



United Nations Environment Programme



UNEP/IG.9/INF.3 28 September 1977 ENGLISH

Original: ENGLISH

Second Intergovernmental Consultation concerning a Draft Protocol for the Protection of the Mediterranean Sea against Pollution from Land-Based Sources

Venice, 17 - 21 October 1977

CONVENTION FOR THE PROTECTION
OF THE MEDITERRANEAN SEA
AGAINST POLLUTION
AND RELATED PROTOCOLS

CONVENTION FOR THE PROTECTION OF THE MEDITERRANEAN SEA AGAINST POLLUTION

THE CONTRACTING PARTIES,

<u>Conscious</u> of the economic, social, health and cultural value of the marine environment of the Medite - rranean Sea Area,

<u>Fully aware</u> of their responsibility to preserve - this common heritage for the benefit and enjoyment of present and future generations,

Recognizing the threat posed by pollution to themarine environment, its ecological equilibrium, re-sources and legitimate uses,

Mindful of the special hydrographic and ecolo- - gical characteristics of the Mediterranean Sea Area - and its particular vulnerability to pollution,

Noting that existing international conventions—on the subject do not cover, in spite of the progress achieved, all aspects and sources of marine pollution and do not entirely meet the special requirements of—the Mediterranean Sea Area,

Realizing fully the need for close co-operation - among the States and international organizations concerned in a co-ordinated and comprehensive regional - approach for the protection and enhancement of the - marine environment in the Mediterranean Sea Area,

HAVE AGREED AS FOLLOWS:

Geographical coverage

- 1. For the purposes of this Convention, the Mediterra nean Sea Area shall mean the maritime waters of the Mediterranean Sea proper, including its gulfs and seas bounded to the west by the meridian passing through Cape Spartel lighthouse, at the entrance of the - Straits of Gibraltar, and to the East by the southern-limits of the Straits of the Dardanelles between - Mehmetcik and Kumkale lighthouses.
- 2. Except as may be otherwise provided in any proto col to this Convention the Mediterranean Sea Area - shall not include internal waters of the Contracting Parties.

Article 2

Definitions

For the purposes of this Convention:

- a) "pollution" means the introduction by man,di-rectly or indirectly, of substances or energy into the marine environment resulting in such deleterious-effects as harm to living resources, hazards to human health, hindrance to marine activities including fishing, impairment of quality for use of sea water and reduction of amenities.
- b) "organizations" means the body designated as responsible for carrying out secretariat functions pursuant to article 13 of this Convention.

Article 3

General provisions

1. The Contracting Parties may enter into bilateral or multilateral agreements, including regional or - sub-regional agreements, for the protection of the - marine environment of the Mediterranean Sea against-pollution, provided that such agreements are con-

sistent with this Convention and conform to international law. Copies of such agreements between Contracting Parties to this Convention shall be communicated to the Organization.

2. Nothing in this Convention shall prejudice the - codification and development of the Law of the Sea by the United Nations Conference on the Law of the Sea - convened pursuant to resolution 2750 C (XXV) of the - General Assembly of the United Nations, nor the pre - sent or future claims and legal views of any State - concerning the law of the sea and the nature and ex - tent of coastal and flag State jurisdiction.

Article 4

General undertakings

- 1. The Contracting Parties shall individually or jointly take all appropriate measures in accordance with the provisions of this Convention and those protocols in force to which they are party, to prevent, abate and combat pollution of the Mediterranean Sea Area and to protect and enhance the marine environ ment in that Area.
- 2. The Contracting Parties shall co-operate in the formulation and adoption of protocols, in addition to
 the protocols opened for signature at the same time as this Convention, prescribing agreed measures, procedures and standards for the implementation of thisConvention.
- 3. The Contracting Parties further pledge themselves to promote, within the international bodies con - sidered to be competent by the Contracting Parties, measures concerning the protection of the marine environment in the Mediterranean Sea Area from all typesand sources of pollution.

Article 5

Pollution caused by dumping from ships and aircraft

priate measures to prevent and abate pollution of the Mediterranean Sea Area caused by dumping from ships and aircraft.

Article 6

Pollution from ships

The Contracting Parties shall take all measures in conformity with international law to prevent, - abate and combat pollution of the Mediterranean Sea Area caused by discharges from ships and to ensure - the effective implementation in that Area of the -- rules which are generally recognized at the inter - national level relating to the control of this type- of pollution.

Article 7

Pollution resulting from exploration and exploitation of the continental shelf and the seabed and the seabed and its subsoil

The Contracting Parties shall take all appropriate measures to prevent, abate and combat polution of the Mediterranean Sea Area resulting - from exploration and exploitation of the continental shelf and the seabed and its subsoil.

Article 8

Pollution from land-based sources

The Contracting Parties shall take all appropriate measures to prevent, abate and combat pollution of the Mediterranean Sea Area caused by discharges from rivers, coastal establishments or out falls, or emanating from any other land-based sources within their territories.

Article 9

Co-operation in dealing with pollution emergencies

1. The Contracting Parties shall co-operate in --

taking the necessary measures for dealing with pollution emergencies in the Mediterranean Sea Area, - whatever the causes of such emergencies, and reducing or eliminating damage resulting therefrom.

2. Any Contracting Party which becomes aware of - any pollution emergency in the Mediterranean Sea -- Area shall without delay notify, the Organization - and, either through the Organization or directly, - any Contracting Party likely to be affected by such emergency.

