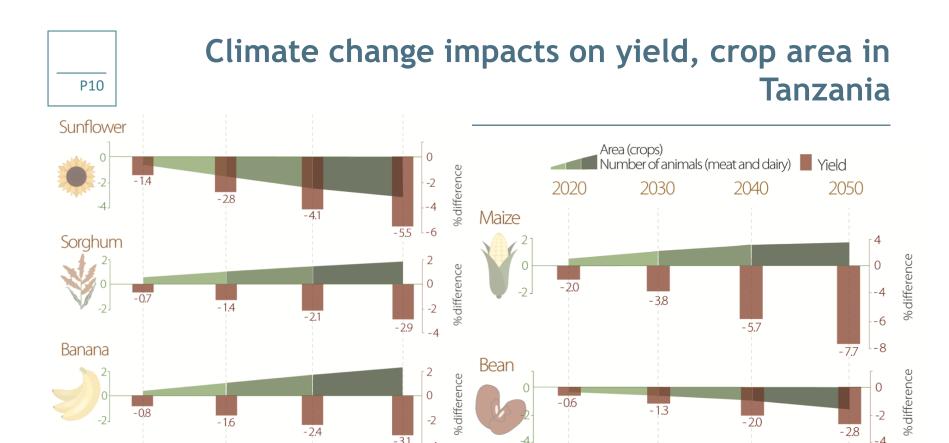
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Climate change in Tanzania

Climate projections indicate an increase in temperatures by 1.4°C by the 2030s and 2.1°C by 2070. The West and North- West will most likely experience faster warming (+1.9°C). Extreme events (droughts) are expected to be more frequent and severe.



Source: CIAT/ World Bank. 2017.



Source: CIAT/ World Bank. 2017.

Nature-based Solutions



Nature-based Solutions (NbS)

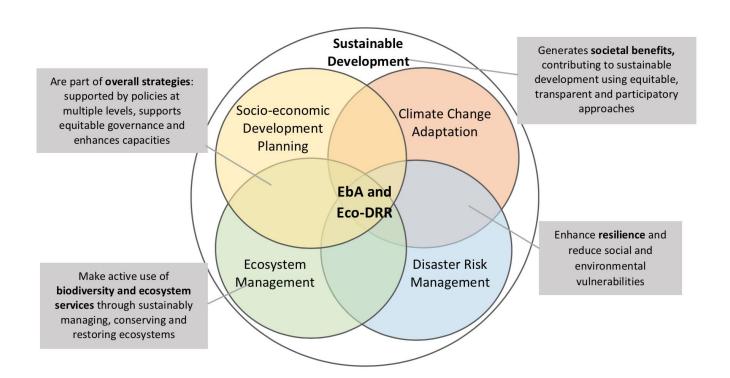
Nature-based Solutions are (...)
actions to protect, sustainably
manage, and restore natural or
modified ecosystems, that address
societal challenges effectively and
adaptively, simultaneously providing
human well-being and biodiversity
benefits (Cohen-Shacham et al. 2016)

EbA approach: Definition



Ecosystem-based adaptation is the use of biodiversity and ecosystem services as part of an overall adaptation strategy to help people to adapt to the adverse effects of climate change."
- CBD, 2009.

