

UNEP - INC Secretariat on Plastic Pollution Donors Update

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1 March 2023, Nairobi Virtual meeting

Agenda

- 1. Welcome and introductions**
- 2. Budget update of the INC process**
 - 2.1. INC-1 and lessons learnt to support future hosts**
 - 2.2. Projections of INC meetings 2023-2024**
 - 2.3. Regional consultations and capacity building**
 - 2.4. Secretariat staffing**
- 3. Contributions from donors and funding gaps identified for 2023 and 2024**
- 4. Any other business**

1. Introduction – INC meetings timetable

- i. **Open-Ended Working Group (OEWG)** in Dakar, from 30 May to 1 June 2022
- ii. **INC-1** was held in Uruguay from 28 November to 2 December 2022.
- iii. **INC-2** will take place in France from 29 May to 2 June 2023.
- iv. **INC-3** proposed to be held in Kenya from 13 to 17 November 2023.
- v. **INC-4** proposed to be held in Canada in spring 2024.
- vi. **INC-5** proposed to be held in the Republic of Korea in autumn 2024.
- vii. **Diplomatic conference** in 2025 (current offers Ecuador, Peru, Rwanda, Senegal)

* Other official offers received by the secretariat to host one of the INCs are from Chile and Rwanda

1. Introduction - Host Country requirements

A Host Government is responsible for covering:

1. Venue and all related costs
2. Conference services support, including interpretation costs, report writers, in-session translation of documents, and others
3. INC Secretariat staff travel costs
4. UN Department of Security and Safety costs

2. Budget update - Overall Budget Status and funding Gap

Expenditure Category	2022	2023	2024	2025	PSC	Total	Secured	Funding Gap
Secretariat Annual Requirements								
Staff and other personnel costs	308,531	2,319,998	2,435,998	2,551,998	990,148	8,606,673	2,744,496	(5,862,177)
Consultants and contractual services	241,536	171,000	171,000	171,000	98,090	852,626	511,965	(340,661)
Operational cost	2,850	15,512	15,512	7,756	5,412	47,041	47,041	0
Travel	8,784	50,000	50,000	25,000	17,392	151,176	30,000	(121,176)
Other costs (Fixed)	-	89,568	89,568	89,568	34,932	303,636	303,636	(0)
Sub-total	561,701	2,646,078	2,762,078	2,845,322	1,145,973	9,961,152	3,637,138	(6,324,014)
INC Meetings								
OEWG Dakar	1,085,160				147,215	1,232,375	1,168,071	-
INC Meeting -INC1	1,627,334				211,553	1,838,887	1,838,887	0
INC Meeting -INC2		2,216,416			288,134	2,504,550	2,504,550	0
INC Meeting -INC3		2,377,497			309,075	2,686,572	1,403,770	(1,282,802)
INC Meeting -INC4			2,748,777		357,341	3,106,119		(3,106,119)
INC Meeting -INC5			2,511,729		326,525	2,838,254		(2,838,254)
Diplomatic Conference				2,657,329	345,453	3,002,782	-	(3,002,782)
Multistakeholder Forum	-					-	-	-
Regional Consultations	-	891,576	891,576	-	231,810	2,014,962		(2,014,962)
Sub-total	2,712,494	5,485,489	6,152,083	2,657,329	2,217,106	19,224,501	6,915,278	(12,244,919)
Total	3,274,195	8,131,567	8,914,161	5,502,651	3,363,079	29,185,653	10,552,416	(18,568,933)

Overall funding gap is USD. 18.6 million, (staff requirements USD. 6.3 million and INC meetings USD. 12.2 million)

2.1. Budget update - INC-1 and lessons learnt to support future hosts

Expenditure Category	2022	2023	2024	2025	PSC	Total	Secured	Funding Gap
INC Meetings								-
INC Meeting -INC1	1,627,334				211,553	1,838,887	1,838,887	(0)
Total	1,627,334	-	-	-	211,553	1,838,887	1,838,887	(0)

- The above INC-1 costs reflect only costs incurred by the secretariat.
- At INC-1 the secretariat was only able to fund 1 delegate (109 funded).
- UNEP covered the costs for the multi-stakeholder. Travel costs for 9 participants from Major Groups and Stakeholders came from INC budget.
- Beyond INC secretariat staff (6), the secretariat funded additional 19 personnel to support in different capacities: support for registration, DSA distribution and participants' management, document management, legal support, support the MSF and the stakeholders' dialogue on 29 November.