Article 10

Monitoring

- 1. The Contracting Parties shall endeavour to es-tablish, in close co-operation with the international bodies which they consider competent, complementary or joint programmes including, as appropriate, programmes at the bilateral or multilateral levels, for pollution monitoring in the Mediteraranean Sea Area and shall endeavour to establish a pollution monitoring system for that Area.
- 2. For this purpose, the Contracting Parties shall designate the competent authorities responsible for pollution monitoring within areas under their na-tional jurisdiction and participate as far as practicable in international arrangements for pollution monitoring in areas beyond national jurisdiction.
- 3. The Contracting Parties undertake to co-operate in the formulation, adoption and implementation of-such annexes to this Convention as may be required to prescribe common procedures and standards for -pollution monitoring.

Article 11

Scientific and technological co-operation

1. The Contracting Parties undertake as far as possible to co-operate directly, or when appropriate - through competent regional or other international -

organizations, in the fields of science and technology, and to exchange data as well as other scientific information for the purpose of this -Convention.

- 2. The Contracting Parties undertake as far as possible to develop and co-ordinate their na- tional research programmes relating to all typesof marine pollution in the Mediterranean Sea Area
 and to co-operate in the establishment and implementation of regional and other international research programmes for the purposes of this Convention
- 3. The Contracting Parties undertake to co-operate in the provision of technical and other possible assistance in fields relating to marine pollution, with priority to be given to the special needs of developing countries in the Mediterranean region.

Article 12

Liability and compensation

The Contracting Parties undertake to co-op - - erate as soon as possible in the formulation and - adoption of appropriate procedures for the determination of liability and compensation for damage regleright sulting from the pollution of the marine environ - ment deriving from violations of the provisions of this Convention and applicable protocols.

Article 13

Institutional arrangements

The Contracting Parties designate the United - Nations Environment Programme as responsible for - carrying out the following secretariat functions:

- (i) To convene and prepare the meetings of Contracting Parties and conferences provided for in articles 14, 15, and 16;
- (ii) To transmit to the Contracting Parties no tifications, reports and other information received

- in accordance with articles 3. 9. and 20 - --- (iii) To consider inquiries by, and information-from, the Contracting Parties, and to consult with them on questions relating to this Convention and-the protocols and annexes thereto;
- (iv) To perform the functions assigned to it by the protocols to this Convention;
- (v) To perform such other functions as may be assigned to it by the Contracting Parties;
- (vi) To ensure the necessary co-ordination with other international bodies which the Contracting Parties consider competent, and in particular to enter into such administrative arrangements as may be required for the effective discharge of the - secretariat functions.

Meetings of the Contracting Parties

- 1. The Contracting Parties shall hold ordinary -meetings once every two years, and extraordinary -meetings at any other time deemed necessary, uponthe request of the Organization or at the requestof any Contracting Party, provided that such re -quests are supported by at least two Contracting -Parties.
- 2. It shall be the function of the meetings of the Contracting Parties to keep under review the implementation of this Convention and the proto-cols and, in particular:
- (i) To review generally the inventories car-ried out by Contracting Parties and competent international organizations on the state of marine pollution and its effects in the Mediterranean Sea Area;
- (ii) To consider reports submitted by the Con-tracting Parties under article 20;
- (iii) To adopt, review and amend as required the annexes to this Convention and to the protocols, in accordance with the procedure established in ar

ticle 17;

- (iv) To make recommendations regarding the adoption of any additional protocols or any amendmentsto this Convention or the protocols in accordance with the provisions of articles 15 and 16;
- (v) To establish working groups as required toconsider any matters related to this Convention and the protocols and annexes;
- (vi) To consider and undertake any additional -- action that may be required for the achievement of-the purposed of this Convention and the protocols.

Article 15

Adoption of additional protocols

- 1. The Contracting Parties, at a diplomatic con-ference, may adopt additional protocols to this Convention pursuant to paragraph 2 of article 4.
- 2. A diplomatic conference for the purpose of adopting additional protocols shall be convened by the-Organization at the request of two thirds of the --Contracting Parties.
- 3. Pending the entry into force of this Convention the Organization may, after consulting with the sig natories to this Convention, convene a diplomatic conference for the purpose of adopting additional protocols.

Article 16

Amendment of the Convention or Protocols

- 1. Any Contracting Party to this Convention may propose amendments to the Convention. Amendments shall be adopted by a diplomatic conference which shall be convened by the Organization at the request of two-thirds of the Contracting Parties.
 - 2. Any Contracting Party to this Convention may propose amendments to any protocol. Such amendments shall be adopted by a diplomatic conference which --

shall be convened by the Organization at the request of two thirds of the Contracting Parties to the protocol concerned.

- 3. Amendments to this Convention shall be adopted by a three-fourths majority vote of the ContractingParties to the Convention which are represented at the diplomatic conference, and shall be submitted by the Depositary for acceptance by all ContractingParties to the Convention. Amendments to any protocol shall be adopted by a three-fourths majorityvote of the Contracting Parties to such protocol which are represented at the diplomatic conference,and shall be submitted by the Depositary for accep tance by all Contracting Parties to such protocol.
- 4. Acceptance of amendments shall be notified to the Depositary in writing. Amendments adopted in accordance with paragraph 3 of this article shall enter into force between Contracting Parties havingaccepted such amendments on the thirtieth day follow
 the receipt by the Depositary of notification of their acceptance by at least three fourths of the Contracting Parties to this Convention or to the protocol concerned, as the case may be.
- 5. After the entry into force of an amendment to this Convention or to a protocol, any new Contrac-- ting Party to this Convention or such protocol shall become a Contracting Party to the instrument as amended.