EbA approach



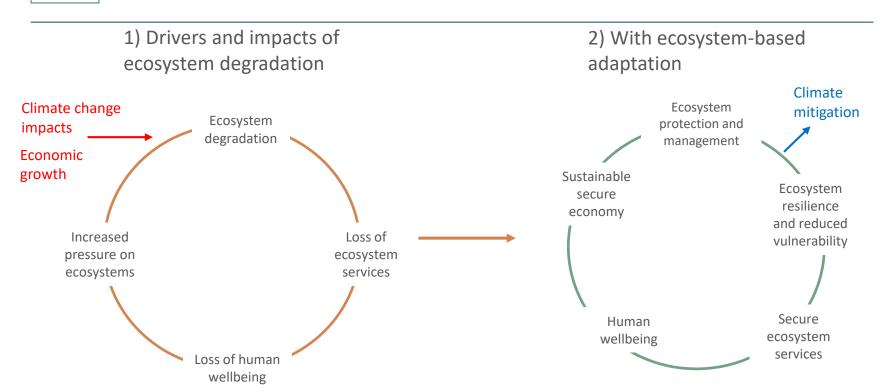


Examples of EbA solutions

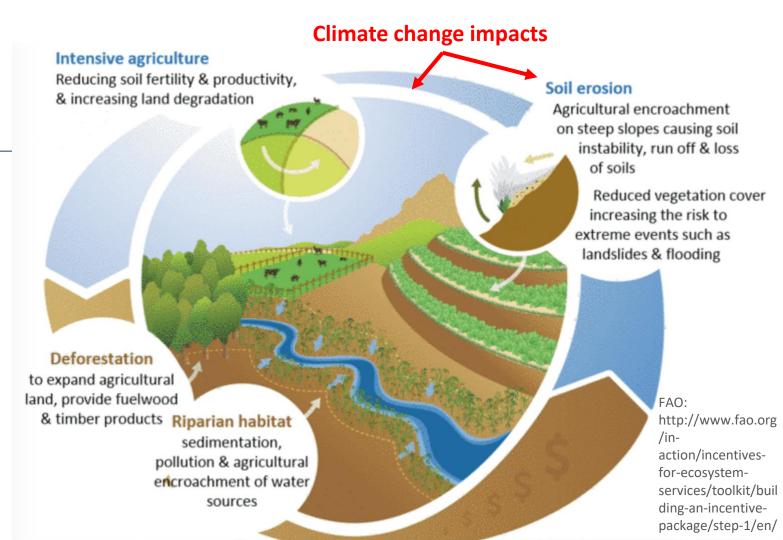
- Sustainable management of upland wetlands, forests, and floodplains <u>for</u> the regulation of water flow and control of water quality;
- Conservation and restoration of forests to stabilise land slopes and reduce risk from landslides;
- Establishment of diverse agroforestry systems to cope with increased risk from changes in climate conditions;
- Management of ecosystems to complement, <u>protect and extend the</u> <u>longevity of investments in hard infrastructure;</u>



Benefits of EbA



Threats to ecosystem services



Rotational grazing To enable sufficient grass growth, ensure soil fertility recovery & increase efficiency of production Soil conservation practice to control soil erosion, reduce sedimentation & maintain soil fertility Improved value chains Livelihood development to increase access to highervalue markets Reforestation & agroforestry development to improve habitat Riparian connectivity, protect vegetation buffer biodiversity & increase to protect river banks carbon storage from receding during high flows It also traps sediments & acts as a bioOfilter against chemical residues

EbA solutions

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To overcome these barriers, farmers require **INCENTIVES** to

Overcome SHORT-TERM needs

*Support a LONG-TERM transition

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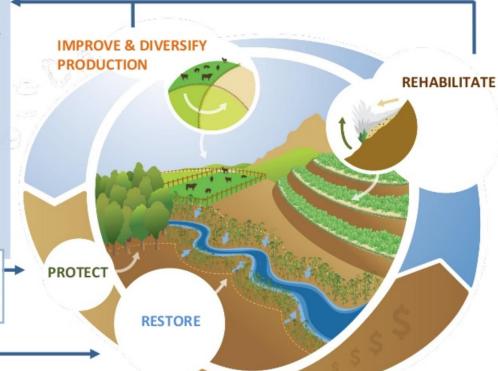
Improved and diversified productivity allows for greater investment in EbA to protect, rehabilitate and restore sensitive habitats

- Without compromising food security
- •Supports building resilience of agriculturebased livelihoods and agro-ecosystems
- •With ecosystem services benefits for farmers and other users

Food Landscapes Climate Biodiversity Water

Incentives for Ecosystem Services from Agriculture (IES)





