

National Reporting Tools: Basel and Stockholm Conventions reporting tools



Secretariat of the Basel, Rotterdam and Stockholm Conventions

The requirement to report



Information to be transmitted is specified in Convention (BC Art 13(3); SC Art 15).



Responsibility of Focal point (Basel Convention) and Official Contact Point (Stockholm Convention)



Reports are to be submitted using the Electronic Reporting System

Common sources of information used in compiling national reports include:

- National implementation plans;
- National inventories or inventories compiled as part of the development, update or implementation of national implementation plans;
- Annual reports from relevant ministries or agencies, including information from pollutant release and transfer registries;
- National statistics such as on the production, import, export, use of POPs;
- Surveys of industry, especially for data on production, use, storage and alternatives;
- Research reports or articles in the academic literature;
- Project reports.

To help the completion of SC Article 15 reporting questionnaire, Parties can also use the POPs inventory guidance documents (POP-PBDEs, PFOS, its salts and PFOSF, HBCD, HCB, PCP, PCNs, decaBDEs, SCCPs)

Source: GENERAL GUIDANCE ON POPs INVENTORY DEVELOPMENT

<http://chm.pops.int/Implementation/NationalImplementationPlans/Guidance/tabid/7730/Default.aspx>



Legal basis for reporting

- Obligations to adopt «**legal and administrative measures**» (e.g. SC Article 3) or **legislative, administrative and other measures** to implement the Convention (e.g. BC Article 4(5), 9(5)).
- As a general rule, information to be collected pursuant to reporting format, e.g. from generators/producers, importers, exporters, requires a sound legal basis
- Available guidance and tools:
 - chm.pops.int/TheConvention/LegalMatters/LegalMattersAdditionalResources/tabid/2245/Default.aspx
 - <https://www.basel.int/Implementation/LegalMatters/LegalFrameworks/Tools/tabid/2750/Default.aspx>
 - Guide to the Development of National Legal Frameworks to Implement the Basel Convention
 - Developing National Legal Frameworks to Implement the Stockholm Convention on Persistent Organic Pollutants – A Guide
 - Checklists for the Legislator
 - Case studies

HOW TO REPORT



Electronic reporting system (ERS)

- Format transposed to online questionnaire (both BC and SC)
- To be completed by BC Focal point or SC Official Contact Point (who is provided with keys to access ERS)
- Reports accessible in read-only mode after submission

AFTER SUBMISSION:

- BC Quality control by Secretariat (e.g. missing or unclear information)
- Data entry into reporting database

Electronic Reporting System

The screenshot shows the website interface with a navigation menu at the top: HOME, THE CONVENTION, PROCEDURES, IMPLEMENTATION, COUNTRIES, PARTNERS. The main content area is titled 'Electronic Reporting System of the Basel Convention'. A sidebar on the left lists various resources under 'National Reporting'. The main text explains the ERS tool and provides instructions on how to submit reports, including a list of questionnaires by year. The 'Questionnaires – listed by years' section is circled in red, containing the following links:

- [ERS 2021 \(Due 31/12/2022 \)](#)
- [ERS 2020](#)
- [ERS 2019](#)

<http://www.basel.int//ElectronicReportingSystem/tabid/3356/Default.aspx>

Electronic Reporting System

HOME | THE CONVENTION | PROCEDURES | IMPLEMENTATION | COUNTRIES | PARTNERS

You are here: Stockholm Convention > Countries > Reporting > Electronic Reporting System | Login

Electronic Reporting System (SC-ERS)

At its second meeting, the COP requested the Secretariat to develop an online electronic reporting system (SC-ERS). The Secretariat established the SC-ERS and it was made available to Parties for use during the first reporting period. At its sixth meeting, in decision [SC-6/21](#), the COP requested the Secretariat -to further improve the SC-ERS, taking into account possible synergies with the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal, and in time for it to be used by parties for the submission of their third national reports due in 2014.

In response to the request from the COP, the Secretariat has updated and enhanced the SC-ERS in order to accommodate the updated format for the national report as adopted by the Conference of the Parties (COP) at its sixth meeting. In addition, the SC-ERS has been improved in order to make it more user-friendly and resourceful.

The updated SC-ERS is available and may be used by parties since 2014.

In 2018 the SC-ERS was updated with the changes required in the questionnaire for the 4th reporting cycle, with a deadline on 31 August 2018.

Please click [here](#) to access the SC-ERS, **4th reporting cycle questionnaire**.