Article 17

Annexes and amendments to Annexes

- 1. Annexes to this Convention or to any protocol --shall form an integral part of the Convention or such protocol, as the case may be.
- 2. Except as may be otherwise provided in any pro-tocol, the following procedure shall apply to the -adoption and entry into force of any amendments to annexes to this Convention or to any Protocol, with the exception of amendments to the Annex on arbrita tion:

- (i) Any Contracting Party may propose amend- - ments to the annexes to this Convention or to protocols at the meetings referred to in article 14: -
- (ii) Such amendments shall be adopted by a threefourths majority vote of the Contracting Parties tothe instrument in question;
- (iii) The Depositary shall without delay communi cate the amendments so adopted to all Contracting Parties-;
- (iv) Any Contracting Party that is unable to approve an amendment to the annexes to this Convention or to any protocol shall so notify in writing the -- Depositary within a period determined by the Contracting Parties concerned when adopting the amendment;
- (v) The Depositary shall without delay notify all Contracting Parties of any notification received pursuant to the preceding sub-paragraph; - - -
- (vi) On expiry of the period referred to in sub paragraph (iv) above, the amendment to the annex shall become effective for all Contracting Parties to this Convention or to the protocol concerned which have not submitted a notification in accord ance with the provisions of that sub-paragraph.
- 3. The adoption and entry into force of a new annex to this Convention or to any protocol shall be sub ject to the same procedure as for the adoption and entry into force provided that, if any amendment to-the Convention or the protocol concerned is involved the new annex shall not enter into force until such-time as the amendment to the Convention or the pro-tocol concerned enters into force.
- 4. Amendments to the annex on arbritation shall beconsidered to be amendments to this Convention and shall be proposed and adopted in accordance with the
 procedures set out in article 16 above.

Rules of procedure and financial rules

- 1. The Contracting Parties shall adopt rules of procedure for their meetings and conferences envisaged in articles 14, 15, and 16 above.
- 2. The Contracting Parties shall adopt financial rules, prepared in consultation with the Organiza tion, to determine, in particular, their financial participation.

Special exercise of voting right

Within the areas of their competence, the Euro - pean Economic Community and any regional economic - grouping referred to in article 24 of this Convention shall exercise their right to vote with a number of - votes equal to the number of their member States which are Contracting Parties to this Convention and to one-or more Protocols; the European Economic Community and any grouping as referred to above shall not exercise - their right to vote in cases where the member States - concerned exercise theirs, and conversely.

Article 20

Reports .

The Contracting Parties shall transmit to the -Organization reports on the measures adopted in imple
mentation of this Convention and of Protocols to -which they are Parties, in such form and at such intervals as the meetings of Contracting Parties may de
termine.

Article 21

Compliance control

The Contracting Parties undertake to co-operate - in the development of procedures enabling them to con trol the application of this Convention and the Protocols.

Settlement of disputes

- 1. In case of a dispute between Contracting Parties as to the interpretation or application of this Convention or the Protocols, they shall seek a settle ment of the dispute through negotiation or any other peaceful means of their own choice.
- 2. If the parties concerned cannot settle their dispute through the means mentioned in the preceding paragraph, the dispute shall upon common agreement be submitted to arbitration under the conditions laid down in Annex A to this Convention.
- 3. Nevertheless, the Contracting Parties may at any time declare that they recognize as compulsory <u>ipsofacto</u> and without special agreement, in relation to-any other Party accepting the same obligation, the application of the arbitration procedure in conformity with the provisions of Annex A. Such declaration shall be notified in writing to the Depositary, who shall communicate it to the other Parties.

Article 23

Relationship between the Convention and protocols

- 1. No one may become a Contracting Party to this Convention unless it becomes at the same time a Contracting Party to at least one of the protocols. No one may become a Contracting Party to a protocol unless it is, or becomes at the same time a Contracting Party to this Convention.
- 2. Any protocol to this Convention shall be binding only on the Contracting Parties to the protocol in question.
- 3. Decisions concerning any protocol pursuant to are ticles 14, 16 and 17 of this Convention shall be taken only by the Parties to the protocol concerned.

Signature

This Convention, the Protocol for the Prevention of Pollution of the Mediterranean Sea by Dumping from Ships and Aircraft and the Protocol concerning-Co-operation in Combating Pollution of the Mediter ranean Sea by Oil and Other Harmful Substances in -Cases of Emergency shall be open for signature in Barcelona on 16 February 1976 and in Madrid from 17 February 1976 to 16 February 1977 by any State in vited as a participant in the Conference of Pleni potentiaries of the Coastal States of the Mediterranean Region on the Protection of the Mediterranean -Sea, held in Barcelona from 2 to 16 February 1976, -and by any State entitled to sign any protocol in ac cordance with the provisions of such Protocol. shall also be open until the same date for signature by the European Economic Community and by any simi lar regional economic grouping at least one member of which is a coastal State of the Mediterranean Sea --Area and which exercise competences in fields covered by this Convention, as well as by any protocol affecting them.

Article 25

Ratification, acceptance or approval

This Convention and any protocol thereto shall be subject to ratification, acceptance, or approval. - Instruments of ratification, acceptance or approval - shall be deposited with the Government of Spain, which will assume the functions of Depositary.

Article 26

Accession

1. As from 17 February 1977, the present Convention,—the Protocol for the Prevention of Pollution of the -Mediterranean Sea by Dumping from Ships and Aircraft,—

and the Protocol concerning Co-operation in Combating Pollution of the Mediterranean Sea by Oil and other - Harmful Substances in Cases of Emergency shall be - open for accession by the States, by the European - Economic Community and by any grouping as referred to in article 24.

- 2. After the entry into force of the Convention andof any protocol, any State not referred to in article
 24 may accede to this Convention and to any protocol, subject to prior approval by three-fourths of the Contracting Parties to the protocol concerned. -
- Instruments of accession shall be deposited withthe Depositary.