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Difference between adaptation approaches

Adaptation focused on ecosystems

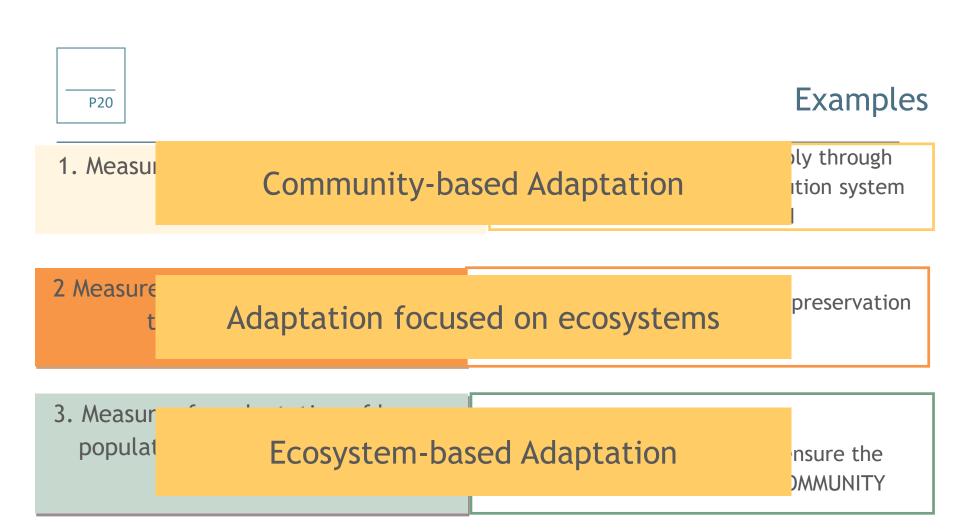
- (i) The direct beneficiaries are ecosystems and species, who are being helped to adapt, but NOT directly people
- (i) Outcome indicators focus on measurements on the state of ecosystems and species and NOT on the adaptation impact for people.

Community-based Adaptation

- (i) The direct beneficiaries are people who are being helped to adapt, but NOT through ecosystem management and conservation.
- (i) Outcome indicators focus on measurements on the adaptation impact on people

Ecosystem-based Adaptation

- (i) The direct beneficiaries are the people who are being helped to adapt through ecosystem management and conservation.
- (ii) Outcome indicators focus on measurements on the state of ecosystem services and their adaptation impact on people.



Criteria for defining EbA solutions

Element A - EbA helps people adapt to climate change

Criterion 1.

Reduces social and environmental vulnerabilities

Criterion 2.
Generates societal benefits in the context of climate change adaptation

Element B - EbA makes active use of biodiversity and ecosystem services

Criterion 3.
Restores, maintains or improves ecosystem health

Element C - EbA is part of an overall adaptation strategy

Criterion 4.
Is supported by policies at multiple levels

Criterion 5.
Supports equitable governance and enhances capacities

Source: Friends of EbA, 2016



Criteria: EbA measures should...

- ✓ Reduce social and environmental vulnerabilities to climate change
- ✓ Generate societal benefits in the context of climate change adaptation.
- Restore, maintain or improve ecosystem health adopting ecosystem approach principles
- ✓ Are determined by site-specific natural and cultural contexts that include traditional, local and scientific knowledge
- Maintain biological and cultural diversity and the ability of ecosystems to function
- Are an integral part of the overall design of policies, and measures or actions, to address a specific challenge.



What EbA solutions can be implemented in mountain ecosystems?

CLIMATE PROBLEM

Flooding and sediment deposition resulting from extreme rainfall, rainfall variability and increasingly frequent and severe storms

EBA SOLUTION

Riparian
reforestation/rehabilitation along
riverbanks to slow run-off and
capture sediment before it
reaches the water course, thus
limiting down-stream flood
damage to property and
livelihoods



What EbA solutions can be implemented in dryland ecosystems?