2.2. Budget update - Projections of INC meetings 2023- 2024

Expenditure Category	2022	2023	2024	2025	PSC	Total	Secured	Funding Gap
INC Meetings								-
INC Meeting -INC1					-	-		-
INC Meeting -INC2		2,216,416			288,134	2,504,550	2,504,550	0
INC Meeting -INC3		2,377,497			309,075	2,686,572	1,403,770	(1,282,802)
INC Meeting -INC4			2,748,777		357,341	3,106,119		(3,106,119)
INC Meeting -INC5			2,511,729		326,525	2,838,254		(2,838,254)
Total	-	4,593,913	5,260,507	-	1,281,075	11,135,495	3,908,320	(7,227,175)

- Projections for 2023 -2024 are a total of **USD. 11.1 million**: secured funds of USD. 3.9 million, with a **funding gap of USD. 7.2 million**
- The gap is expected to be further offset by host countries, as part of the hosting arrangements, and by the pledges from Japan and the United Kingdom once the contributions are received by UNEP.
- Increase in projection from INC-1 estimate is due to the additional number of sponsored delegates (1+1) per eligible country, for the next 4 meetings.

2.3. Budget Update - Regional Preparation Meetings and Diplomatic Conference

Expenditure Category	2023	2024	2025	PSC	Total	Secured	Funding Gap
Regional Consultations and Diplomatic Conference							-
Diplomatic Conference		-	2,657,329	345,453	3,002,782	-	(3,002,782)
Regional Consultations	891,576	891,576	-	231,810	2,014,962		(2,014,962)
Total	891,576	891,576	2,657,329	577,263	5,017,744	-	(5,017,744)

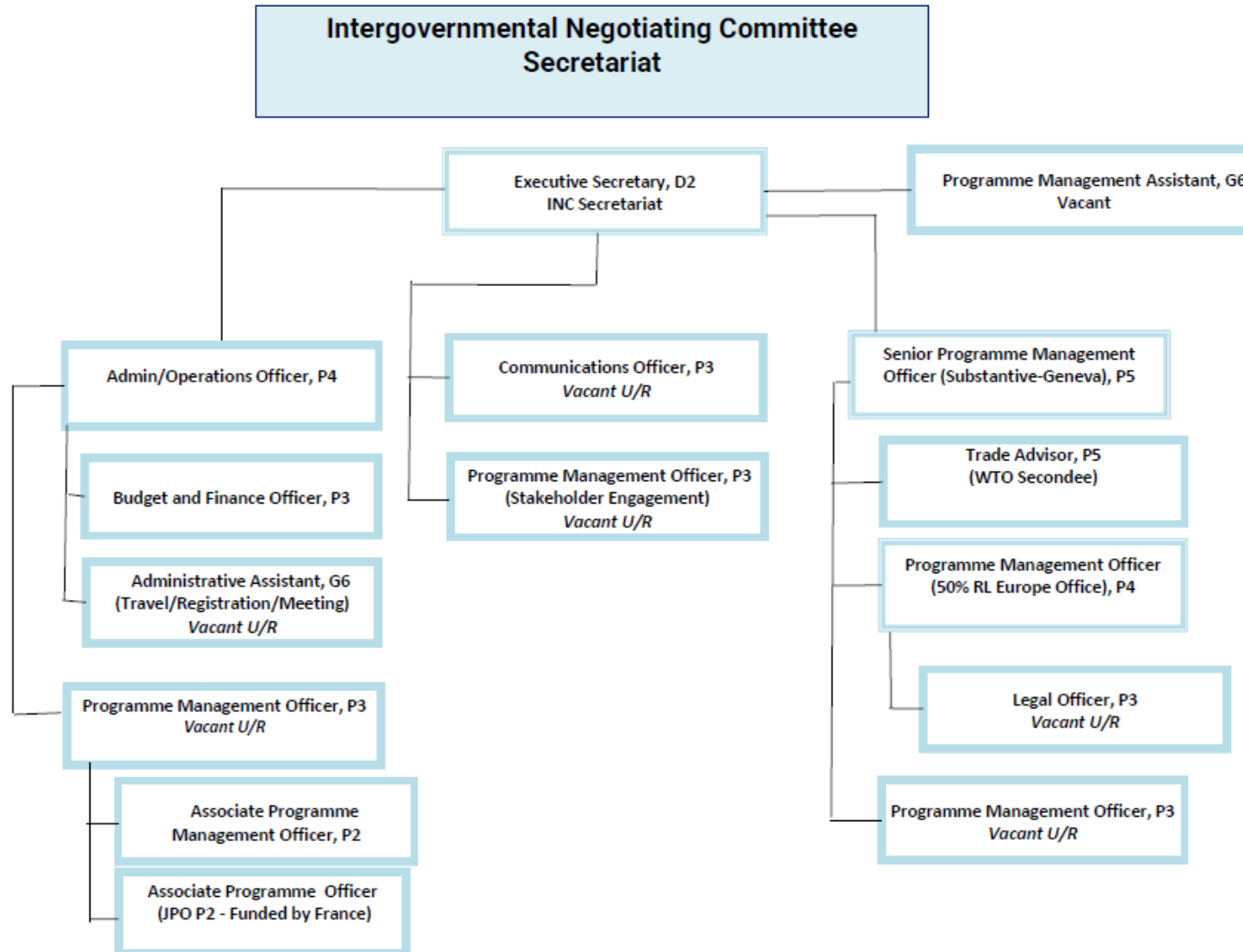
- The projection for regional consultations is **USD. 2 million** for the meetings in 4 UN regions, to take place before the INC meetings (starting with INC-3).
- The regional meetings are to be held subject to availability of funds, and to be organized prior to INC meetings, calculated in a base for 4 UN regions (except WEOG).
- The overall funding gap is **USD. 5 million** (this will further be offset by the pledge of Japan and the United Kingdom once it is realized).