Article 27

Entry into force

- 1. This Convention shall enter into force on the same date as the protocol first entering into force.-
- 2. The Convention shall also enter into force with regard to the States, the European Economic Community and any regional economic grouping referred to in article 24 if they have complied with the formal requirements for becoming Contracting Parties to any other protocol not yet entered into force.
- 3. Any protocol to this Convention, except as otherwise provided in such protocol, shall enter into - force on the thirtieth day following the date of de posit of at least six instruments of ratification, acceptance, or approval of, or accession to such protocol by the Parties referred to in article 24.
- 4. Thereafter, this Convention and any protocol - shall enter into force with respect to any State, the European Economic Community and any regional economic grouping referred to in article 24 on the thirtieth day following the date of deposit of the instruments- of ratification, acceptance, approval or accession. -

Withdrawal

- 1. At any time after three years from the date of entry into force of this Convention, any Contracting Party may withdraw from this Convention by giving written notification of withdrawal.
- 2. Except as may be otherwise provided in any proto col to this Convention, any Contracting Party may, at- any time after three years from the date of entry into force of such protocol, withdraw from such protocol by giving written notification of withdrawal.
- 3. Withdrawal shall take effect 90 days after the -date on which notification of withdrawal is received--by the Depositary.
- 4. Any Contracting Party which withdraws from this Convention shall be considered as also having with-- drawn from any protocol to which it was a Party. -
- 5. Any Contracting Party which, upon its withdrawal from a protocol, is no longer a Party to any protocolto this Convention, shall be considered as also having withdrawn from this Convention.

Article 29

Responsibilities of the Depository

- 1. The Depositary shall inform the Contracting Parties, any other Party referred in article 24, and the-Organizations:
- (i) Of the signature of this Convention and of any protocol thereto, and of the deposit of instruments of ratification, acceptance, approval or accession in accordance with articles 24, 25 and 26;
- (ii) Of the date on which the Convention and any protocol will come into force in accordance with the provisions of article 27;
- (iii) Of notifications of withdrawal made in accor-dance with article 28;

- (iv) Of the amendments adopted with respect to the-Convention and to any protocol, their acceptance by the Contracting Parties and the date of entry into force of those amendments in accordance with the provisions of article 16:
- (v) Of the adoption of new annexes and of the amendment of any annex in accordance with article 17.-
- (vi) Of declarations recognizing as compulsory theapplication of the arbitration procedure mentioned inparagraph 3 of article 22.
- 2. The original of this Convention and of any proto col thereto shall be deposited with the Depositary, the Government of Spain, which shall send certified copies thereof to the Contracting Parties, to the Organization, and to the Secretary-General of the United Nations for registration and publication in accordance with Article 102 of the United Nations - Charter.

IN WITNESS WHEREOF the undersigned, being duly authorized by their respective Governments, have signed-this Convention.

DONE at Barcelona on 16 February 1976 in a single-copy in the Arabic, English, French and Spanish lang-uages, the four texts being equally authoritative.

ANNEX A

Arbitration

Article 1

Unless the Parties to the dispute otherwise agree, the arbitration procedure shall be conducted in accordance with the provisions of this Ar ex.

Article 2

- 1. At the request addressed by one Contracting Party to another Contracting Party in accordance with the provisions of paragraph 2 or paragraph 3 of article 22 of the Convention, an arbitral tribunal shall be constituted. The request for arbitration shall state the subject matter of the application including, in particular, the articles of the Convention or the Protocols, the interpretation or application of which is in dispute.
 - 2. The claimant party shall inform the Organization—that it has requested the setting up of an arbitral —tribunal, stating the name of the other party to the—dispute and articles of the Convention or the Proto—cols the interpretation or application of which is in its opinion in dispute. The Organization shall for—ward the information thus received to all Contracting Parties to the Convention.

Article 3

The arbitral tribunal shall consist of three members: each of the parties to the dispute shall appoint an arbitrator; the two arbitrators so appointed shall designate by common agreement the third arbitrator who shall be the chairman of the tribunal. The latter shall not be a national of one of the parties to the dispute, nor have his usual place of residence in the territory of one of these parties, norbe employed by any of them, nor have dealt with thecase in any other capacity.

- 1. If the chairman of the arbitral tribunal has not been designated within two months of the appointment of the second arbitrator, the Secretary-General of the United Nations shall, at the request of the most diligent party, designate him within a further two-months' period.
- 2. If one of the parties to the dispute does not ap point an arbitrator within two months of receipt, of the request, the other party may inform the Secretary-General of the United Nations who shall designate the chairman of the arbitral tribunal within afurther two months' period. Upon designation, the chairman of the arbitral tribunal shall request the party which has not appointed an arbitrator to do so within two months. After such period, he shall inform the Secretary-General of the United Nations, who shall make this appointment within a further two months' period.

Article 5

- 1. The arbitral tribunal shall decide according tothe rules of international law and, in particular, those of this Convention and the protocols concerned.
- 2. Any arbitral tribunal constituted under the provisions of this Annex shall draw up its own rules of procedure.

Article 6

- 1. The decisions of the arbitral tribunal, both on procedure and on substance, shall be taken by majority vote of its members.
- 2. The tribunal may take all appropriate measures in order to establish the facts. It may, at the request of one of the parties, recommend essential interim measures of protection.

- 3. If two or more arbitral tribunals constituted under the provisions of this Annex are seized of requests with identical or similar subjects, they may inform themselves of the procedures for establishing the facts and take them into account as far as possible.
- 4. The parties to the dispute shall provide all facilities necessary for the effective conduct of-the proceedings.
- 5. The absence or default of a party to the dis-pute shall not constitute an impediment to the proceedings.

- 1. The award of the arbitral tribunal shall be accompanied by a statement of reasons. It shall befinal and binding upon the parties to the dispute.
- 2. Any dispute which may arise between the par--ties concerning the interpretation or execution of
 the award may be submitted by the most diligent -party to the arbitral tribunal which made the -award or, if the latter cannot be seized thereof,to another arbitral tribunal constituted for thispurpose in the same manner as the first.