CLIMATE PROBLEM

Drought, desertification and soil erosion resulting from increasing temperatures, reduced and more variable rainfall, and increasingly frequent and severe wind/ sand storms

EBA SOLUTION

Climate-resilient grazing and livestock management to regenerate vegetation, increase forage quality and quantity, increase water availability, improve soil quality, and safeguard livestock, thus improving food and income security



What EbA solutions can be implemented in coastal ecosystems?

CLIMATE PROBLEM

Flooding and increased invasive species resulting from extreme rainfall, rising temperatures and increasingly frequent and severe storms

EBA SOLUTION

Wetland rehabilitation to reduce flood damage, enable groundwater recharge and improve water quality, and reduce pests affecting agriculture, thus improving food and income security P26

What are the benefits, costs and impacts of EbA solutions?



@Hannah McNeish/UN Environment

BENEFITS

Primary adaptation benefits

i.e. the benefit of reducing climate change related risk, e.g. sustained agricutlural productivity

Additional adaptation benefits

e.g. mitigation of storms and flood damages, year-round water supplies, sustained farmland productivity in the face of drought, maintenance of species habitat, etc.

Co-benefits

e.g. improved health, better food supplies, new and diversified income opportunities, disaster risk reduction, watershed protection, enhanced biodiversity, etc.

COSTS

Direct implementation expenses

e.g. staff, equipment, transport, infrastructure, maintenance, etc.

Core institutional & enabling costs

e.g. training, development of plans, laws, policies, incentives, etc.

Opportunity costs

e.g. foregone income and output due to land use restrictions, etc.

Social & environmental losses

e.g. negative impacts on women, downstream communities, etc.

IMPACTS

Temporal impacts

When do costs and benefits fall over time?
e.g. rate at which habitat recovery restores
ecosystem services, when intervention
costs are incurred, interests of future
generations, etc.

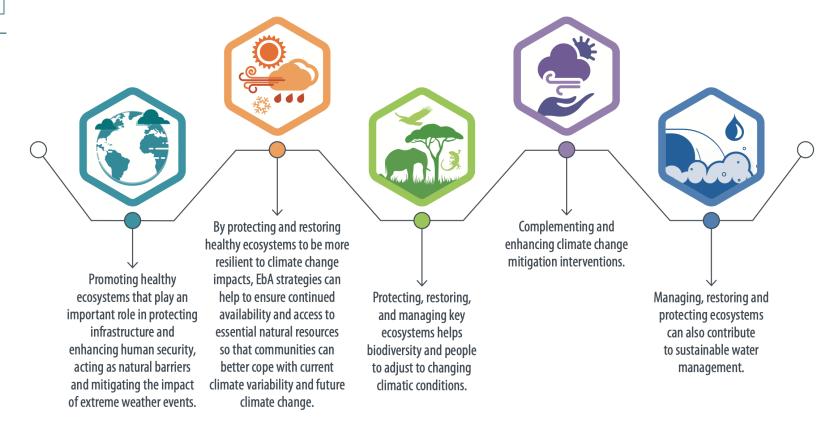
Spatial impacts

Where do costs and benefits fall spatially?
e.g. gains and losses for upstream and
downstream communities, costs and
benefits to ecosystem providers and users,
effects across borders, etc.

Distributional impacts

Where do costs and benefits fall demographically? e.g. changes in resource access or income opportunities between women and men, rich and poor, urban and rural, regions, sectors, communities, etc.

What are the potential benefits for implementing EbA?



An NGO protects and restores a wetland to provide spawning areas for an endangered fish species. Local fishing communities are benefiting from an increase in fish production.

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It is discovered that an area of the Protected Area provides a critical habitat for a species of duck in danger of extinction. As it is a very fragile ecosystem, climate change will have irreversible impacts on the ecosystem in this area. The Protected Area team decided to restore part of the area to strengthen the recovery capacity of the critical ecosystem.