Parties are encouraged to use the SC-ERS to submit the collation and analysis of data by the Secretariat for

Title	English	French	Spanish
User manual for the Electronic Reporting System (ERS) of the Stockholm Convention on Persistent Organic Pollutants (POPs)			
Items: 1			

SC Reporting Questionnaire in ERS

Parte A: Información general

Parte B Sección I: Planes de aplicación (artículo 7)

Parte B Sección II: Producción y uso intencional (artículo 3)

Parte B, sección III: Registro de exenciones específicas (artículo 4)

Parte B Sección IV-1: Producción no intencional (artículo 5)

Parte B Sección IV-2: Producción no intencional (artículo 5), (PCDD / PCDF) . Rama de la sección IV-1.

Parte B Sección IV-3 - Producción no intencional: Bifenilos policlorados (PCB). Rama de la sección IV-1.

Parte B Sección IV-4 - Producción no intencional: Pentaclorobenceno. Rama de la sección IV-1

Parte B Sección IV-5 - Producción no intencional: Hexaclorobenceno. Rama de la sección IV-1

Parte B Sección IV-6 - Producción no intencional: Naftalenos policlorados (PCN). Rama de la sección IV-1

Parte B Sección IV-7: Producción no intencional

[Página siguiente](#)

[Download Document](#)

[Logout](#)

Sistema electrónico de presentación de informes del Convenio de Estocolmo (Quinto ciclo de presentación de informes)

Parte A: Información general

Country name: Argentina
Signature date: 23/05/2001
Ratification date: 25/01/2005

1. Puntos de contacto oficiales

Los puntos de contacto oficiales de su país que figuran en nuestros registros son:

Organización:	Departamento:	Cargo:	Nombre completo:	Tel:	Fax:	Dirección:	Correo electrónico:	Sitio Web:
Ministerio de Ambiente y Desarrollo Sostenible	Secretaría de Control y Monitoreo Ambiental	Secretario de Control y Monitoreo Ambiental	Mr. Sergio Federovisky	+54 11 4348 8626		San Martín 451 1004ABR Buenos Aires Argentina	sfederovisky@ambiente.gov.ar, sustanciasquimicas@ambiente.gov.ar, pics@ambiente.gov.ar	

Total Records: 1

 [Export to Excel](#)

2. Puntos focales nacionales

Los puntos de contacto oficiales de su país que figuran en nuestros registros son:

Organización:	Departamento:	Cargo:	Nombre completo:	Tel:	Fax:	Dirección:	Correo electrónico:	Sitio web:
Ministerio de Relaciones Exteriores, Comercio Internacional y Culto	Dirección de Asuntos Ambientales	Ministra	H.E. Ms. Corina Beatriz Lehmann	+54 11 4819 7405	+54 11 4819 7413	Esmeralda 1212 1007 Buenos Aires Argentina	leh@mrecic.gov.ar, digma@mrecic.gov.ar, bfb@mrecic.gov.ar	

Total Records: 1

 [Export to Excel](#)

Pages of the questionnaire

KEY FEATURES

Toolbar to:

- Navigate
- Save changes
- Check errors
- Download Word
- Logout

Next Page >> Save Changes Check for Errors [download answers](#) [Logout](#)

Electronic Reporting System of the Basel Convention (Year: 2015)
PART I: STATUS OF INFORMATION

Name of Party:

Competent Authority information

1a - Designated [Competent Authority](#) to the Basel Convention.

In accordance with Article 5 of the Convention, Parties shall designate or establish one or more competent authorities. According to the Secretariat's records the Designated Competent Authority(ies) in your country is(are):

Organization:	Department:	Job Position:	Full Name:	Tel:	Fax:	Address:	e-Mail:	Web site:
*								
Total Records: 0								

1a.1 - Do you want to make a request to the Secretariat to update the above-mentioned record or to make a new designation for a Competent Authority?

Yes
 No

Focal Point information

1b - Designated Focal Point to the Basel Convention.

In accordance with Article 5 of the Convention, Parties shall designate or establish one focal point. According to the Secretariat's records the Designated Focal Point in your country is:

Organization:	Department:	Job Position:	Full Name:	Tel:	Fax:	Address:	e-Mail:	Web site:
*								
Total Records: 0								

1b.1 - Do you want to make a request to the Secretariat to update the above-mentioned record or to make a new designation for a Basel Convention Focal Point?

Yes
 No

Main area to enter information

Restrictions on and conditions for Transboundary Movement of Hazardous Wastes and Other Wastes

These questions were pre-filled with the most recent information submitted to the Secretariat

3a Has the amendment to the Basel Convention (Decision III/1) been implemented in your country?