Article 8

The European Economic Community and any regional economic grouping referred to in article 24 of the Convention, like any Contracting Party to the Convention, are empowered to appear as complainants or as respondents before the arbitral tribunal.

PROTOCOL FOR THE PREVENTION OF POLLUTION OF THE MEDITERRANEAN SEA BY DUMPING FROM SHIPS AND AIRCRAFT

THE CONTRACTING PARTIES TO THE PRESENT PROTOCOL,

Being Parties to the Convention for the Protection of the Mediterranean Sea against Pollution,

Recognizing the danger posed to the marine envi-ronment by pollution caused by the dumping of wastes or other matter from ships and aircraft,

Considering that the coastal States of the Med iterranean Sea have a common interest in protecting the marine environment from this danger,

Bearing in mind the Convention on the Prevention - of Marine Pollution by Dumping of Wastes and other - Matter, adopted in London in 1972.

HAVE AGREED AS FOLLOWS:

The Contracting Parties to this Protocol (hereinafter referred to as "the Parties") shall take all appropriate measures to prevent and abate pollution of the Mediterranean Sea Area caused by dumping from ships and aircraft.

Article 2

The area to which this Protocol applies shall bethe Mediterranean Sea Area as defined in article 1 of the Convention for the Protection of the Mediterranean Sea against Pollution (hereinafter referred to as "the Convention").

Article 3

For the purposes of this Protocol:

- 1. "Ships and aircraft" means waterborne or airborne craft of any type whatsoever. This expression in- cludes air-cushioned craft and floating craft whether self-propelled or not, and platforms and other man- made structures at sea and their equipment.
- 2. "Wastes or other matter" means material and sub-stances of any kind, form or description.
- 3. "Dumping" means:
- (a) Any deliberate disposal at sea of wastes or other matter from ships or aircraft;
- (b) Any deliberate disposal at sea of ships or aircraft.
- 4. "Dumping" does not include:
- (a) The disposal at sea of wastes or other matter incidental to, or derived from the normal operations of vessels, or aircraft and their equipment, other than wastes or other matter transported by or to vessels, or aircraft, operating for the purpose of disposal of such matter or derived from the treatment of such wastes or other matter on such vessels or air- craft;

- (b) Placement of matter for a purpose other than the mere disposal thereof, provided that such place-ment is not contrary to the aims of this Protocol.
- 5. "Organization" means the body referred to in article 13 of the Convention.

The dumping into the Mediterranean Sea Area of -wastes or other matter listed in Annex I to this Protocol is prohibited.

Article 5

The dumping into the Mediterranean Sea Area of -wastes or other matter listed in Annex II to this Protocol requires, in each case, a prior special permit-from the competent national authorities.

Article 6

The dumping into the Mediterranean Sea Area of all other wastes or other matter requires a prior general-permit from the competent national authorities.

Article 7

The permits referred to in articles 5 and 6 above-shall be issued only after careful consideration of --all the factors set forth in Annex III to this Pro--tocol. The Organization shall receive records of such permits.

Article 8

The provisions of articles 4, 5 and 6 shall not apply in case of <u>force majeure</u> due to stress of weather-or any other cause when human life or the safety of aship or aircraft is threatened. Such dumpings shall - immediately be reported to the Organization, either - through the Organization or directly to any Party of -

Parties likely to be affected, together with full details of the circumstances and of the nature and quantities of the wastes or other matter dumped.

Article 9

If a Party in a critical situation of an exceptional nature considers that wastes or other matter bisted in Annex I to this Protocol cannot be disposed of on land without unacceptable danger or damage, below all for the safety of human life, the Party concerned shall forthwith consult the Organization. The Organization, after consulting the Parties to this Protocol, shall recommend methods of storage or the most satisfactory means of destruction or disposal under the prevailing circumstances. The Party shall-inform the Organization of the steps adopted in pursuance of these recommendations. The Parties pledgethemselves to assist one another in such situations.

Article 10

- 1. Each Party shall designate one or more competent authorities:
- (a) Issue the special permits provided for in article 5;
- (b) Issue the general permits provided for in article 6;
- (c) Keep records of the nature and quantities of the wastes or other matter permitted to be dumped and the location, date and method of dumping:
- 2. The competent authorities of each Party shall issue the permits provided for in articles 5 and 6 in respect of the wastes or other matter intended for dumping:
 - '(a) Loaded in its territory;
- (b) Loaded by a ship or aircraft registered in its territory or flying its flag, when the loading -- occurs in the territory of a State not Party to this-Protocol.

- 1. Each Party shall apply the measures required to implement this Protocol to all:
 - (a) Ships and aircraft registered in its territory or flying its flag;
 - (b) Ships and aircraft loading in its territory wastes or other matter which are to be dumped;
 - (c) Ships and aircraft beleived to be engaged in dumping in areas under its jurisdiction in this matter.
- 2. This Protocol shall not apply to any ships or air-craft owned or operated by a State Party to this Protocol and used for the time being only on Government non-commercial service. However, each Party shall ensure by the adoption of appropriate measures not impairing the operations or operational capabilities of such ships or aircraft owned or operated by it, that such ships and aircraft act in a manner consistent, so far as is reasonable and practicable, with this Protocol.

Article 12

Each Party undertakes to issue instructions to its maritime inspection ships and aircraft and to other appropriate services to report to its authorities any incidents or conditions in the Mediterranean Sea Area which gives rise to suspicions that dumping in contravention of the provisions of this Protocol has occurred or is about to occur. That Party shall, if it considers it appropriate, report accordingly to any other Party concerned.