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A community lives near a wetland and uses the water from it for agriculture. Due to variations of rainfall and temperatures, the community notices that the lake has shrunk and it is not so easy to use water from it. Authorities and the community decide to restore the wetland and its buffer zone to improve its capacity to retain water.

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Cost-benefit analysis of EbA solutions: Fiji

The analysis looked over a 20-year time horizon and considered benefits, including reduced health costs, avoided damages to businesses and households, and wider ecosystem services being maintained or enhanced.

Scenario	Benefit-to-cost ratio (FJD)	Assumed damage avoidance
Ecosystem-based options	\$19.50	10-25%
Emphasis on ecosystem-based options	\$15.00	25%
Emphasis on engineering options	\$8.00	25%
Engineering options	\$9.00	25-50%

Source: http://www.sprep.org/attachments/Publications/Lami_Town_EbA_Synthesis.pdf

EbA for water security in Uganda (1)



© Andrea Egan, UNDP

The Ecosystem-Based Adaptation in Mountain Ecosystems Project (MEbA Project)

Where? - Montane grasslands and shrublands of the Mount Elgon region, in the districts of Kapchorwa, Kween, Sironko and Bulambuli

Who benefited? Approximately 582,400 people live in these four districts, the population is entirely rural and dependent on subsistence agriculture.



EbA for water security in Uganda (2)

What were the EbA measures? - Enhance the local ecosystem's capacity to produce services and to withstand climate change, by addressing soil and water supply issues and overexploitation of the land:

- Soil conservation measures that enhanced soil productivity, fertility and moisture retention, and reduced erosion
- Agroforestry measures that reduced landslides
- The planting of indigenous drought-tolerant grass, enhancing the grasslands' capacity to store carbon.



EbA for water security in Uganda (3)

Economic benefits:

Cost-benefit analysis showed that not only were the EbA practices economically viable, but that this viability could be sustained over a 15-year projection period. Projected benefits were highest in Bugitimwa sub-county, Sironko District, where gains equated to an estimated US\$14,743 per farm household over 15 years.



EbA for water security in Uganda (4)

Social and environmental benefits:

- Soil and water conservation increased income, due to increased productivity and reduced expenditure on agricultural inputs
- Drought-resistant seed varieties increased productivity and income
- Consistent water provision improved livelihoods and income from the sale of a wider variety of crops
- Land value increased
- The ability to leverage additional fund, for example from district government budgets.

Case study: Durban, South Africa (1)

- Droughts in South Africa are becoming increasingly more common.
- South Africa's EbA activities have focused primarily on watershed management and terrestrial conservation (e.g. dryland and rangeland conservation and restoration).
- South Africa has developed the Strategic Framework and Overarching Implementation Plan for Ecosystem-based Adaptation in South Africa (2016-2021), supported by EbA Guidelines, which aims to promote EbA as a central component of the country's programme of work on biodiversity and climate change.

Case study: Durban, South Africa (2)

CLIMATE PROBLEM

Massive waves are washing away sections of the promenade and damaging nearby restaurants. This, combined with continuous impacts from wind-blown sand and likelihood of rising sea levels.

EBA SOLUTION





Why choosing EbA approach?

- It is a cost-effective option compared to engineering alternatives
- It provides social, economic and environmental co-benefits
- It is a cross-sectoral approach ecosystems are important for the agriculture, water, energy, tourism sectors

Key messages

Drawing on the linkages between ecosystem services, climate change and biodiversity, EbA is an approach to sustainable development that contributes to three outcomes simultaneously: socio-economic benefits, climate change adaptation (risk and vulnerability reduction) and biodiversity conservation.

Sectors such as water resources and agriculture are particularly vulnerable to climate change because they depend on ecosystems and the services, they provide.

EbA can be a cost-effective adaptation measure compared to engineered solutions.

Ecosystems provide services important for the adaptation of different sectors and livelihoods.