2. 4. Budget update - Secretariat staffing

Expenditure Category	2022	2023	2024	2025	PSC	Total	Secured	Funding Gap
Secretariat Annual Requirements								
Staff and other personnel costs	308,531	2,319,998	2,435,998	2,551,998	990,148	8,606,673	2,744,496	(5,862,177)
Total	308,531	2,319,998	2,435,998	2,551,998	990,148	8,606,673	2,744,496	(5,862,177)

- Staff costs projections for the INC process: **USD. 8.6 million** for the next four years
- Secured resources: **USD. 2.7 million**, giving a funding gap of **USD. 5.9 million**.
- The available funds, majorly covers year 2022 actual staff costs and 2023 projection.
- Thus, the **funding gap: USD. 5.9 million** is for the 2024 and 2025 (this is will further be offset by the pledge of Japan once it is realized).
- Other costs (contractual services, travel, fixed operations) are estimated at **USD 1.4 million**, secured **USD. 0.9 million** and funding gap **USD. 0.5 million**.

2. 4. Budget update - Staffing and Personnel Structure of the Secretariat



3. Current Pledges and Contributions Received by UNEP

Funding Source	Funding Period	Conditionality	Pledges	Contribution Received
Denmark	2022-2025	Earmarked	993,078	993,078
European Commission	2022-2023	Earmarked	1,000,000	1,000,000
Finland	2022	Earmarked	48,193	48,193
France	2022	Unearmarked	102,393	102,394
Germany	2022	Earmarked	99,308	99,308
	2023	Earmarked	1,792,962	1,542,962
Ireland	2022-2025	Earmarked	509,311	509,311
Japan	2023	Earmarked	817,697	-
Monaco	2022-2025	Unearmarked	31,634	31,634
Netherlands	2022-2023	Unearmarked	421,768	421,768
Norway	2022	Earmarked	174,168	174,168
	2023	Earmarked	941,891	941,891
Portugal	2022-2025	Unearmarked	2,000,000	2,000,000
	2022-2023	Unearmarked	99,005	99,005
Spain	2022-2025	Unearmarked	21,322	21,322
	2022	Unearmarked	72,389	72,389
Sweden	2023	Unearmarked	75,350	-
	2022	Earmarked	339,583	339,583
Switzerland	2022-2023	Earmarked	670,264	670,264
UK	2023- 2025	Earmarked	2,419,286	-
USA	2022	Earmarked	300,000	300,000
	2022-2025	Unearmarked	1,185,149	1,185,149
Grand Total			14,114,750	10,552,418

Total pledges is USD. 14.1 million and contribution received is USD. 10.6 million. They include resources for OEWG (USD. 1.2 million). The UK and Japan funds are expected to reduce the funding gap once they are realized.

UNEP accountability on use of resources

1. The UN Financial Regulations and Rules ST/SGB/2013/4/Amend.1 and other administrative instructions, policies and procedures.
2. Segregation of roles. Through the delegation of Authority, the approval and certification roles are clearly defined.
3. Project review Committee approves projects and UNEP Evaluation office (promotes learning and accountability and is a key source of credible, timely and evidence-based information and a critical tool for decision-making).
4. Internal oversight body of the UN - Office of Internal Oversight Services (OIOS), fulfills oversight responsibilities in respect of resources and staff (internal Audit, Inspection and Evaluation and investigations).
5. UN Board of Auditors, established by the General Assembly and serves as external auditors for the United Nations. The mandate of the Board is to make independent and professional audit observations with respect to the efficiency of the financial procedures, the accounting system, the internal financial controls and, in general, the administration and management of the United Nations.



Thank you



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