Article 13

Nothing in this Protocol shall affect the right of each Party to adopt other measures, in accordance with international law, to prevent pollution due to dumping.

- 1. Ordinary meetings of the Parties to this Protocol shall be held in conjunction with ordinary meetings of the Contracting Parties to the Convention held pur suant to article 14 of the Convention. The Parties to this Protocol may also hold extraordinary meetings inconformity with article 14 of the Convention.
- 2. It shall be the function of the meetings of the -- Parties to this Protocol:
- (a) To keep under review the implementation of -this Protocol, and to consider the efficacy of the --measures adopted and the need for any other measures,in particular in the form of annexes;
- (b) To study and consider the records of the permits issued in accordance without articles 5, 6 and 7, and of the dumping which has taken place;
- (c) To review and amend as required any Annex tothis Protocol;
- (d) To discharge such other functions as may be appropriate for the implementation of this Protocol. -
- 3. The adoption of amendments to the Annexes to this-Protocol pursuant to article 17 of the Convention shall require a three-fourths majority of the Parties.

Article 15

- 1. The provisions of the Convention relating to any -- Protocol shall apply with respect to the present Protocol.
- 2. The rules of procedure and the financial rules -- adopted pursuant to article 18 of the Convention shall-apply with respect to this Protocol, unless the Parties to this Protocol agree otherwise.

IN WITNESS WHEREOF the undersigned, being duly authorized by their respective Governments, have signed - this Protocol.

DONE at Barcelona on 16 February 1976 in a single - copy in the Arabic, English, French and Spanish lang- - uages, the four texts being equally authoritative.

ANNEX T

- A. The following substances and materials are listedfor the purpose of article 4 of the Protocol.
 - 1. Organohalogen compounds and compounds which may form substances in the marine environment, excluding those which are non-toxic or which are rapidly converted in the sea into substances which are biologically harmless, provided that they do not make edible marine organisms unpalatable.
 - 2. Organosilicon compounds and compounds which may form such substances in the marine environment excluding those which are non-toxic or which are rapidly converted in the sea into substances which are biologically harmless, provided that they do not make edible marine organisms unpalatable.
 - 3. Mercury and mercury compounds.
 - 4. Cadmium and cadmium compounds.
 - 5 Persistent plastic and other persistent synthetic materials which may materially interfere withfishing or navigation, reduce amenities, or interfere with other legitimate uses of the sea.
 - 6. Crude oil and hydrocarbons which may be derived from petroleum, and any mixtures containing any ofthese, taken on board for the purpose of dumping.
 - 7. High-and medium-and low-level radioactive wastes or other high-and medium-and low-level radioactive matter to be defined by the International Atomic - Energy Agency.
 - 8. Acid and alkaline compounds of such compositionand in such quantity that they may seriously impairthe quality of sea water. The composition and quantity to be taken into consideration shall be determined by the Parties in accordance with the procedure laid down in article 14, paragraph 3, of this Protocol.
 - 9. Materials in whatever form (e.g. solids, liquids, semi-liquids, gases, or in a living state) produced for biological and chemical warfare, other than those

rapidly rendered harmless by physical, chemical or biological processes in the sea provided that they do not:

- (i) Make edible marine organisms unpalatable; or
- (ii) Endanger human or animal health.
- B. This Annex does not apply to wastes or other materials, such as sewage sludge and dredge spoils, containing the substances referred to in paragraphs 1-6 above as trace contaminants. The dumping of such wastes shall be subject to the provisions of Annexes II and III as appropriate

ANNEX II

The following wastes and other matter the dumping of which requires special care are listed for the purposes of article 5.

- (i) Arsenic, lead, copper, zinc, beryllium,chromium, nickel, vanadium, selenium, antimony and their compounds;
 - (ii) Cyanides and fluorides;
 - (iii) Pesticides and their by-products not covered in Annex I;
 - (iv) Synthetic organic chemicals, other than those referred to in Annex I, likely to produce harmful effects on marine organisms or to makeedible marine organisms unpalatable.
- (i) Acid and alkaline compounds the composition and quantity of which have not yet been determined in accordance with the procedure referred to in Annex I, paragraph A. 8.
 - (ii) Acid and alkaline compounds not covered by Annex I, excluding compounds to be dumped in quantities below thresholds which shall be de-termined by the Parties in accordance with theprocedure laid down in article 14, paragraph 3cf this Protocol.
- 3. Containers, scrap metal and other bulky wastesliable to sink to the sea bottom which may present a serious obstacle to fishing or navigation.
- 4. Substances which, though of a non-toxic nature-may become harmful owing to the quantities in which-they are dumped, or which are liable to reduce --amenities seriously or to endanger human life or marine organisms or to interfere with navigation.
- 5. Radioactive waste or other radioactive matter which will not be included in Annex I. In the issue of permits for the dumping of this matter, the - Parties should take full account of the recommendations of the competent international body in this field, at present the International Atomic Energy -- Agency.

ANNEX III

The factors to be considered in establishing criteria governing the issue of permits for the dumping of matter at sea taking into account article 7 in -- clude:

A. Characteristics and composition of the matter

- 1. Total amount and average compositions of matter dumped (e.g. per year).
- 2. Form (e.g. solid, sludge, liquid or gaseous).
- 3. Properties: physical (e.g. solubility and -density), chemical and biochemical (e.g. oxygen demand, nutrients) and biological (e.g. presence of viruses, bacteria, yeasts, parasites).
- 4. Toxicity.
- 5. Persistence: physical, chemical and biolo gical.
- 6. Accumulation and biotransformation in biological materials or sediments.
- 7. Susceptibility to physical, chemical and bio chemical changes and interaction in the aquatic-environment with other dissolved organic and inorganic materials.
- 8. Probability of production of taints or other changes reducing market-ability of resources - (fish, shell-fish etc.).