B.The mainstreaming cycle of EbA in development planning

- Why mainstreaming EbA?
- What are the entry points for EbA mainstreaming?
- What is the process for mainstreaming EbA into national planning?



B.The mainstreaming cycle of EbA in development planning

Learning outcomes:

- Understand why mainstreaming of EbA is important and what is the process for mainstreaming in national planning.
- Learn to identify entry points for mainstreaming EbA
- Acquire an overview of approaches, policy instruments and entry points for mainstreaming in the Tanzania in context.



EbA and the Sustainable Development Goals (SDG)

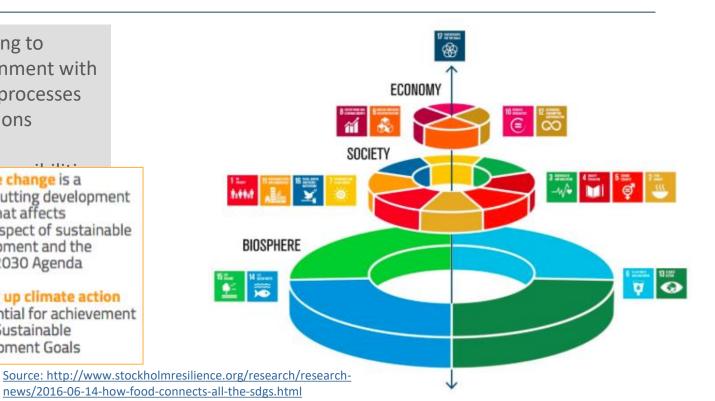
countries are beginning to create synergies: alignment with SDG, NDC and other processes and policies/conventions

>> offers even for alignment v international a financing mech Green Climate

Climate change is a cross-cutting development issue that affects every aspect of sustainable development and the entire 2030 Agenda

Scaling up climate action

is essential for achievement of the Sustainable Development Goals





Why mainstreaming EbA?

Mainstreaming EbA refers to the integration of ecosystem-based approaches into climate- and disaster-risk planning and decision-making processes at all levels.

EbA is acknowledged to be essential to achieving the sustainable development goals - SDG 2, 11, 13, 14 and 15.

EbA has the potential to effectively achieve adaptation objectives under NDC and NAPs at local, district and national level.

EbA is cross-sectoral and can decrease the vulnerability of climate sensitive sectors

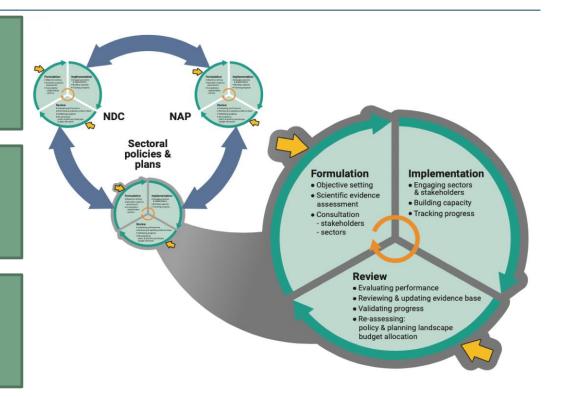


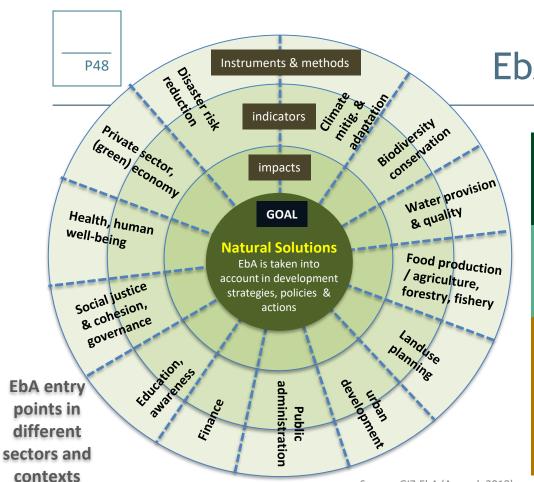
What is the process for EbA mainstreaming in national planning?