[\(Articles 13.2\(c\), 13.2\(d\) and 13.3.\(c\)\)](#)

Yes

No

Control Procedure of the Transboundary Movement of Waste

These questions were pre-filled with the most recent information submitted to the Secretariat

4a Are the Notification and Movement [document forms of the Basel Convention](#) used and/or accepted in the control of transboundary movement of hazardous wastes and other wastes?

[\(Article 6, Annex V \(Decision VIII/18\) combined with Article 13.3\(c\), 13.3\(i\)\)](#)

Yes

No

(i) If yes, have there been any problems in the usage of the Notification and Movement document forms (optional)?

Yes

No

If you have encountered any problem, please explain:

TEXT BC LAST YEAR !!!

Overall interface

- Part A: General information on Party
- Part B Section I: Implementation plans (Article 7)
- Part B Section II: Intentional production and use (Article 3)
- Part B Section III: Register of specific exemptions (Article 4)
- Part B Section IV-1: Unintentional production (Article 5)
- Part B Section IV-2 - Unintentional production: polychlorinated dibenzo-p-dioxins and dibenzofurans (PCDD/PCDF) Section branch to section IV-1
- Part B Section IV-3 - Unintentional production: Polychlorinated biphenyls (PCB). Section branch to section IV-1
- Part B Section IV-4 - Unintentional production: Pentachlorobenzene. Section branch to section IV-1
- Part B Section IV-5 - Unintentional production: Hexachlorobenzene. Section branch to section IV-1
- Part B Section IV-6 - Unintentional production: Polychlorinated naphthalenes (PCN). Section branch to section IV-1
- Part B Section IV-7 - Unintentional production:

Top tool bar

- Save changes
- Check errors

Electronic Reporting System of the Stockholm Convention (Fifth reporting cycle)

Part A: General information

Country name: Colombia
 Signature date: 23/05/2001
 Ratification date: 22/10/2008

1. Official Contact Point(s)

According to our records the designated Official Contact Points in your country are:

Organization:	Department:	Job position:	Full name:	Tel:	Fax:	Address:	e-Mail:	Web Site:
Autoridad Nacional de Licencias Ambientales	Subdirección de Permisos y Trámites	Subdirector de Instrumentos, Permisos y Trámites Ambientales	Mr. Carlos Alonso Rodríguez Pardo	+57 1 254 0111		Carrera 13A# 34 – 72 Bogotá Colombia	coordinaciongrupopt@anla.gov.co, srolon@anla.gov.co	
Ministerio de Ambiente y Desarrollo Sostenible		Viceministro de Políticas y Normalización Ambiental	Mr. Francisco Jose Cruz Prada	+57 1 332 3400		Calle 37 No. 8-40 Bogotá Colombia	diescobar@minambiente.gov.co, mvezlez@minambiente.gov.co	

Total Records: 2
[Export to Excel](#)

Main working area

1.3 Upload supporting documents.

Attach Form for notification of designation of contacts, completed by a duly authorized entity, and any supporting documents e.g. nomination letters. Kindly note that self-nominations will not be considered.

Nota de actualización OCP Estocolmo.pdf 0.72 mb [download](#) |

2. National Focal Point

According to our records the designated National Focal Point in your country is:

Organization:	Job Position:	Full Name:	Tel:	Fax:	Address:	e-Mail:	Web site:
Ministerio de Asuntos Exteriores	Viceministra	H.E. Ms. Laura Gil	+57 1 381 4000 ext. 1128		Calle 10 No. 5-51 Bogotá Colombia	faryde.carlier@cancilleria.gov.co, paula.sanmiguel@cancilleria.gov.co, victor.rey@cancilleria.gov.co	

Side tool bar

- Navigation to the contents

MORE USEFUL FEATURES

The screenshot shows a web interface with a navigation bar at the top containing buttons for '<< Previous Page', 'Next Page >>', 'Save Changes', 'Check for Errors', 'Download Document', and 'Logout'. The 'Save Changes', 'Check for Errors', and 'Download Document' buttons are circled in green. Below the navigation bar is a table with the caption 'Table 7 - Disposals which did not proceed as intended (Article 13.3(b) iii)'. The table content includes the question 'Did disposals which did not proceed as intended?' and two radio button options: 'Yes' (selected) and 'No'. A blue callout box with an arrow pointing to the 'Check for Errors' button contains the following text:

- Can be used at any time;
- Alerts about incomplete or invalid responses;
- Alerts do not prevent report submission

SUBMIT THE NATIONAL REPORT

<< Previous Page Save Changes Check for Errors **Submit the National Report** Download Document Logout

Please provide comments and suggestions regarding any difficulties you may have encountered in filling

Please review your submission and ensure that all questions have been answered, where appropriate.