B. Characteristics of dumping site and method of deposit

- 1. Location (e.g. co-ordinates of the dumping area, depth and distance from the coast), loca-tion in relation to other areas (e.g. amenity areas, spawning, nursery and fishing areas and ex ploitable resources).
- 2. Rate of disposal per specific period (e.g. quantity per day, per week, per month).
- 3. Methods of packaging and containment, if any.

- 4. Initial dilution achieved by proposed method of release, particularly the speed of the ship.
- 5. Dispersal characteristics (e.g. effects of -currents, tides and wind on horizontal trans--port and vertical mixing).
- 6. Water characteristics (e.g. temperature, pH, salinity, stratification, oxygen indices of pollution dissolved oxygen (DO), chemical oxygendemand (COD), biochemical oxygen demand (BOD) -- nitrogen present in organic and mineral form, in cluding ammonia, suspended matter other nutrients and productivity).
- 7. Bottom characteristics (e.g. topography, geochemical and geological characteristics and bio logical productivity).
- 8. Existence and effects of other dumpings which have been made in the dumping area (e.g. heavy me tal background reading and organic carbon content)
- 9. When issuing a permit for dumping, the Con-tracting Parties shall endeavour to determine whether an adequate scientific basis exists for assessing the consequences of such dumping in the areaconcerned, in accordance with the foregoing provisions and taking into account seasonal variations.

C. General considerations and conditions

- 1. Possible effects on amenities (e.g. presence of floating or stranded material, turbidity, ob- jectionable odour, discolouration and foaming).
- 2. Possible effects on marine life, fish and shellfish culture, fish stocks and fisheries, seaweed harvesting and culture.
- 3. Possible effects on other uses of the sea (e.g. impairment of water quality for industrial use, underwater corrosion of structures, interference with ship operations from floating materials, in terference with fishing or navigation through de posit of waste or solid objects on the sea floor--

and protection of areas of special importance for scientific or conservation purposes).

4. The practical availability of alternative land-based methods of treament disposal or - elimination, or of treatment to render the - matter less harmful for sea dumping.

PROTOCOL CONCERNING CO-OPERATION IN COMBATING POLLUTION OF THE MEDITERRANEAN SEA BY OIL AND OTHER HARMFUL SUBSTANCES IN CASES OF EMERGENCY

THE CONTRACTING PARTIES TO THE PRESENT PROTOCOL,

Being Parties to the Convention for the Protection of the Mediterranean Sea against Pollution,

Recognizing that grave pollution of the sea byoil and other harmful substances in the Mediterra nean Sea Area involves a danger for the coastal States and the marine eco-system,

Considering that the co-operation of all the - coastal States of the Mediterranean is called for - to combat this pollution,

Bearing in mind the International Convention - for the Prevention of Pollution from Ships, 1973, - the International Convention relating to Interven - tion on the High Seas in Cases of Oil Pollution - Casualties, 1969, as well as the Protocol relating-to Intervention on the High Seas in Cases of Marine Pollution by Substances Other than Oil, 1973,

<u>Further taking into account</u> the International - Convention on Civil Liability for Oil Pollution - Damage, 1969,

HAVE AGREED AS FOLLOWS:

The Contracting Parties to this Protocol (hereinafter referred to as "the Parties") shall co-operate in taking the necessary measures in cases of grave and imminent danger to the marine environment, the coast or related interests of one or more of the Parties due to the presence of massive quantities of oil or other harmful substances resulting from accidental causes or an accumulation of small discharges which are polluting or threatening to pollute the sea within the area defined in Article 1 of the Convention for the Protection of the Mediterranean Sea against Pollution, (hereinafter referred to as - "the Convention").

Article 2

For the purpose of this Protocol, the term "re-lated interests" means the interests of a coastal -State directly affected or threatened and concerning, among others:

- (a) activities in coastal waters, in ports or estuaries, including fishing activities;
- (b) the historical and tourist appeal of the area in question, including water sports and recreation;
 - (c) the health of the coastal ponulation;
 - (d) the preservation of living resources.

Article 3

The Parties shall endeavour to maintain and promote, either individually or through bilateral or - multilateral co-operation, their contingency plans - and means for combating pollution of the sea by oil-and other harmful substances. These means shall include, in particular, equipment, ships, aircraft and manpower prepared for operations in cases of emergency.

The Parties shall develop and apply, either individually or #through bilateral or multilateral cooperation, monitoring activities covering the Mediterranean Sea Area in order to have as precise in formation as possible on the situations referred to in article 1 of this Protocol.

Article 5

In the case of release or loss overboard of harmful substances in packages, freight containers, portable tanks or road and rail tank wagons, the Parties shall co-operate as far as practicable in the salvage and recovery of such substances so as to reduce the danger of pollution of the marine environment.

Article 6

- 1. Each Party undertakes to disseminate to the other Parties information concerning:
- (a) The competent national organization or author ities responsible for combating pollution of the seaby oil and other harmful substances;
- (b) The competent national authorities respon-sible for receiving reports of pollution of the sea-by oil and other harmful substances and for dealing-with matters concerning measures of assistance be-tween Parties;
- (c) New ways in which pollution of the sea by oil and other harmful substances may be avoided, new-measures of combating pollution and the development of related research programmes.
- 2. Parties which have agreed to exchange information directly between themselves shall nevertheless com-municate such information to the regional centre. The latter shall communicate this information to the cother Parties and, on a basis of reciprocity, to coastal States of the Mediterranean Sea Area which are not Parties to this Protocol.