A. Develop the evidence and build the capacity of decision-makers

B. Screen policies, plans, laws and budgets to identify where harmonisation is needed

C. Understand the regular policy, planning and budgeting cycles to identify entry points





EbA Mainstreaming Goal

Overall goal: Livelihoods are enhanced & k secured in the face of climate related risks

Goal: Development strategies take ecosystem-based approaches into account

Action: Mainstreaming => ecosystem services are taken into account in development strategies, policies & actions (at different levels of planning and implementation)

Source: GIZ-EbA (Amend, 2018)



Where else to mainstream EbA?

The development or revision of policies and plans, e.g. development or sectoral plans, NDC, NAP, national biodiversity strategies and action plans, strategic environmental assessments, land-use plans.

Command and control instruments, e.g. climate change and environmental laws, standards, and environmental impact assessments, and disaster risk management;

Economic and fiscal instruments, e.g. investment programmes, funds, subsidies, taxes

STRATEGIC ENTRY POINTS FOR EBA MAINSTREAMING

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NATIONAL LEVEL

Policy planning and formulation

National long-term adaptation visions (e.g. NAP)

International agreements (e.g. UNFCCC - incl. Paris Agreement/NDC)

National budget

Resource allocation

EbA actions and mainstreaming components

EbA

Analisis of climate risks and vulnerabilities of ecosystems and populations

Build in NbS solutions to achieve adaptation objectives of sectoral plans

Identification of EbA options

Prioritisation of EbA options

Implementation of EbA and budget allocation Adopt EbA principles in land-use planning for cross-sectoral targets

SECTORAL LEVEL

Policy planning and formulation

Sectoral strategies & policies

Sectoral plans

M&E

Consider EbA micro-projects in village action plans to target food security and water availability.

Embed EbA as a cross-

Add EbA criteria in the

used to screen projects

national budget allocation

planning to adjust the criteria

relevant targets

cutting approach in national

climate policies and build in

Integrate EbA in land use planning instruments for municipal development processes

LOCAL LEVEL

Local development plans

Source: GIZ, 2019

Examples of mainstreaming approach to EbA (1)

Creating a "window of opportunity" to mainstream EbA

PROBLEM STREAM

problems arise through change, e.g. crises problems are identified > awareness is created

PROPOSAL STREAM

'primeval soup' in which ideas float around, combine, split, or rise and sink in popularity

solutions to the problems get formed

POLITICAL STREAM

visible political government actors, pose agendas rather than alternatives, consensus by bargaining

political will / power to act emerge ENCE OF POLICY ENTREPRENEUL

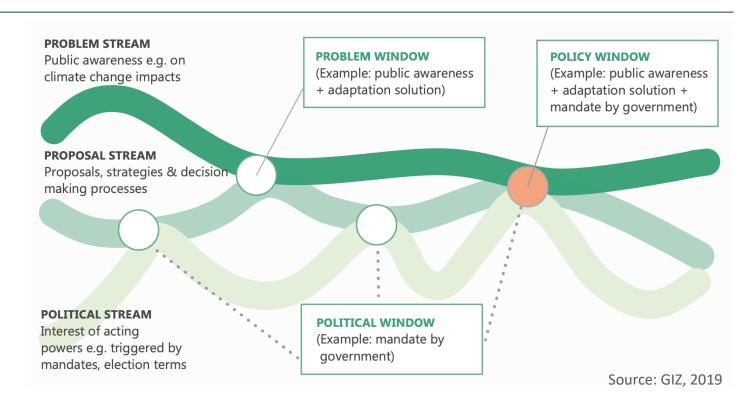
Open policy window for change of

- awareness,
- attitudes,
- beliefs and
- actions to address CCrelated challenges