The deadline for submission of the national report is 31 December 2017.

To submit the report, please click on the "Submit the National Report" button found at the top of the current page.

Should you have any questions or require further assistance please do not hesitate to contact the Secretariat by email at sbc_natrep@unep.org or by phone at +41 917 22 8283.

Table 8 - Accidents occurring during transboundary and disposal of wastes and other

Table 9 - Cases of traffic which has closed in the reporting year

Comments on the questionnaire and report submission

Last page

Dashboards on national reporting information

- Easy and comprehensive way to visualize data and information submitted by Parties in their national reports.
- Structure is based on the questionnaire to submit the National Reports
- Interactive
- Different possible visualizations of the data from the submitted national reports (row data, aggregated data)

BC Dashboard

Reporting Dashboard

The Basel Convention Reports Dashboard has been prepared to provide easy and comprehensive information contained in national reports submitted by Parties.

To enable monitoring of the implementation of the Basel Convention, the Convention provides that Parties prepare reports pursuant to paragraph 3 of Article 13 of the Convention. You can consult each individual report submitted by a Party on the page on [national reports](#).

The questionnaire Parties must fill in will be available on the Reporting Dashboard, which is divided into Questionnaire and Reporting Dashboard.

The information accessible through the Reporting Dashboard is the information received, without editing.

The Dashboard is interactive: you can change the filters to see the data. Other relevant filters are also available. The data can be downloaded on tables as Excel files.

Please click the button below to access the Reports Dashboard:



- Aggregated data from national reports
- Facilitates analysis (e.g. across years, across countries)
- Data visualization

<http://www.basel.int/Countries/NationalReporting/ReportingDashboard/tabid/8105/Default.aspx>

SC Dashboard

SC website → countries → reporting dashboard

<http://chm.pops.int/Countries/Reporting/ReportingDashboard/tabid/7477/Default.aspx>



Consult data from national reports

- All BC national reports by year:

<http://www.basel.int/Countries/tabid/8202/Default.aspx>

- SC national reports by reporting cycle:

<https://chm.pops.int/Countries/Reporting/NationalReports/tabid/3668/Default.aspx>

- Reporting Dashboard (Basel Convention):

<http://www.basel.int/Countries/tabid/8105/Default.aspx>

- Reporting Dashboard (Stockholm Convention):

<http://chm.pops.int/tabid/7477/Default.aspx>



Basel Convention: Resources and Support for Parties

Primary Resources:

- Manual for completing the format for national reporting under the Basel Convention (**Available in all UN languages**)
- User manual for the electronic reporting system of the Basel Convention
- Practical guidance on developing inventories of priority waste streams

<http://www.basel.int/tabid/8754/Default.aspx>

Additional Resources:

- Benchmark report aimed at facilitating reporting under paragraph 3 of Article 13 of the Basel Convention
- Methodological guide for the development of inventories of hazardous wastes and other wastes under the Basel Convention (national inventories of hazardous wastes)
- Guidance Document on Improving National Reporting (conditions that enable national reporting)
- Manual for the implementation of the Basel Convention (obligations of the Basel Convention and their implementation)

<http://www.basel.int/Implementation/tabid/2364/Default.aspx>

- Draft practical guidance for the development of inventories of priority waste streams

<http://www.basel.int/Countries//tabid/8755/Default.aspx>

Stockholm Convention: Additional resources and Support for Parties

- Provision of **feedback** on the submitted 4th reports to improve the 5th reporting cycle
- **Webinars** on SC reporting
- Improvements of the **Electronic Reporting System** (e.g., new POPs, new quality check errors, launching of the SC Dashboard set of queries on the data submitted)
- **Email** support

- **Manuals** on Reporting questionnaire and Electronic Reporting System
<http://www.pops.int/Countries/Reporting/Guidance/tabid/3670/Default.aspx>
- **Guidance documents on inventories** related to the listed chemicals are available at the convention website: <http://www.pops.int/Guidance/tabid/2882>



Technical assistance

- Trainings organized by the Secretariat
- Implementation and Compliance Committee
- <http://www.basel.int/tabid/2868.aspx>
- Regional Centres

<http://www.brsmeas.org/tabid/2636.aspx>

Special programme

<https://www.unep.org/explore-topics/chemicals-waste/what-we-do/special-programme>

Questions?

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THANK YOU