The Parties undertake to co-ordinate the utilization of the means of communication at their disposal in order to ensure, with the necessary speed and reliability, the reception, transmission and dissemination of all reports and urgent information which relate to the occurrences and situations referred to in article 1. The regional centre shall have the necessary means of communication to enabled to participate in this co-ordinated effort and, in particular, to fulfil the functions assigned to it by paragraph 2 of article 10.

Article 8

- 1. Each Party shall issue instructions to the masters of ships flying its flag and to the pilots of-aircraft registered in its territory requiring them to report by the most rapid and adequate channels in the circumstances, and in accordance with Annex-I to this Protocol, either to a Party or to the regional centre:
- (a) All accidents causing or likely to causepollution of the sea by oil or other harmful sub-stances;
- (b) The presence, characteristics and extentof spillages of oil or other harmful substances ob
 served at sea which are likely to present a - serious and imminent threat to the marine environment or to the coast or related interests of one or more of the Parties.
- 2. The information collected in accordance with paragraph 1 shall be communicated to the other Parties likely to be affected by the pollution:
- (a) by the Party which has received the in- formation, either directly for preferably, through the regional centre; or
 - (b) by the regional centre.

In case of direct communication between Parties, the regional centre shall be informed of the measures - taken by these Parties.

3. In consequence of the application of the provisions of paragraph 2, the Parties are not bound by the obligation - laid down in article 9, paragraph 2, of the Convention.

Article 9

- 1. Any Party faced with a situation of the kind defined in article 1 of this Protocol shall:
- (a) Make the necessary assessments of the nature andextent of the casualty or emergency or, as the case may be, of the type and approximate quantity of oil or other harmful substances and the direction and speed of drift of the spillage;
- (b) Take every practicable measure to avoid or reduce the effects of pollution;
- (c) Immediately inform all other Parties, either directly or through the regional centre, of these assess ments and of any action which it has taken or which it intends to take to combat the pollution;
- (d) Continue to observe the situation for as long aspossible and report thereon in accordance with article 8.
- 2. Where action is taken to combat pollution originating from a ship, all possible measures shall be taken to safe guard the persons present on board and, to the extent possible, the ship itself. Any Party which takes such action shall inform the Inter-Governmental Maritime Consultative-Organization.

Article 10

1. Any Party requiring assistance for combating pollution by oil or other harmful substances polluting or threatening to pollute its coasts may call for assistance from other -- Parties, either directly or through the regional centre referred to in article 6, starting with the Parties which appear likely to be affected by the pollution. This assistance may comprise, in particular, expert advice and the -- supply to or placing at the disposal of the Party concerned of products, equipment and nautical facilities. Parties so

requested shall use their best endeavours to render this - assistance.

2. Where the Parties engaged in an operation to combat - pollution cannot agree on the organization of the operation, the regional centre may, with their approval, co-ordinate the activity of the facilities put into operation by these Parties.

Article 11

The application of the relevant provisions of articles 6, 7, 8, 9 and 10 of this Protocol relating to the regional centre shall be extended, as appropriate, to sub-regional - centres in the event of their establishment, taking into -- account their objectives and functions and their relationship with the said regional centre.

Article 12

- 1. Ordinary meetings of the Parties to this Protocol shall be held in conjunction with ordinary meetings of the Con-tracting Parties to the Convention, held pursuant to article 14 of the Convention. The Parties to this Protocol may also hold extraordinary meetings as provided in article 14 of the Convention.
- 2. It shall be the function of the meetings of the Parties to this Protocol, in particular:
- (a) To keep under review the implementation of this -- Protocol, and to consider the efficacy of the measures adopted and the need for any other measures, in particular in the form of Annexes;
- (b) To review and amend as required any Annex to this-Protocol;
- (c) To discharge such other functions as may be appropriate for implementation of this Protocol.

Article 13

1. The provisions of the Convention relating to any Protocol shall apply with respect to the present Protocol.

2. The rules of procedure and the financial rules adopted pursuant to article 18 of the Convention shall apply withrespect to this Protocol, unless the Parties to this Protocol col agree otherwise.

IN WITNESS WHEREOF the undersigned, being duly authorized by their respective Governments, have signed this Protocol.

DONE at Barcelona on 16 February 1976 in a single copy in the Arabic, English, French and Spanish languages, the four texts being equally authoritative.

ANNEX I

Contents of the report to be made pursuant to article 8 to this Protocol

- 1. Each report shall, as far as possible, contain, in general:
- (a) The identification of the source of pollution (iden--tity of the ship, where appropriate);
- (b) The geographic position, time and date of the occurrence of the incident or of the observation;
 - (c) The wind and sea conditions prevailing in the area;
- (d) Where the pollution originates from a ship, rele--- vant details respecting the conditions of the ship.
 - 2. Each report shall contain, whenever possible, in par----ticular:
- (a) A clear indication or description of the harmful substances involved, including the correct technical namesof such substances (trade names should not be used in place of the correct technical names);
 - (b) A statement or estimate of the quantities, concentrations and likely conditions of harmful substances discharged or likely to be discharged into the sea;
 - (c) Where relevant, a description of the packaging and identifying marks; and
 - (d) The name of the consignor, consignee or manufac-turer.
 - 3. Each report shall clearly indicate, whenever possible,—whether the harmful substance discharged or likely to be —discharged is oil or a noxious liquid, solid or gaseous substance and whether such substance was or is carried in —bulk or contained in packaged form, freight containers, —portable tanks, or road and rail tank wagons.
 - 4. Each report shall be supplemented as necessary by any relevant information requested by a recipient of the re- port or deemed appropriate by the person sending the re- port.
 - 5. Any of the persons referred to in article 8, paragraph-1, of this Protocol shall:

- (a) Supplement as far as possible the initial report, as necessary, with information concerning further develop-ments; and
- (b) Comply as fully as possible with requests from affected States for additional information.