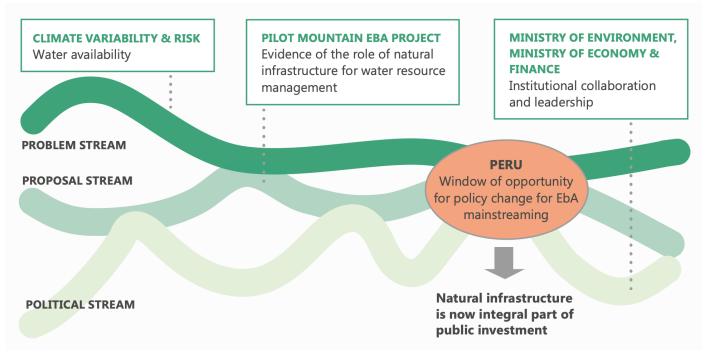
Adaptation via nature-based approaches

Source: GIZ, 2019

Examples of mainstreaming approach to EbA (2)



Case study: Peru



Source: GIZ, 2019



Key messages

EbA requires cross-sectoral coordination and stakeholder participation.

The process of mainstreaming EbA is not a recipe. Its aim is to provide a systematic approach to mainstreaming EbA in development planning.

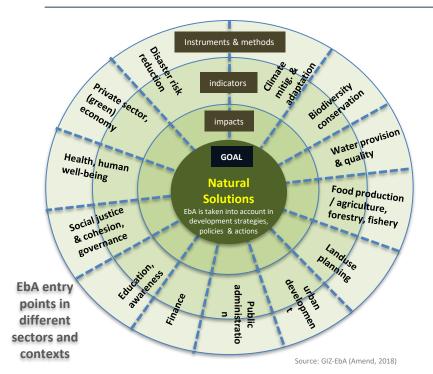
EbA mainstreaming: Case studies



Entry points for EbA mainstreaming GIZ, 2018

Country reports (South Africa, Philippines, Peru, Mexico)

Group work: Which sectors present opportunities for integrating EbA?



- What national and subnational planning processes present opportunities for integrating EbA in Tanzania?
- What are the entry points for integrating EbA?
- Are there existing networks or working groups that bring together relevant actors where opportunities and barriers to EbA integration could be discussed?



C. Stepwise approach to design and implement EbA

- General understanding of the planning process for EbA solutions
- Key aspects and principles for the design and implementation of effective EbA options



Planning and implementing EbA solutions

- 1. What is out baseline? Ecosystems and livelihoods
- 2. What is the problem we want to solve? Climate change risks and impacts
- 3. What are the solutions? -EbA solutions
- 4. How to design effective solutions? EbA principles
- 5. How to monitor progress and identify lessons learned? Monitoring and evaluation framework -> EbA specific indicators



Understand the context

- Livelihoods
- **Ecosystems**
- **Ecosystem services**
- needed for livelihoods

Roles and trends of ecosystem services and linkages to livelihoods



Analyse climate vulnerability and risks to ecosystems and livelihoods

- Observed and projected climate change
- Climate impacts on ecosystems and livelihoods
- Non-climatic stressors
- Vulnerable groups

Impacts of climatic and non-climatic stressors on livelihoods and ecosystems







Identify and prioritise EbA options · Adaptation outcomes **EbA** options

Effectiveness and feasibility of options Key actions for priority EbA options

Selected and prioritised **EbA** options

Stepwise approach to design and implement EbA solutions



Design and implementation EbA options

- Required Inputs
- Kev actors
- Roles and responsibilities
- Opportunities and barriers
- Project activities

Design of project activities to support the implementation of EbA options





Identify key elements to monitor and evaluate EbA options

- Indicators
- Baselines
- Data collection methods
- Timelines

Framework for M&E with appropriate indicators

Key messages

EbA is a process, not a product, and should be integrated into policies, strategies and decision-making processes.

EbA is a cost-effective measure providing multiple social, economic and environmental co-benefits

Ecosystems support different sectors (agriculture, water, tourism) and therefore EbA is a cross sectoral approach.



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